

# 08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D

Invoice Date Account Number Invoice Number Payment Terms Amount Ove 100071534 0808SCCP022925 08/10/2008 NET30 \$5,429,34 Amount Enclosed Please make checks payable to Philadelonia Stock Exchange Lockbox 20700 **LEHMAN BROTHERS** P.O. Box 8500 ATTENTION TO: CRISTIAN GRULLON Philadelphia, PA 19178-0700 C/O LEHMAN BROTHERS - CRISTIAN GRULLON, EQUITIES BROKERAGE Overnight payments should be sent to P.O. BOX 2397 Wechovia Bank / Philadelphia Stock **SECAUCUS NJ 07096** Exchange 401 Market Street Lockbox # 20700 Philadelphia, PA 19106

## (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SERD IT WITH YOUR PAYMENT)

Email Invoice questions to ndqbilling@nasdaqomx.com, call 800-955-3898 or 301-978-4950, or fax 301-978-4910

"Wire Originator to Pay All Bank Fees\*"

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYC

Account #: 2000031405177

Fed Wire ABA# 031201467 8ank Address: New York, NY 10017 ACH ABA# 026012881 8ank Address: New York, NY 10017

Beneficiary: Philadelphia Stock Exchange

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma

Invoice Date 08/10/2008	Account Number 100071534	Invoice Number 0808SCCP022925	Payment Terms NET30	Amount Due \$5,429,34
Reference SCCP Group ID	: 174		<del>-</del>	
Mem/Description Ралюрані Fund Interest Cr		Period Govered 7/1/08 - 7/31/08		Amount (\$14.64
SCCP Account Charge		7/1/08 - 7/31/08		\$250.00
Transaction Fees		7/1/08 - 7/31/08		\$5,036.49
Suffix Charge		7/1/08 - 7/31/08		\$32.00
Trade Recording Charge		7/1/08 - 7/01/08		5125.49
Grand Total				\$5,429.34

#### ALL FEES ARE PAYABLE IN U.S. DOLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaqomx.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdeq Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850

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Invoice Date 08/10/2008	Account Number 100071534	Invoice Number 0808SCCP022925	Payment Terms NET30	Amount Due \$5,429.34
invoice Detail				
SCCP Account N	umber: \$174-0			
Quantity	Description		Period Covered	Amount
	Participant Fund Interest Cr		07/01/08 - 07/31/08	(\$14.64)
	SCCP Account Charge		07/01/08 - 07/31/08	\$250.00
Sublotal 8	174-0			\$235.38
SCCP Account No	umber: 8174-8			
Quantity	Description		Period Covered	Amount
	Suffix Charge		07/01/08 - 07/31/08	\$32.00
	Trade Recording Charge		07/01/08 - 07/31/08	\$125.49
	Transaction Fees		07/01/08 - 07/31/08	\$5,036.49
Subtotal S	174-8			\$5,193.98
Total				\$5,429.34

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NASDAQ OMX

Invoice Date	Account Number	Invoice Number	Payment Terms		Amount Due
09/10/2008	100071534	0908SCCP049733	NET30		\$3,829.95
				Amount	Enclosed
	: CRISTIAN GRULLON ROTHERS - CRISTIAN GRULL	ON, EQUITIES BROKERAGE		<b>\$</b>	

#### (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to ndqbilling@nasdaqomx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
09/10/2008	100071534	0908SCCP049733	NET30	\$3,829.95
Reference SCCP Group Clearing Firm Reference				
Item/Description		Period Covered		Amoun
SCCP Account Charge		8/1/08 - 8/31/08		\$250.0
Transaction Fees		8/1/08 - 8/31/08		\$3,475.1
Suffix Charge		8/1/08 - 8/31/08		\$32.0
Trade Recording Charge	1	8/1/08 - 8/31/08		<b>8</b> 87.4
Participant Fund Interest	Cr	8/1/08 - 8/31/03		(\$14.6
Grand Tola	1			\$3,829.9

## ALL FEES ARE PAYABLE IN U.S. OOLLARS

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CP Account N	umber: \$174-0		
Quantity	Description	Period Covered	Amoun
	Participant Fund Interest Cr	08/10/80 - 08/31/08	(\$14.64
	SCCP Account Charge	08/01/08 - 08/31/08	\$250.00
SubtotalS	174-0		\$235.36
CP Account No	umber: \$174-8		
Quantity	Description	Period Covered	Amoun
	Suffix Charge	08/01/08 - 08/31/08	\$32.00
	Trade Recording Charge	08/01/08 - 08/31/08	\$87.42
	Transaction Fees	08/01/08 - 08/31/08	\$3,475,17
Subtotal S	174-8		\$3,694.59

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Paga 2 of 2

MASDAQ OMX

Invoice Date 09/10/2008

Account Number

100071534

Invoice Number 0908SCCP049733

Payment Terms NET30

Amount Due \$3,629.95

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PLEASE REMIT TO The NASDAQ Stock Market LLC Box 75750) PMadelphia, PA 19175-7503



Ptease help us ensure that your firm's contact information is current. If your firm has moved, changed personnel, or changed phone numbers and/or email addresses, please contact inet ATS Subscriber Services at 800-620-6410 or email <a href="mailto:subscriber@nasdau.com">subscriber@nasdau.com</a>.

Invoice #: LEHB0802
Period: 02/01/08 - 02/29/08
Date: 03/10/08
Account #: 10005800

The NASDAQ/BRUT/INET Customer Response Team is available to assist you with your inquiries. The team can also answer questions regarding open account balances. We can be reached at (800) 955-3898. (301) 978-4960 or by sending an email to populating @nasdaq.com.

Billing Summary	
Current Execution Charges	50.00
Current Other Charges	\$800.00
Total Taxes	\$67.00
Total Now Due	\$867.00

Total Charges: \$867.00

Total Shares: 0

Effective Rate / Share: \$0.000000

NASDAQ is required by the federal accurities laws to adhere to a service termination policy for members, issuers, and other market participants that are definquent in their payments to NASDAQ for any services, including transaction, data, listing, or other services. Under the policy, NASDAQ will terminate services for any firm that is more than 90 days delinquent in its payments to NASDAQ. As a substidiary of NASDAQ, this policy applies to thet ATS, Inc. The 90-day period is measured from the date of the invoice.

To pay via Wire/EFT:

Bank Name: PNC Bank

Swift#: PNCCUS33

Fed Wire ABA#: 031000053 ACH ABA#: 054000030 Sank Address: Philadelphia PA USA Sank Address: Washington DC USA

Account Name: The NASDAQ Stock Market LLC

Account Number: 5300401669

"Please include invoice number on your remittance"

Overnight Payments:

The NASDAQ Stock Market LLC

W- 757500

701 Market St. 199-3490 Philadelphia, PA 19106-1532

> Lehman Brothers Inc. Alth: ACCOUNTS PAYABLE 745 7th Avenus New York, NY 10019

Any and all amounts for or associated with any INET Subscription that are outstanding for more than thirty days from the end of the period shall be subject to a late fee of 1.5% or the maximum rate allowed by taw. The sale of information services is subject to sales tax in certain states; INET is required to collect sales tax on its sale of market data to Subscribers in those states.

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PLEASE REMIT TO The WASDAQ Slock Market LLC Box 757503 Philadelphia, PA 19175-7503



Please help us ensure that your firm's contact information is current. If your firm has moved, changed personnel, or changed phone numbers and/or email addresses, please contact that ATS Subscriber Services at 800-620-6410 or email <u>subscriber @nasdag.com</u>.

Invoice #: LEHB0802
Period: 02/01/08 - 02/29/08
Date: 03/10/08
Account #: 100065803

The NASOAC/BRUT/NET Customer Response Team is available to assist you with your inquiries. The learn can also answer questions regarding open account balances. We can be reached at (800) 955-3898, (301) 978-4980 or by sending an email to pdqb@ing@nasdaq.com.

## Transaction and Access Services Taxes

Address Used for Taxation: 745 7th Avenue New York, NY 10019

Other Charges	20.0		h. — — —
Charles Charles Discontinue Di	Pri Quantity 152		
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LEHBR4	FIX		STANDARD DATACENTER	\$400.00
LEHBX1	FIX		STANDARD DATACENTER	\$400.00
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Port Desci	ription
псн®	IYCH is a vendor level data feed that provides data about orders entered into and executed on finel ATS, Using ITCH, it is possible to build applications that display the full INET book and INET last trade information.
мтсн	Multicast IYCH is a UDP data transmission of the ITCH data feed over a multicast MOLD UDP interface. This service allows for a more efficient delivery of IYCH, and may eliminate the need for multiple ITCH connections.
OUCH <sub>E</sub>	OUCH is INET's native protocol that allows subscribers to enter orders, cancel orders, and receive executions. Using OUCH, subscriber brokerage firms can implement fast, efficient, and robust systems for computer-to-computer routing of orders.
DROP	DROP is a protocol that delivers real-time information about executions that take place on the linet ATS, Each DROP host is configured to transmit information concerning trades done by one or more INET subscriber firms. DROP is typically used by clearing firms to track the activity of their correspondents, or by larger firms to consolidate the activity of multiple INET access points.
FIX	INET's FIX system translates FIX messages to OUCH, OUCH is INET's native protocol that allows subscribers to enter orders, cancel orders, and receive executions.

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PLEASE REMIT TO The NASOAQ Stock Market LLC Ban 757503 Philadelphia, PA 19175-7503



Please help us ensure that your firm's contact information is current. If your firm has moved, changed personnel, or changed phone numbers and/or email addresses, please contact inet ATS Subscriber Services at 600-520-6410 or email subscriber@nasdag.com.

Mark LHSB0802 02/01/08 - 02/29/09 Period: Date: 03/10/08 Account #: 100085804

The NASDAQ/BRUT/INET Customer Response Team is available to assist you with your inquiries. The team can also answer questions regarding open account balances. We can be reached at (800) 955-3898, (301) 978-4960 or by sending an email to ndobiting@nesdag.com.

Billing Summary	
Current Execution Charges	\$0.00
Current Other Charges	\$400.00
Total Texes	\$30,50
Total Now Due	\$433.50

Total Charges: \$433.50

Total Shares: 0

Effective Rate / Share: 50,000000

MASDAQ is required by the federal securities laws to adhere to a service termination policy for members, Issuers, and other market participants that are definquent in their payments to NASDAQ for any services, including transaction, data, listing, or other services. Under the policy, NASDAQ will terminate services for any firm that is more than 90 days delinquent in its payments to NASDAQ. As a substidiary of NASDAQ, this policy applies to Inet ATS, Inc. The 90-day period is measured from the date of the invoice.

To pay via Wire/EFT:

Swift#: PNCCUS33 Bank Name: PNC Bank

Fed Wire ABAN: 031000053 Bank Address: Philadelphia PA USA ACH ABA#: 054000030 Benk Address: Washington DC USA

Account Name: The NASDAQ Stock Market LLC

Account Number: 5300401669

"Please include invoice number on your remittance"

Overnight Payments:

The NASDAQ Stock Market LLC

W-757503

701 Market St. 199-3490 Philadelphia, PA 19106-1532

> Lehman Brothers Inc. ANT: ACCOUNTS PAYABLE 745 7th Avenue New York, NY 10019

Any and all amounts for or associated with any INET Subscription that are outstanding for more than thing days from the end of the period shall be subject to a late fee of 1.5% or the maximum rate allowed by law. The sale of information services is subject to sales law in certain states; WET is required to collect sales (ax on its sale of market dats to Subscribers in those slates.

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 8 of 160



PLEASE REMIT TO The NASDAQ Stock Market LLC Box 757503 Philadelphia, PA 19175-7503



Please help us ensure that your firm's contact information is current. If your firm has moved, changed personnel, or changed phone numbers and/or email addresses, please contact Inet ATS Subscriber Services at 800-820-8410 or email subscriber @nasdaq.com.

Invoke #: LHSB0802
Period: 02/01/08 - 02/29/09
Date: 03/10/08
Account #: 100065804

The NASDAC/BRUT/INET Customer Response Team is available to assist you with your inquiries. The team can also answer questions regarding open account balances. We can be reached at (800) 955-3898, (301) 978-4960 or by sending an email to ndgb@ing@nasdag.com.

# Transaction and Access Services Taxos Address Used for Taxation: 745 7th Avenue New York, NY 10019

Other Charges	Quanty 2	BANG GARG	E Ethiological	
Port Charges	1	\$400,0000	8400.00	533.50
	TOTAL O	THER CRANCES	\$400.00	<b>建设置的</b>

Port Charge Detail			
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Port Oosc	ription
псн€	ITCH is a vendor level data feed that provides data about exters entered into and executed on Inet ATS. Using ITCH, it is possible to build applications that display the full INET book and INET last trade information.
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DROP	DROP is a protocol that defivers real-time information about executions that take place on the finet ATS. Each DROP host is configured to transmit information concerning trades done by one or more INST subscriber firms. DROP is typically used by clearing firms to track the activity of their correspondents, or by larger firms to consolidate the activity of multiple INST access points.
FUX	INET'S FIX system translates FIX messages to OUCH. QUCH is INET's native protocol that allows subscribers to enter orders, cancel orders, and receive executions.

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 9 of 160



PLEASE REMIT TO The NASDAQ Stock Market LLQ Box 757503 Philadelphia, PA 19175-7503



Please help us ensure that your firm's contact information is current. If your firm has moved, changed personnel, or changed phone numbers and/or email addresses, please contact fnet ATS Subscriber Services at 800-620-6410 or email subscriber@nasdag.com.

Invoice #: LHSB0803
Period: 03/01/08 - 03/31/08
Oate: 04/10/08
Account #: 100065804

The NASDAQ/BRUT/INET Customer Response Team is available to assist you with your inquiries. The team can also answer questions regarding open account balances. We can be reached at (800) 955-3898, (301) 978-4960 or by sending an email to indipating@nasdau.com.

Billing Summary	
Current Execution Charges	50.59
Current Other Charges	\$800.00
Total Taxes	\$67.00
Total Now Due	\$867.59

Total Charges: \$367,59 Total Shares: 800

Effective Rate / Share: \$1,000737

NASDAQ is required by the federal securities laws to adhere to a service termination policy for members, issuers, and other market participants that are definquent in their payments to NASDAQ for any services, including transaction, data, listing, or other services. Under the policy, NASDAQ will terminate services for any firm that is more than 90 days delinquent in its payments to NASDAQ. As a subsidiary of NASDAQ, this policy applies to finet ATS, Inc. The 90-day period is measured from the date of the invoice.

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Fed Wire ABA#: 031000053 Bank Address: Philadalphia PA USA ACH ABA#: 054000030 Bank Address: Washington DC USA

Account Name: The NASDAQ Stock Market LLQ

Account Number: 5300401669

"Please include invoice number on your remittance"

Overnight Payments: The NASDAQ Stock Market LLC W- 757500

701 Market St. 199-3490 Philadelphia, PA 19106-1532

> Lehman Brothers Inc. Attn: ACCOUNTS PAYABLE 745 7th Avenue New York, NY 10019

Any and at amounts for or associated with any INET Subscripton that are cutstanding for more than thirty days from the end of the period shall be subject to a late fee of 1.5% or the maximum rate allowed by law. The sale of information services is subject to sales tax in certain states; INET is required to collect sales tax on its sale of market data to Subscribers in those states.

Your trade debtif can be retrieved in electronic format at the following address:

http://billing.inctats.com/detail/503F96F4/200803.exe

The password for this file is: m2hkAHzcBX

#### Where is my Trade Detail?

After typing the address into your web browser and pressing Enter, choose the option to save the file to disk. When the file is finished downloading, open the folder in which you saved the file. If you are using Microsoft Windows, you can double-click the file and it will automatically decompress. If you are using Unix or any other non-Windows platform, you can decompress the file by using an UNZIP utility that works on your operating system. While decompressing, the program will prompt you for a password. Please enter the password displayed on the front page of this bill. The password is case-sonsitive.

By default, the expanded file will be placed in a Bills folder on your C; drive. You can specify a different destination folder by dicking the Browse button. When the file has finished decompressing, you can open it in Excel or any other spreadsheet program that accepts CSV (Comma-Separated Volues) files. If the file is too large (more than 65,000 trades), Excel may not be able to open it. In that case you can import the file into Access, SQt. Server, or any other database program.

The layout of the fields in the file is as follows:

Date. The date of the trade expressed in YYYYMMOD format.

Time. The time of the trade in HH:MM:SS format.

Bource This field indicates the source whereby this order was delivered to INET. This may be the identifier of one of your connection ports or a special code.

User. This field reflects the reported user identifier.

Token. This field reflects the subscriber recorded loken.

BuyBell. This one-letter field indicates whether the order was a buy (8) or set (5).

Shares This field reports the number of shares executed. It may be less than the number of shares entered in the case of a partial execution.

Symbol. This field indicates the stock symbol of the order.

Price This held indicates the price of the execution. The field has a six-digit whole number followed by a four-digit decimal portion.

Liquidity This single-letter field indicates the figuldity of the trade. Categories are as follows: A: Add Liquidity |
R: Remove Liquidity | X: Routed | D: Routed to DOT 0: Opening Cross Billable | M: Opening Cross
Non-Billable | C: Closing Cross Billable | L: Closing Cross Non-Billable | H: Hall/PO Cross Billable |
K: Hall/PO Cross Billable | I: Intraday Cross | Y: NYSE Re-Routed | D: NYSE Removed | F: NYSE
Provider | G: NYSE Slended

Match Each execution match on INET will be assigned a day-unique match number. Any execution for a given day can be uniquely identified by Match Number + BuySell.

Symbol This single-letter field indicates the Symbol Listing Exchange. Categories are as follows:

Exchange Q: Nasdaq Listed | N: NYSE Listed | A: AMEX and Regional

Symbol. This single-letter field indicates the type of the symbol executed. Categories are as follows:

Type Q: Nasdaq Listed | L: AMEX, NYSE, Regional Listed | E; ETF

FIX Match Each FIX match on INET will bu assigned a day-unique match number. (FIX only)

FIX Source This held indicates the FIX source whereby this order was delivered to INET. (FIX only)

FIX User This field Indicates the FIX user or SenderSubID. (FIX only)

Execution. This four-letter field indicates the type of the execution. Categories are as follows:

Type (NET: Shares executed on INET ATS.)

DOTT: Shares executed on NYSE DOT.

DOTA: Shares executed on AMEX

DTAB: Shares executed on AMEX with surcharge.

RTO: Shares executed on market destinations other than INET.

Routing. This field indicates the routing strategy.

Strategy

FIX User This field contains a system generated code to indicate trade properties. For Supermontage users Area, the H/I/2 indicator is located in positions 11-14.

Order Qty This field indicates the user-entered Order Quantity for routed trades. (FIX only)

Tape-8. This held indicates if the security is utigible for the special Tage-b rebate.

Promo Categories are as follows:

1: Tapo-b Promo eligible security | 0: Not Tape-b Promo eligible

Olaplay This field indicates whether the Nasdaq book trada was displayed or non-displayed.

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	Total	300	1\$0.84	(00	(10.27)	. 0	40.00	10,01
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Nasdaq Listed Se-	curilies >=						
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#### 08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 12 of 160



PLEASE REMIT TO The NASDAQ Stock Market LLC Box 757503 Pritadelphia, PA 19175-7503

Transaction and Access Services Taxes

Address Used for Taxation: 745 7th Avenue

Port Charges

**《基金》** 



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Invoice F: LHSB0803 Period: 03/01/08 - 03/31/08 04/10/08 Date:

\$800.00

387.00

Account #: 100085604

\$400,0000

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The NASDAQ/BRUT/INET Customer Response Team is available to assist you with your inquiries. The team can also answer questions regarding open account balances. We can be

reached at (800) 955-3898, (301) 978-4960 or by sending an email to ortobing@nasdaq.com.

New York, NY 10019 Other Chargos THE PROPERTY OF THE DESCRIPTION AND THE PROPERTY OF THE PROPER

Port Charge Detail	***************	Carrier of the Carrier		70-bo meen 120-aan	
ATTRACTOR NAME OF THE	i e i i yre		<b>元</b> 學(於秦)(智) <b>(1086)</b>	On Maria Street	Cost endercy
LHS8D1	DROP		STANDARD DATACENTER		\$400,00
LEHBX1	FIX		STANDARD DATACENTER		\$400. <b>00</b>

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Port Desc	ription
псне	ITCH is a vendor level data feed that provides data about orders entered into and executed on linet ATS.  Using ITCH, it is possible to build applications that display the full INET book and INET tast trade information.
мтсн	Multicast ITCH is a UDP data transmission of the ITCH data feed over a multicast MOLD UDP Interface. This service allows for a more efficient delivery of ITCH, and may eliminate the need for multiple ITCH connections.
onche	OUCH is INET's native protocol that allows subscribers to enter orders, cancel orders, and receive executions. Using OUCH, subscriber brokerage firms can implement fast, efficient, and robust systems for computer-to-computer routing of orders.
одор	OROP is a protocol that delivers resiltime information about executions that take place on the finet ATS. Each DROP host is configured to transmit information concerning trades done by one or more INST subscriber firms, DROP is typically used by clearing firms to track the activity of their correspondents, or by larger firms to consolidate the activity of multiple INST access points.
FIX	INET's FIX system translates FIX messages to OUCH, OUCH is INET's native protocol that allows subscribers to enter orders, cancel orders, and mostre executions.

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The NASDAQ Stock Market LLC

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Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
05/10/2008	100056812	0508NTS071688	NET30	\$33,287.71
ATTENTION:	•		Amount Enclosed  \$	arket LLC

#### $\overline{\mathcal{G}}_{ij}^{a}$ , which is the contraction of t (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to adobiting@nasdaqomx.com, call 800-955-3898 or 301-978-4960, or (ax 301-978-4910)

Please send Wires and ACH payments to: Bank Name: Wachovia Bank, N.A. SWIFT # PN8PUS3NNYC Account #: 2000031405177 Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ASA# 026012881 Bank Address: New York, NY 10017 Beneficiary: The NASDAQ Stock Market LLC

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma

Invoice Summary					
Invoice Date 05/10/2008	Account Number 100056812	Invoice Number 0508NTS071688	Payment Tem NET30	ns	Amount Oue \$33,287.71
		Suprem 1			
Co-location/Direct Co	onneci - Total	\$31,110,00	52,177.71	\$33,287.71	
Grand Total for Inve	olce	\$31,110.00	\$2,177.71	\$33,287,71	

To view additional details for your NASDAO Executions transactions, please visit http://infocenter.inetats.com, and enter your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user IO and password.

Correspondence: Send all address corrections and billing laquiries, via email to: notphilling@nasdaqorrox.com. Note: Do not include these items with your payment to the lockbox andress, as this will result in a delay in processing your request.

All tegal correspondence must be directed to: Nasdaq Office of General Counsel, 9500 Blackwell Road, 3rd Floor, Rockville, MD 20850

Invoice Detail

Location Number: 40246 Site Name: INET-LEHM-Colo 1400 Federal Blvd

Carteret, NJ 07008

Code Monitorio Fred Connect St. Talk 1982	Period Covered & Section	Quantity Rate Description	<b>PROBLEM</b>	g.√Tex≙⊃	FE 1841	
Additional 1Gb Copper to Nasdaq Monthly Fee	04/01/08 - 04/30/09	6 Varies	\$1,500.00	\$105.00	\$1,605.00	
CME Market Data Monthly Fee	04/01/08 - 04/30/08	1 Varies	\$3,500.00	\$245.00	53,745,00	
CME Market Data Setup Fee	04/01/08 - 04/30/08	1 Varies	\$1,000.00	870.00	\$1,070.00	
Full Cabinet MRC	04/01/08 - 04/30/08	5 Varies	\$15,000.00	\$1,050.00	\$18,050.00	
Market Data - CMDL1A	04/01/08 - 04/30/03	1 Varies	\$35,00	\$2.45	\$37.45	
Market Data - OpenBook	04/01/08 - 04/30/08	1 Varies	\$2,500.00	1175.00	\$2,575.00	
Power 2x50 amp 203 vot Monthly Fee	04/01/08 - 04/30/08	5 Varies	\$5,750.00	\$402.50	48,152.50	
Remote Hand Services Migratif equipment from old half cabinet to new full cabinet	04/01/08 - 04/30/09	1 Varies	\$800,00	142.00	<b>\$842.00</b>	
Remote Hand Services Unracked 1 switches for delivery to Manuel Caceres	04/01/08 - 04/30/08	1 Varies	\$75,00	\$5.25	\$80.25	

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The NASDAQ Stock Market LLC Pg 14 of 160 Page 2 of 3

Invoice Data 05/10/2008	Account Number 100056612	Invoice Number 0508NTS071688	Payment Terms NET30			улюunt Due \$33,287.71
Remote Hand Services	s package pickups	04/01/08 - 04/50/08	1 Varies	\$75.00	35.25	<b>\$80.25</b>
Remote Hand Service: and tracking	s packaga receiving, fetrleving,	04/01/08 - 04/20/08	1 Varies	\$37.50	\$2,63	\$40.13
Remote Hand Service:	s package retrieving and traking	04/01/08 - 04/30/08	1 Varies	\$37,50	12.63	\$40.13
SIAC Market Data Sex	<b>u</b> p	04/01/08 - 04/30/08	1 Varies	\$1,000,00	\$70.00	\$1,070,00
	Co-location/Direct 0	Connect - Totat		\$31,110.00	\$2,177,71	\$33,287,71
	Total for Location	Humber: 40246		431,110.00	\$2,177.71	133,287.71
	Grand Total			\$31,110.00	32,177.71	\$33,267,71

The NASDAQ Stock Market LLC

Pg 15 of 160

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Page 3

of 3

Invoice Oate 05/10/2008

Account Number 100056812

Invoice Number 0508NTS071568

Payment Terms NET30

Arrigunt Due \$33,287.71

#### Summary by Billing Component

Billing Componerd Description	A TOTAL PROPERTY.			
Additional 1Gb Copper to Nasdaq Monthly Fee	6	\$1,500.00	£105.00	\$1,605.00
CME Martiet Data Monthly Fee	1	\$3,500,00	5245.00	<b>63,745.00</b>
CME Market Data Setup Fee	1	81,000.00	\$70,00	\$1,070,00
Full Cabinel MRC	5	\$15,000.00	\$1,050.03	\$16,050,00
Market Data - CMDL1A	1	\$15.00	32.45	\$37,45
Market Data - OpenBook	í	\$2,500,00	\$175.00	\$2,875.00
Power 2x50 amp 206 volt Monthly Fee	5	\$5,750,00	\$402.50	\$6,152.50
Remote Hand Services Migratd equipment from old helf cabinet to new full cabinet.	1	\$690.00	\$42.00	\$842.00
Remote Hand Services Unracked 2 switches for delivery to Manuel Caceres	ĭ	\$75.00	85.25	\$80.25
Remote Hand Services package pickups	1	\$75.00	\$5.25	180.25
Remote Hand Services package receiving, retrieving, and tracking	1	697.50	\$2.63	340.13
Remote Hand Services package retrieving and taking	1	#37,50	<b>52.6</b> 3	\$40.13
SIAC Market Data Setup	1	\$1,000,00	\$70.00	21,070.00
Grand Total		\$31,110.00	\$2,177.71	\$23,287.71

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 16 of 160

The NASDAQ Stock Market LLC

Invoice Cate Account Number Invoice Number 06/10/2008 0608NTS091910 100056812

Payment Terms NET30 Amount Englosed Amount Oue \$31,409,88

LEHMAN BROTHERS INC.

ATTENTION: A/P - IMAGE PROCESSING SYS T&R 1007832

PURCHASE ORDER; LBUSA-0000062050

PO BOX 2009

SECAUCUS RJ 07098

Please make checks payable to The HASDAQ Stock Market LLC Lockbox 10200 / P.O. Box 8500 Philadelphia, PA 19178-0200

Overright payments should be sent to Wachovia Bank / The NASDAQ Stock Market LLC Lockbox #10200 / 401 Market Street

Philadelphia, PA 19106

## 

(PLEASE OEYACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT) Email Invoice questions to notphilling@nasdaqorux.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPU\$3NNYC

Account #: 2000031405177

Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ASA# 026012881 Bank Address; New York, NY 10017

Beneficiary: The NASDAQ Stock Market LLC

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Amount Do \$31,409.8
ACCULATION OF THE PROPERTY OF
409.88
409,86

To view additional details for your NASDAO Executions transactions, please visit http://infocenter.inetats.com. and enter your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user ID and password.

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaqorux.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counset, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850

Invoice Detail

Location Number: 40248 Site Name: INET-LEHM-Colo

1400 Federal Blvd Carterel, NJ 07008

Co-location/Dynict Connect	Police Covered	@ Country	Rate Descri	ptonSubTobil	· Santarian	3-44 <b>100</b> 3
Additional 1Gb Copper to Nasdaq Monthly Fee	05/01/08 - 05/01/08	6	Varies	\$1,500.00	\$105.00	\$1,805.00
CAT 6 Installation	05/01/06 - 05/31/08	2	Varies	\$600.00	\$42,00	\$642.00
CME Market Data Monthly Fee	05/01/08 - 05/31/08	1	Verles	\$3,500,00	\$245,00	13,745.00
Full Cabinel MRC	05/01/08 - 05/01/08	5	Varies	\$15,000.00	\$1,050.00	\$16,050.00
Morzet Data - CMDL1A	05/01/08 - 05/31/08	ſ	Varies	\$55.00	\$3.85	\$58.35
Market Data - OpenBook	05/01/08 - 05/31/08	1	Varies	\$2,500,00	\$175.00	\$2,675.00
Power 2x\$0 amp 208 yok Monthly Fee	05/01/08 - 05/31/06	5	Varies	\$5,750.00	\$402.50	58,152,50
Remote Hand Services Rebook	05/01/06 - 05/31/08	1	Varies.	\$37.50	\$2.53	\$40.13
Remote Fland Services circuit extension	05/01/08 - 05/01/08	1	Varies	375.00	15.25	\$60.25
Remote Hand Services package tracking	05/01/08 - 05/91/08	1	Varies	697.50	52.63	\$40.13

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The NASDAQ Stock Market LLC Pg 17 of 160 Page 2 of 3

		9 -	3			
Invoice Oate 06/10/2008	Account Number 100056812	Invoice Number 0608NTS091910	Payment Terms NET30			unount Oue \$31,409.86
Remote Hand Service: tracking;receiving;retrk		05/01/08 - 05/31/08	1 Varies	\$225,00	\$15.75	\$240.75
Remote Hand Services scheduling pick up	s return package preparing and	05/01/08 - 05/31/08	1 Varies	\$75,00	\$5.25	180.25
	Co-location/Direct (	Connect - Total:		\$29,355.00	\$2,054,66	631,409.86
	Total for Location	Number: 40245		\$29,355.00	\$2,054.86	\$31,409,66
	Grand Total			\$29,355,00	\$2,054.\$5	\$31,409.86

The NASDAQ Stock Market LLC Pg 18 of 160

Exhibit D

Invoice Date	Account Number	I Invoice Number	Payment Terms	Amount Due
IIII DAE DEED	710000 dilik i i bilinoci		i ajiiwii i oiiiw	A LINGUIL DOG
06/10/2008	100058812	0608NTS091910	NET30	\$31,409,86
007 JUI 2000	, 100000001X	00001113031810	I HE130	331,408,00

#### Summary by Billing Component

Billing Component Description	Quantity	Subtotal		
Additional 1Gb Copper to Nasdeq Monthly Fee	e	\$1,500.00	£105.00	81,605.00
CAT 6 Installation	2	\$600.00	\$42.00	\$642.00
CME Market Data Monthly Fee	1	\$3,500,00	\$245,00	83,745.00
Full Cabinet MRC	5	515,000.00	\$1,050.00	\$18,050.00
Market Data - CMOL1A	1	\$55.00	\$3.85	\$50.65
Market Data - OpenBook	1	\$2,500.00	\$175.00	\$2,675.00
Power 2x50 amp 208 yok Monthly Fee	5	\$5,750.00	\$402.50	\$6,152.50
Remote Hand Services Reboot	1	837.50	\$2.63	\$40.13
Remote Hand Services circuit extension	1	575.00	\$5.25	\$80.25
Remote Hand Services package tracking	1	\$37.50	\$2.63	\$40.13
Remote Hand Services packaged tracking/receiving/retrieving provide cables	1	\$225.00	£15.75	<b>\$2</b> 40.7 <b>5</b>
Remote Hand Senricas return package preparing and scheduling pick-up	1	875.00	\$5,25	\$80.25
Grand Total		\$29,385.00	\$2,064.86	\$31,409.66

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pa 19 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
07/10/2008	100056812	0708NTS005358	NET30	\$30,580,61
	·		Amount Englosed	
			\$	
			Please make checks payable to	
LEHMAN B	ROTHERS INC.		The NASDAQ Slock Market LLC	
ATTENTIO	N: A/P - IMAGE PROCESSING	SYS TSR 1007832	Locktox 10200 / P.O. Box 8500	
PURCHASE	ORDER: LBUSA-0000062050	1	Prilladelphia, PA 19178-0200	
PO BOX 23	39		Overnight payments should be sent to	
SECAUCUS	3 NJ 07098		Wachovia Bank / The NASDAQ Stock Market I	uc
			Lockbox #10200 / 401 Market Street	
			Philadelphia, PA 19106	

#### **్ట్ స్టాప్ స్ట్రి స్ట్రి సినిమ్ కుండ్ సింగ్ సమ్మాక్షిప్ ప్రాస్క్ స్ట్రి స్ట్రిస్ స్ట్రిస్** (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SENO IT WITH YOUR PAYMENT)

Email Invoice questions to adqbilling@nasdagomx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYC

Account #: 2000031405177

Find Wire ABA# 031201467 Bank Address: New York, NY 10017. ACH ABA# 026012881 Bank Address: New York, NY 10017

Baneficiary: The NASDAO Stock Market LLC

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Summary					
Invoice Oate	Account Number	Invoice Number	Payment Tem	ns	Amount Due
07/10/2008	100056812	0708NTS005358	NET30		\$30,580.61
Co-location/Direct Cor	nn <del>e</del> ct - Total	\$28,580.00	\$2,000.61	\$30,580.81	
Grand Total for Involce		\$28,580,00	\$2,000.61 \$39,580.61		

To view additional details for your NASDAQ Executions transactions, please visit http://infocenter.inetats.com. and enter your firm's existing user and oassword information. Nite: ACT Transaction summary reports can still be obtained on the Nasdagtrader.com billing page via your existing user IO and password.

Correspondence: Send all address corrections and billing laquiries, via email to: ndqbilling@nasdaqomx.com, Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850

Invoice Detail

Location Number: 40246 8ite Name: INET-LEHM-Colo 1400 Federal Blvd Carteret, NJ 07008

Co-location Great Connect Table 2	Neffor Covered (\$4.50)	Quantity Rate Description	Subtotal		ं कि
Additional 10b Copper to Nasdaq Monthly Fee	06/01/08 - 06/30/08	8 Varies	\$1,500.00	\$105.00	11,805.00
CME Market Dala Monthly Fee	05/01/08 - 05/30/08	1 Varies	13,500.00	5245.00	39,745.00
Custom Service request received on 4/24/08 - tar 1023458; cab 412.25	08/01/08 - 08/00/09	1 Varies	1200.00	114.00	\$214.00
Full Cabinet MRC	05/01/08 - 08/30/08	5 Varies	\$15,000.00	\$1,050.00	\$16,050.00
Market Data - CMDL1A	05/01/08 - 06/30/08	1 Varies	\$55.00	53.85	658.85
Market Dala - OpenBook	08/01/08 - 08/30/08	1 Varies	\$2,500.00	\$175.00	\$2,675.00
Power 2x50 amp 208 wolt Monthly Fee	05/01/08 - 05/30/08	5 Varies	35,750.00	\$402.50	\$8,152.50
Remote Hand Services Ran cable from patch penel to switch	06/01/08 - 06/30/08	1 Varies	\$37.59	\$2.69	\$40.13

The NASDAU Stock Market LLC 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Pg 20 of 160 3 Invoice Oate 07/10/2008 Account Number 100056812 Invoice Number 0708NTS005358 Payment Terms Amount Due NET30 **830**,580.61 Co-location/Direct Connect - Total: \$26,580.00 \$2,000.61 130,580.61 Total for Location Number: 40246 \$28,680.00 \$2,000.65 \$30,580.61

**Grand Total** 

\$10,580.61

\$28,560,00

62,000,61

The NASDAQ Stock Market LLC Filed 10/03/08 Entered 10/03/08 15:54:55 Pg 21 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
07/10/2008	100056812	0708NTS005358	NET30	\$30,580,61

#### Summary by Billing Component

Balling Colingonant Description	Quinty	Saltoni (		
Additional 1Gb Copper to Nasdaq Monthly Fee	6	\$1,500.00	\$105.00	\$1,605.00
CME Market Data Monthly Fee	1	13,500.00	\$245.00	\$3,745.00
Custom Service request received on 4/24/08 - 1sr 1023488; cab 412.25	1	\$200,00	\$14,00	\$214.00
Full Cabinet MRC	5	\$15,000.00	31,050.00	316,050.00
Warket Data - CMDL1A	1	655.00	\$3,65	\$58.35
Market Dala - OpenBook	1	12,500.00	\$175.00	52,675.00
Power 2x50 amp 208 vot Monthly Fee	5	\$5,750.00	\$402.50	\$6,152.50
Remote Hand Services Ran cable from patch panel to switch	1	637.50	12.63	\$40.13
Remote Hand Services Technicien escort to cabinet location	1	\$37.50	32.83	\$40,13
Grand Total		\$28,580.00	\$2,000.61	13,080,061

## 08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 22 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Du		
08/10/2008	100056812	0808NTS021462	NET30	\$30,727,74		
			Amount Enclosed			
			\$			
			Přease make checks poyable to			
LEHMAN BI	ROTHERS INC.		The NASDAQ Stock Market LLC			
ATTENTION	i: A/P - IMAGE PROCESSING	SYS T5R 1007832	Lockbox 10200 / P.O. Box 8500			
	ORDER: LBUSA-0000062050	1	Prolatielphia, PA 19178-0200			
PO BOX 23:			Overnight payments should be sent to			
SECAUCUS	NJ 07098		Wachovia Bank / The NASDAQ Stock Market L	LC		
			Lockbox #10200 / 491 Market Street			
			Philadelohia, PA 19108			

#### ෦෯෧ඁ෧෧෧෧෧෧෫෦෫෯෫෯෫ඁ෪ඁ෦ඁ෪ඁ෫෦෫෦෦෫෦ඁ෦෦෦෦෦෧෧෧෧෧෦෫෯෫ඁ෯෧෫ඁ෫ඁ෦෫ඁ෦෫෯෯ඁ෫ඁ෫ඁ෪෯෯෫ඁ෪෧෯෫ඁ෦෫෯෫ඁ෪෧෧෧෧෫ඁ෯෯෫ඁ෦෧෫෯෫෫ඁ෦෫ඁ෦෫෧෦෧෧෦෯෧ (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to adqbiling@nasdagomx.com, call 800-955-3898 or 301-978-4980, or fax 301-978-4910

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYC

Account #: 2000031405177

Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, NY 10017

Beneficiary: The NASDAQ Stock Market LLC

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Summary					
Invoice Date 08/10/2008	Account Number 100056812	Invoice Number 0808NTS021462	Payment Tem NET30	ns	Amount Due \$30,727.74
		SAME TO SE			
Co-location/Direct Cor	nnect - Total	\$28,717.50	\$2,010.24	\$30,727.74	
Grand Total for Invol	ice	\$28,717.50	\$2,010.24	\$30,727.74	

To view additional details for your NASOAQ Executions transactions, please visit http://infocenter.inetats.com. and enter your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user ID and password.

Correspondence: Send all address corrections and biting inquiries, via email to: ndqbilling@nasdaqonx.com, Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasday Office of General Counset, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850

Invoice Detail

Location Number: 40246 Site Name: INET-LEHM-Colo 1400 Federal Blyd Carteret, NJ 07008

Co-location/Direct Connections	Period Committee (1995)	Quantity Rate Description	SubTotal	Text	CST TOUT
Additional 1Gb Copper to Nasdaq Monthly Fee	07/01/08 - 07/31/08	6 VARUES	\$1,500.00	\$105.00	\$1,805.00
CAT 6 Installation	07/01/08 - 07/31/08	1 VARIES	\$300.00	621.00	\$321,00
CME Market Data Monthly Fee	07/01/08 - 07/01/08	1 VARIES	\$3,500.00	1245.00	83,745.00
Full Gabinet MRC	07/01/08 - 07/31/08	5 VARIES	515,000.00	\$1,050.00	\$16,050.00
Market Data - CMDL1A	07/01/08 - 07/31/08	1 Varies	\$55.00	13.85	659.65
Market Data - OpenBook	07/01/08 - 07/31/08	1 Varies	82,500,00	\$175.00	12,675.00
Power 2x50 amp 208 volt Monthly Fee	07/01/08 - 07/31/08	5 varies	55,750.00	\$402.50	\$3,152.50
Remaile Hand Services Move infinitional cables	07/01/08 - 07/31/09	1 VARIES	\$37,50	\$2.83	\$40.13
Remote Hand Services Powercycle machine	07/01/08 - 07/31/08	1 VARIES	137.50	\$2.83	\$40.13
Remote Hand Services Provided Jens with current access list	07/01/08 - 07/31/08	1 VARIES	\$37.50	12.63	\$40.13

The NASDAQ Stock Market LLC Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Page 2 of 3

Invoice Date 03/10/2008	Account Number 100058812	Invoice Number 0808NTS021462	Payment Terms NET30	Amount Due \$30,727,74		
	Co-location/Direct Co.	onect - Totat.		\$28,717.50	\$2,010.24	<b>630,727.74</b>
	Total for Location Number: 40245			\$28,7 (7.50	\$2,010.24	330,727.74
	Grand Total			528,717.50	\$2,010.24	\$30,727,74

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55

The NASDAQ Stock Market LLC Pg 24 of 160

Exhibit D **Page 3** 

3

Invoice Date 08/10/2008

Account Number 100056812

Invoice Number 0808NTS021462 Payment Terms NET30

Amount Oue 530,727,74

Summary by Billing Component

Baling Component Description	COLUMN	8 Bullional	100	<b>45 Tob</b> l
Additional 1Gb Copper to Nasdaq Monthly Fee	6	61,500,00	\$105,00	31,605.00
CAT 6 installation	1	\$300,00	321.00	\$321.00
CME Market Data Monthly Fee	1	\$3,500.00	\$245.00	13,745.00
Full Cabinel MRC	5	\$15,000.00	\$1,050.00	\$16,059,00
Market Data - CMDLIA	1	\$55.00	13.65	458.85
Market Data - OpenBook	1	\$2,500.00	6175.00	\$2,675.00
Power 2x50 amp 206 yor Monthly Fee	5	35,750.60	\$402.50	96,152.50
Remote Hand Services Move infinitiand cables	1	137.50	\$2.63	\$40.13
Remote Hand Services Powercycle machine	1	\$37.50	\$2.63	\$40,53
Remote Hand Services Provided Jens with current access list	1	997.50	12.55	£40,13
Grand Total		\$28,717.50	\$2,010.24	\$30,727.74



NASDAD Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 25 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amouni Due
09/10/2008	100056812	0908NTS048048	NET30	\$31,008.60
			Amount Enclosed	
			\$	
ATTENTIO	ROTHERS INC. N: A/P - IMAGE PROCESSING E ORDER: LBUSA-0000062050		Please make checks payable to The NASDAQ Stock Market LLC Lockbox 10200 / P.O. Box 8500 Philadelphia, PA 19178-0200	
PO BOX 23 SECAUCUS			Overnight payments should be sent to Wachovia Bank / The NASDAQ Stock Mark Lockbox #10760 / 401 Market Sheet Philadelphia, PA 19106	reliLC

#### (PLEASE OFFACH REMITTANCE ADVICE ABOVE AND SENO IT WITH YOUR PAYMENT)

Email Involce questions to individing@nasdagomx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUSONNYC

Account #: 2000031405177

Fed Wire ABA# 031201487 Bank Address: New York, NY 10017 ACH ABA# 025012881 Bank Address: New York, NY 10017

Baneficiary: The Nasdaq OMX Group Inc.

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Summary					
Invoice Date	Account Number	Invoice Number	Payment Terr	ns	Amount Due
09/10/2008	100056812	0908NTS048046	NET30		\$31,008.60
Co-location/Direct Con	med - Total	\$28,980.00	\$2,028.60	\$31,008.60	
Grand Total for Invol	ce	\$28,980.00	\$2,026.60	\$31,008.60	

To view additional delaits for your NASDAO Executions transactions, please visit http://linfocenter.inetats.com. and enter your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user ID and password.

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaqonx.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850

Invoice Detail

Location Number: 40248 Site Name: INET-LERM-Colo 1400 Federal Blvd Carteret, NJ 07008

Too generation to consider the party of the second		-			
Additional 1Gb Copper to Nasdaq Monthly Fee	08/01/08 - 08/01/08	6 VARIES	\$1,500.00	6105.00	\$1,665.00
CAT 6 Installation	08/01/08 - 03/31/08	2 VARIES	\$800.00	\$42.00	5642.00
CME Market Data Monthly Fee	08/01/08 - 08/01/08	1 VARIES	63,500,00	\$245.00	\$3,745.00
Full Cabinet MRC	08/01/08 - 08/31/08	5 VARIES	115,000.00	\$1,050.00	\$16,050.00
Markel Data - CMOL1A	08/01/08 - 03/31/08	1 Varies	\$55.00	\$3.85	258.85
Markel Data - OpenBookUlira	08/01/08 - 08/31/08	1 Varies	62,500,00	\$175,00	\$2,675,00
Pols Line	08/01/08 - 08/31/08	1 Varies	\$0.00	\$0.00	50.00
Power 2x50 amp 208 volt Monthly Fee	08/01/08 - 08/31/08	5 varies	25,730.00	5402.50	56,152.50
Remote Hand Services cable swap from straight-thru to crossover	08/01/08 - 08/31/08	1 VARIES	\$75.00	\$5.25	\$80.25

NASDAD DOC 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 26 of 160 Page 2 of 3

Invoice Date 09/10/2008	Account Number 100056812	Invoice Number 0908NTS048046	Paymeni Terms NET30		Amount Du \$31,008.60		
Co-location/Direct Connect - Total:					\$2,028.60	531,008.60	
	Total for Location Number: 40246			\$28,980.00	\$2,028.60	\$31,008.60	
	Grand Total			\$28,980.00	\$2,028,50	\$31,008,80	

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Pg 27 of 160

3

Invoice Date 09/10/2008 Payment Terms Amount Due Account Number 100056812 Invoice Number 0908NTS048046 NET30 \$31,008.60

#### **Bummary by Billing Component**

Billing Component Description	Out mily (C.)	8µblotal	2 Table 1	TOW
Additional 1Gb Copper to Nasdaq Monthly Fee	6	\$1,500.00	\$105.00	\$1,605.00
CAT 6 Installation	2	\$600,00	647.00	\$842.00
CME Market Data Monthly Fee	1	83,500.00	1245.00	\$3,745.00
Full Cabinet MRC	5	\$15,000.00	\$1,050,00	918,050.00
Market Dala + CMDL1A	ī	\$55,00	\$9,85	558.85
Market Data - OpenBookUfva	1	82,500.00	\$175.00	12,675.00
Pois Line	1	\$9.00	\$9.00	\$0.00
Power 2x50 amp 208 volt Monthly Fee	5	\$5,750.00	\$402.50	\$6,152.50
Remote Hand Services cable swap from straight- tivu to crossover	1	\$75,00	\$5,25	\$80.25
Grand Total		\$28,920.00	\$2,028.60	\$31,008.50

## 08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pa 28 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
06/10/2008	100058711	0608NTS092183	NET30	\$4,890.00
			Amount Enclosed	
			\$	
			Please make checks payable to	
LEUMAN BROS	THE POING		The NASDAQ Stock Market LLC	
LEHMAN BROT			Lockbox 10200 / P.O. Box 8500	
	O THE EXPENSE MANAGEMEN	π	Philadelphia, PA 19178-0200	
13 BERKSHIRE			Overnight payments should be sent to	
SANDY HOOK	G1 06482		Wachovia Bank / The NASDAQ Stock Ma	of at LLC
			Lockhor \$10200 / 401 Markel Street	TABLE CLO
			Philadelphia, PA 19108	

#### (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice queations to ndqbilling@nasdaqorru.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910

Please send Wires and ACH payments to: SWIFT # PNBPUS3NNYC Bank Name: Wachovia Bank, N.A. Account #: 2000031405177 Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, NY 10017 Beneficiary: The NASDAO Stock Market LLC OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Summary							
Invoice Date	Account Number	Invoice Number	Payment Terms	3	Amount Due		
06/10/2008	100058711	_0608NTS092183	NET30		\$4,890.00		
Co-location/Direct C	Co-location/Direct Connect - Total \$4,750.00 \$140.00 \$4,890.00						
Grand Total for Inv	olce	\$4,750.00	\$140,00	<b>\$</b> 4,890.00			

To view additional details for your NASOAQ Executions transactions, please visit http://infocenter.inetats.com, and enter your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user ID and password.

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaqonur.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850

Invoice Detail

Location Number: 41540

Site Name: NTSC-LEHMAN BROS-CARTERET

1480 FEDERAL BLVO CARTERET, NJ 07008

Co-tocator/Tyrect Connect	Service of the company of the compan	n <b>tity</b>	Rate Description	BubTotal	TAX:	Tobal 1
Republishing Fee	05/01/08 - 05/31/08	1	1750-Per Unit	8750.00	00.00	\$750.00
Şwitch Port	05/01/08 - 05/31/08	2	\$1000-per unit per month	\$2,000.00	\$140,00	\$2,140.00
Co-location/Otrect Connect - Total					\$140.00	\$2,690.00
	Total for Location Number: 41540			\$2,750.00	\$140.00	52,890.00

Location Number: 41841

Site Name: NTSC-LEHMAN BROS-ASHBURN

21830 UUNET WAY ASHBURN, VA 20147

Collection Direct Connect	的。在第15万里,在4 <b>60的660分钟的</b> 40000万万多名	Chaintry Prate Description 200		CHAS:	ere <b>llan</b> d
Switch Port	05/01/08 - 05/31/08	2 \$1000-per unit per month	\$2,000.00	10.00	\$2,000.00
	Co-location/Direct Connect - Total:		12,000.00	\$0.00	\$2,000.00

Grand Total

\$4,750,00

\$140,00

\$4,880,00

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
06/10/2008	100058711	0608NTS092183	NET30	54,890.00

#### Summary by Billing Component

Brilling Coeponian Description	a Common	Sux sux		
Republishing Fee	1	8750.00	50.00	8750.00
Switch Port	4	\$4,000.00	\$140.00	\$4,140.00
Grand Total		\$4,750.00	\$140.00	\$4,890.00

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 31 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
07/10/2008	100058711	0708NT\$005621	NET30	\$4,890.00
			Amount Enclosed	
			s	
			Please make checks payable to	
	LEGD ING		The NASDAQ Stock Market LLC	
LEHMAN BROTI	•—		Lockbox 10200 / P.O. Box 6500	
	) TNT EXPENSE MANAGE	MENT	Philadelphia, PA 19178-0200	
13 BERKSHIRE SANDY HDDK C			Overnight payments should be sent to Wachovis Bent / The NASDAQ Stock & Lockbox #10200 / 401 Market Street Philadelphia, PA 19108	Heriket LLC

#### ᡷᢐᢅᡸᡓᡕᠬᡊᡠᡈᠬᡊᡠᢠᢐᡂᡭᡠᢤᡠ᠂ᢤ᠓ᡳᢆᢪᡧ᠃ᡥᡤᡪᡊᡭ**ᡠᡷ**ᢟᢓᡷ (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to indqbilling@nasdaqomx.com, call 800-955-3898 or 301-978-4980, or fax 301-978-4910

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYC

Account #: 2000031405177

Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, NY 10017

Baneficiary: The NASDAQ Stock Market LLC

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Summary								
Invoice Date 07/10/2008	Account Number 100058711	Invoice Number 0708NTS005621	Payment Terms NET30	3	Amount Due \$4,890.00			
Co-location/Direct Connect - Total \$4,750.00 \$140.00 \$4,690.00								
Grand Total for Invol	ce	\$4,750.00	\$140.00	\$4,890.00				

To view additional details for your NASDAO Executions transactions, please visit http://infocenter.inetats.com, and enter your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user ID and password.

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All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9500 Blackwell Road, 3rd Floor, Rockville, MO 20650

Invoice Detail

Location Number: 41540

Site Name: NTSC-LEHMAN BROS-CARTERET

1400 FEDERAL BLVD CARTERET, NJ 07008

Co-location/Direct Connect	CONTROL OF A LOCAL PROPERTY CONTROL OF A STATE OF A STA	Quantity	Rete Description	BühTotál	∼ Tex	Total
Republishing Fee	06/01/08 - 05/30/08	- 1	1750-Per Unit	2750.00	10.00	3730.00
Switch Port	06/01/08 - 08/30/08	2	\$1000-per unit per month	\$2,000,00	6140.00	\$2,140.00
Co-location/Direct Connect - Total			\$2,750.00	\$140.00	\$2,890.00	
	Total for Location Number: 41540			\$2,750,00	\$160.00	32,890.00

Location Number: 41841

Site Name: NTSC-LEHMAN BROS-ASHBURN

**21830 UUNET WAY** A9HƏURN, VA 20147

Co-location/Orect Connect \ 15 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Period Covered (2007)	Quantity Rate Description 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	Bubtotal	. 1 <b>m</b> 5	C. FIFE
Switch Port	06/01/08 - 06/30/08	2 \$1000-per unit per month	12,000.00	\$0.00	\$2,000.00
Co-location/Direct (	12,000.00	50.00	\$2,000.00		

Total for Location Number: 41541 \$2,000.00 \$2,000.00

Grand Total \$4,750.00 \$140.00 \$4,890.00

The NASDAC Stock Market LLC 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Page 3 of

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
07/10/2008	100058711	0708NTS005621	NET30	\$4,890.00

3

#### Summary by Billing Component

Billing Component Description:	Quality	Subtrail		<b>建设等</b>
Republishing Fee	1	8750.00	\$0.00	\$750.00
Switch Port	4	\$4,000.00	\$140.00	54,140.00
Grand Total		\$4,750.00	\$140.00	94,890.00

# The NASDAU Stock Market LLC Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D

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Invoice Date	voice Date Account Number Invoice Number		Payment Terms	Amount Due
08/10/2008	100058711	0808NTS021726	NET30	\$4,890.00
			Amount Enclosed	
			\$	
			Please make checks payable to	
r Current or	OTHERS INC		The NASDAO Stock Market LLC	
	ROTHERS INC.		Lockbox 10200 / P.O. Box 8500	
ATTENTION 13 BERKSH	: C/O TNT EXPENSE MANAGI	EMENT	Phitadelphia, PA 19178-0200	
SANDY HO			Overright payments should be sent to	
COCHOT NO.	XX 01 00-102		Wachovia Bank / The NASDAQ Slock Market LL	.c
			Lockbox #10200 / 401 Market Street	•
			Philadelphia, PA 19106	

(PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to ndqbilling@nasdaqomx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910.

Please send Wires and ACH payments to:

Sank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYC

Account #: 2000001405177

Fed Wire ABA# 031201487 Sank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, NY 10017

Seneficiary: The NASDAQ Stock Market LLC

OBI: Please include your involce number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Summary				_				
Invoice Date 08/10/2008	Account Number 100058711	Invoice Number 0808NTS021726	Payment Terms NET30	S	Amount Due \$4,890.00			
Submile 100								
Co-location/Direct Connect - Total \$4,750.00 \$140.00 \$4,890.00								
Grand Total for Involce		\$4,750.00	\$140.00	\$4,890.00				

To view additional details for your NASOAO Executions transactions, please visit http://infocenter.inetats.com, and enter your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user ID and password.

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All legal correspondence must be directed to: Nasdaq Office of General Counsel. 9800 Blackwell Road, 3rd Floor. Rockville, MD 20850

Invoice Delait

Location Number: 41540

Site Name: NTSC-LEHMAN BROS-CARTERET

1400 FEDERAL BLVD CARTERET, NJ 07008

Co-location Oliver Connect : 120	Period Covered	/ Objancity	Rate Description	SubTotal . 1	Tax	Carroral (
Republishing Fee	07/01/08 - 07/31/08	1	9750-Per Unit	\$750.00	80.00	6750.00
Switch Port	07/01/08 - 07/31/08	2	\$1000-per unit per month	42,000.00	\$140.00	\$2,140.00
Co-location/Direct Connect - Total.				\$2,750.00	1140.00	62,690.00
Total for Location Number: 41540				\$2,750.00	\$140.00	\$2,890.00

Location Number: 41641

Site Name: NTSC-LEHMAN BROS-ASHBURN

**21830 UUNET WAY** ASHBURN, VA 20147

Co-location/Oracl Connect -	THE PROJECT PRINCE CONTROL OF THE PROJECT OF THE PR	Quantity - Rate Description	Ç <b>SübTotpi</b> ™	Tix	3. (Salata) /
Switch Port	07/01/03 - 07/21/08	2 \$1000-per unit per month	\$2,000.00	\$0.00	\$2,000.00
C~	Innatine/Final Contact - Total		\$2,000,00	10.00	17 000 00

Grand Total

\$4,750.00

**6140.00** 

\$4,890.00

The NASDAQ Slock Market LLC Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Page 3 3 Pg 36 of 160 Invoice Date Account Number Invoice Number Payment Terms Amount Due 08/10/2008 100058711 0808NTS021728 NET30 \$4,090.00 **Bummary by Allling Component** Quarte Billing Component Description TSU. , Subtotal Tax Republishing Fee \$750.00 \$0.00 \$750.00 Switch Port \$4,000,00 \$140.00 \$4,140.00 14,760.00 1140.00 14,890.00 Grand Total



## NASDAD Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 37 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
09/10/2008	100058711	0908NTS048304	NET30	\$4,890.00
ATTENTIO 13 BERKS	BROTHERS INC. N: C/O TAT EXPENSE MANAG HIRE ROAD DOK CT 06482	EMENT	Amount Enclosed  S	

## 

(PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoke guestions to ndqbi@ng@nasdagormx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910.

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYG

Account #: 2000031405177

Fed Wire ABA# 03 (20 (487 Bank Address: New York, NY 100 (7) 026012681 Bank Address: New York, NY 10017 ACH ABA#

Beneficiary: The Nasdaq OMX Group Inc.

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Oate	Account Number	Invoice Number	Payment Terms	<u> </u>	Amount Due
09/10/2008	100058711	0908NTS048304	NET30		\$4,890.00
		<b>双型电影电影影响 (1997)</b>	ድስ በ ለምሐሳት ያት መስሻቸው አላዊ		
		6- Substal			
Co-location/Direct C	onned - Total	\$4,750.00	\$140.00	\$4,890.00	
Grand Total for Invoice		\$4,750.00	£140.00	\$4.890.00	

To view additional details for your NASDAO Executions transactions, please visit http://infocenter.inetats.com, and enter your firm's existing user and password information. Note: ACT Transaction aummary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user IO and password.

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaqornx.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of Gerteral Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850

Invoice Cetail

Location Number: 41840

Site Name: NTSC-LEHMAN BROS-CARTERET

1400 FEOERAL BLVD CARTERET, NJ 07008

Co-location/Direct Connect	Constitution of the Particle Control of the Control	ΉÝ	Radi Description	3806 (obj. )	Jax	
Republishing Fee	08/01/03 - 08/01/08	1	\$750-Per Unit	\$750.00	\$0.00	\$750.00
Switch Port	03/01/08 - 08/31/08	2	\$1000-per unit per month	\$2,000.00	\$149.00	\$2,140.00
Co-location/Direct Connect - Total.					\$140,00	\$2,890.00
	Total for Location Number: 41540			\$2,750.00	\$140,00	\$2,890,00

Location Number: 41541

Site Name: NTSC-LEHMAN BROS-ASHBURN

**21830 UUNET WAY ASHBURN, VA 20147** 

Co-location/Direct Connect-	是可以自然的企业是inheited Covered 建立。但如此的意义	Avantily in Rule Design of London	Septembrook	_ Jax-y	A NORM
Switch Port	08/01/08 - 03/31/08	2 \$1000-per unit per month	\$2,000,00	\$0.00	\$2,000.00

NASDAD Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 38 of 160 Page 2 of 3

Invoice Date 09/10/2008	Account Number 100058711	Invoice Number 0908NTS048304	Payment Terms NET30		Amount ( 34,890.	
	Co-location/Direct Cor	méci - Totat		\$2,000.00	\$0.00	\$2,000.00
	Total for Location No	umber: 41541		\$2,000.00	\$0.00	\$2,000.00
	Grand Total			\$4,750.\$0	\$140.00	14,890.00

8ummary by Billing Component  Billing Component Description  Guintin  1 1750.00 \$0.00	
om Mahing Fee 1 1750 00 100	1
Ebanesial Lea	0 8750.00
witch Port 4 \$4,000.00 \$140.0	0 44,140.00
Grand Total \$4,740.00 \$140.	90 \$4,890.00
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End of Invoice - NASDAQ

# The NASDAQ Stock Market LLC Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 40 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due		
04/09/2008	1 100002371	0408NT\$051861	NET30	(\$48,720.74)		
•		•	Amount Enclosed	1		
			\$			
			Please make checks payable to			
LEHMAN BROTHERS INC.			The MASDAQ Stock Market LLC	The NASDAQ Stock Market ULC		
ATTENTION: ANDREW SPIROS			P.O. 6ox 7777-W9940	P.O. 6ox 7777-W8940		
IMAGE PRO	DCESSING SYSTEMS		Philadelphia, PA 19175-9940			
EQUITIES E	ROKERAGE		,			
PO BOX 23	97		Overnight payments should be serv to			
SECAUCUS NJ 07094			The MASDAQ Stock Market LLC - W9940			
DECAUCUS NO UTOPI		c/o Mellon Bank, Room 3490/701 Market Street				
			Philadelphia, PA 19100			
			I ' '			

## (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Involce questions to adqbilling@nasdaq.com. call 600-955-3898 or 301-978-4960, or fax 301-978-4910

Please send Wires and ACH payments to: Bank Name: PNC Bank SWFT# PNCCUS33

Account # 5300401669

Fed Wire ABA# 031000050 Bank Address: Philadelphia PA USA ACH ABA # 054000080 Bank Address: Washington DC USA

Beneficiary: The NASDAQ Stock Market LLC

\*\* Please include invoice number on your remittance \*\*

Invoice Date 04/09/2008	Account Number 100002371	Invoice Number 0408NT\$051861	Paymen NET30	il Terms	Amount Due (\$48,720.74)
04(09)2000	100002371	040014130310 <u>01</u>	, HC130		(340,720.74
		Spra			
ACES - Total		\$7.22	\$0.00	\$7.22	
Exchange Menibersh	ip - Total	\$500.00	\$0.00	\$500.00	
NASDAOTrader.com	Oala Products - Total	\$1,775.00	50.00	\$1,775.00	
Network Connectivity - Total		\$72,080.10	\$3,725.66	\$75,805.78	
Other Product - Total		(\$94,443.84)	\$0.00	(\$94,443.84)	
Post Trade - Total		\$10.13	30.82	\$10.95	
Risk Management - 1	[ola]	\$15,387.54	\$1,288.66	\$16,676.20	
SuperMontage - Total	I	(\$10,346.29)	30.00	(\$10,346,29)	
Trade Reporting Facility - Total		(\$84,446.89)	\$938.91	(\$63,507.98)	
Trading Applications - Total		\$23,881.50	\$920.74	\$24,802.24	
Grand Total for Invoice		(\$55,595.53)	\$6,874,79	(\$48,720.74)	

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaq.com. Noto: Do not include toese items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9500 Blackwell Road, 3rd Floor, Rockville, MO 20850

Invoice Detail

Location Number: 9181 MPIO: LATS 745 7th Avenue New York, NY 10019

 Other Product - Total:
 Popular Convence (%)
 Quantity Rule Description:
 SubTotal:
 Text (%)
 Text (%)
 Total:
 Text (%)
 Text (%)
 Total:
 Text (%)
 Text (%)

The NASDAQ Stock Market LLC	Fil
THE WAGENE GOOK Market LLC	

lled 10/03/08 Entered 10/03/08 15:54:55 Pg 41 of 160

Exhibit D Page 2 of 9

			Py 41 01	100	9	-	J
Invoice Data 04/09/2008	Account Number 100002371	Invoice Nu 0408NTS0		Payment Terms NET30			vnount Due (48,720,74)
ACT - Internalized Trade I	Reporting (non-ng Ested)	03/01/08 - 03/31/08	830,555	90.029-Per trade	\$1,029.69	\$36.25	\$1.118.14
TRF Tape A (NYSE) Reve	enue Shanng - Q4-2007	10/01/07 - 12/91/07	1	Varies	(\$21,772.55)	\$0.00	(\$21,772,55
TRF Tape B (AMEX) Rev	enue Sharing - Q4-2007	10/01/07 - 12/31/07	1	Varies	(37,700.23)	\$9.00	(\$7,700.28
	Trade Reporting Faci	lity - Total:			(528,442.94)	186.25	(\$28,356.69
Trading (applications)		Period Coperator		RATE OF STREET	ST SWITTERS	<b>L</b> TATE	EDITE: SE
ACT Query (New)		03/01/08 - 03/31/08	â	10.50 per scan	\$4.50	\$0,36	\$4.88
	Trading Applications	- Total:			\$4.50	10.38	\$4.\$8
	Total for MPID: LAT	8			(\$45,125.25)	686.63	(\$45,039.63
Location Number: 91 MPID: LEHB 745 7th Avenue New York, NY 10019	81						
<b>在新作品的</b> 类型		Page Control	FIGURARY	Rese Description		es in F	<b>*****</b> *******************************
Trade Recording Charge		03/01/08 - 03/31/08	7	80.029-per side	\$0.20	10.00	10.10
	Post Trade - Total:				\$0.20	10.00	10.20
Track Repositing Facility	respectively.	Period Covered	· 7 Quantity	Rate Department			
ACT - Cancel/Correct Fee	(non-ng kated)	03/01/08 - 03/31/08	1	50.25-Per trede per side	10.25	30.02	10.27
ACT - T+1/T+N (non-ng II)	-	03/01/08 - 03/31/08	1	\$0,288-Per trade	10 29	10.02	10.31
	Trade Reporting Face	ity - Total:			\$0.54	10.04	\$0.58
	Total for MPIO: LEH	В			\$0.74	10.04	\$0.78
ACES 京都県の大会会会 ACES Order Executions	THE STREET	Pailed Control (25) 03/01/08 - 03/01/08		Parts Description 100 100 100 100 100 100 100 100 100 10	न्द्रश <b>ः शतकात्रका</b> ५७ \$1.40	<b>307,62</b> 3 \$0.00	27-76 <b>3⊺∂5</b> 1- \$1.40
ACES Order Reject		03/01/08 - 03/31/08	6	per rejected order	\$8.00	\$0,00	10,00
Tax Only		01/01/08 - 01/31/08	3	Varies	(\$0.18)	\$0.00	(\$0.18)
	ACES - Total:				L7.22	\$0.00	\$7.22
Other Product - Shale Data Revenue Sharing - 4		Period (Sovered) (2). 10/01/07 - 12/01/07	_	Rais Description) - Ecology & S Varies	े े ्रिक् <b>ं 2806 (öla)</b> - रिक् ( <b>37,713.38</b> )	्रा <b>का</b> हि 80,00	3.35 <b>/166/</b> (\$7,713.38)
Trade Loss		03/05/08 - 03/05/08	1	Varies	(820,951.00)	\$0.00	(\$20,951.00)
	Other Product - Total	Ė			(\$28,664.38)	\$9.00	(\$28,564.38
POSCTTROS STEED STEED		03/01/08 - 03/01/08		Ring Description	29.04	िक्ताब्दिक 50,82	\$10.66
Trade Recording Charge		03/01/08 - 03/31/08	20.918,846	\$0,000-per side	\$0.00	\$9.00	\$0.00
	Poet Trade - Total.			·	\$9,84	60.82	610,66
Risk Management (4) 4 ACT - Risk Management ( Service		Pariod Control (**) 03/01/06 - 03/31/08		Rate Description  \$17.25-Per correspondent per mont			
ACT - Risk Management (	Sides Activity Fee	03/01/08 - 03/31/08	2,553,008	\$0.025-Per trade per correspondent	\$15,266.79	1,278.58	\$16,545,37
	Risk Management - T	Fozal.			615,387.54 6	1,288.68	\$18,676.20
SuperMontage ( ) ( ) A Tape B (AMEX) Rebate *		Feigod Covered 2.5.		Rata Description	<b>Subjects 4</b> (\$10,332,97)	\$.≟Jjjjj}} \$0.08	(\$10,332.97)
	SuperMonlage - Tota	t			(\$10,332.97)	\$0.00	(310,332.97)
Trade Recountrit facility	Marie Carlo Ca Marie Carlo Ca	Pario (Covinsi San	FOR COLUMN TO A	PAGE DESCRIPTION STATES STATES	் இ <b>விரவி</b> ட்ட	igg <b>erer</b> z	THE RESERVE OF THE RE
ACT - Cancel/Correct Fee		03/01/08 - 03/31/08		10,25-Per trade per side	9187.25	315.88	5202.93

Invoice Date 04/09/2008	Account Number 100002371	Invoice Num 0408NTS05		Payment Terms NET30			
ACT - Cancel/Correct F	ee (non-ng listed)	03/01/08 - 03/31/08	254	\$0,25-Per trade per side	\$83,50	55.32	\$58.82
ACT - Compare/Accept	ı	03/01/08 - 03/31/08	3,585,013	\$0,000144-Per share block	1516,24	<b>#</b> 43.24	\$559.48
ACT - Compare/Accept	(non-ng listed)	03/01/08 - 03/31/08	3,594,175	\$0,000144-Per share block	\$517.58	\$43.35	\$560,91
ACY - Internatized Trad	ie Reporting (non-ng listed)	03/01/08 - 03/31/08	630	40.029-Per trade	\$0.76	40.07	\$0.85
ACT - Locked in Trade Representation (OSR) (		03/01/08 - 03/01/08	33,010	10.079-Per side per trade	\$40.93	13.43	\$14.36
ACT - T+1/T+N		03/01/09 - 03/31/08	1,522	30,288-Per trade	\$467.14	639.12	\$508.26
ACT - T+1/T+N (non-no	ksted)	03/01/08 - 03/31/08	1,046	10.288-Per trade	1301.82	\$25.28	1327.10
ACY Autolock Automate listed	ed Gwe Up (AGU) - non-ng	03/01/08 - 03/31/08	260,205	\$0.029-Per side per trade	1322.65	\$27.02	<b>\$</b> \$49,67
TRF Tape A (NYSE) Re	evenue Sharing - Q4-2007	10/01/07 - 12/31/07	1	Varies	(\$3,457,72)	\$0.00	(53,457.72)
TRF Tape 8 (AMEX) Re	evenue Sharing - O4-2007	10/01/07 - 12/31/07	1	Yaries .	(5314.25)	\$0.00	(4314.25)
	Trade Reporting (	Security - Total.			(61,334.10)	6202.61	(\$1.151.59)
Trecking Applications ACT Query (New)		9 <b>1997661 Cojeva</b> d 1993 <u>1</u>	_	Rate Description	<b>32,108.10</b>	547637 \$176.59	32,285.09
<b>, (</b> ,	Trading Application	ns - Total;	,,		\$2,108.50	\$176.59	12,285.09
	Total for MPID: L	EHM			(\$22,838.35)	£1,688.58	(\$21,169.77)

Location Number: 9181 MPID: LEHP 745 7th Avenue

New York, NY 10019

4 10.25-Per trade per side \$1.00 \$0.08 81.06 03/01/08 - 03/01/08 ACT - Cancel/Correct Fee (non-ng lieted) \$0.02 60.00 \$0.02 03/01/08 - 03/01/08 13 30.029-Per trade ACT - Internalized Trade Reporting (non-no listed) 51.15 30.10 \$1.25 ACT - T+1/T+N (non-ng lieled) 03/01/08 - 03/31/08 4 \$0.288-Per trade (\$204.85) \$0.00 (\$204,85) TRF Tape A (NYSE) Revenue Sharing - Q4-2007 10/01/07 - 12/01/07 Varies (\$202,68) (\$202.50) \$0.18 Trade Reporting Facility - Total: Total for MPID: LEHP (\$202.58) \$0.18 (\$201.50)

Location Number: 9181 MPID: LHMA 745 7th Avenue New York, NY 10019

DIM PROME 有常用的影響等	Period Covered (28)	-		•		
Data Revenue Sharing - 40 2007	10/01/07 - 12/31/07	1	VARIES	(\$47,568,83)	\$0.00	(\$47,558,93)
Other Product - To	Xat:			(\$47,568.93)	\$0.00	(\$47,558.93)
<b>中央中国建</b> 设设置,中央管理设置,1987年5月	Period operiod (1742)	Quantity	Rifts Description	NOTE THE PARTY OF	: <b>(100</b> -7	CLESTON S
Trade Recording Charge	03/01/08 - 03/31/08	91,100	10.000-per side	\$0.00	\$0.00	\$0.00
Post Trade - Tota	l.			90.00	\$0.00	90.00
SuperMontage*, 1, 3, 3, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,	Period Covered	Quartity	Rate Description	SubTotal (	Tex s	Total (
Tape 8 (AMEX) Rebale *	03/01/08 - 03/31/08	1	Varies	(\$13.32)	\$0,80	(\$13.32)
SuperMontage - T	otal:			(\$13.32)	60.00	(\$13.32)
Tricks consisting excellence in the constraint of the constraint o	Period Covered 25	Quantity.	Rate Description	A SUBTRIAL C	LET <b>R</b>	<b>化型 10国</b> 员
ACT - Cancel/Correct Fee	03/01/08 - 03/31/08	331	\$0.25-Per trade per side	\$82.75	₽ <b>₽</b> 783	489,68
ACT - Cancel Correct Fee (non-nq listed)	03/01/08 - 03/31/08	703	10,25-Per trade per side	9175.75	114.72	\$190.47
ACT - Internalized Trade Reporting (non-ng Seted)	03/01/08 - 03/31/08	289,403	10.029-Per trade	6355.14	829.74	\$284.68
ACT - T+1/T+N	03/01/08 - 03/01/08	108	30,289-Per trade	130.53	12.56	\$33,09
ACT - T+1/T+N (non-inglished)	03/01/08 - 03/31/08	297	\$0.268-Per trade	\$68.29	35.72	\$73.98

Location Number: 9181

THE NAGDAY STOCK	Walket LLC		F	<sup>2</sup> g 43 of 3	160	rage	~ ~	OI :
	count Number 0002371		roice Numb 08NTS051		Payment Terms NET30			vmount Due (48,720.74)
ACT Autolock Automated Give Up	(AGU) - non-nq	03/01/08 - 03	¥31/08	19,695	50,029-Per side per trade	\$24.67	\$2.07	928.74
IRF Tape A (NYSE) Revenue Sha	aring - 04-2007	10/01/07 - 12	2/31/07	1	Varies	(\$27,133.12)	\$0,00	(\$27,139,12
RF Tape B (AMEX) Revenue SN	aring - Q4-2007	10/01/07 - 12	2/31/07	1	Varies	(611,529,29)	\$0.00	(911.529.29
	Trade Reporting Fac	olity - Total:				(637,925.31)	\$61.74	(637,863.57
niding Applications	OF STATES	Perfod/C6A			Rutif Description (2007)	Syptotal 4	∰(Tàx °) \$4.82	552.32
	Trading Applications	s - Total:				157.50	54.62	162.32
	Total for MPID: LHI	MA				(\$85,450.06)	165.66	(\$85,383.80
ocation Number: 9181 APID: LHMB 45 7th Avenue Iew York, NY 10019								
					Rate Description	್ಷ <b>್ಷಾಕ್ಷಿತ್ರವಗ್ರಕ್ಕಾ</b> 90,50	Tax -	ाइन्स् १०.६४
CT - Cancel/Correct Fee (non-re CT Autolock Automated Give Up		03/01/08 - 03 03/01/06 - 03			30.25-Per trade per side 40.029-Per side per trade	\$0.03	\$0.00	\$0.03
sled			231100		and a second and	*	•	
	Trade Reporting Fac	olity - Total:				\$0.53	\$0.04	\$0.57
	Total for MPIO: LH	MB				\$0.51	\$0.04	\$0.67
lew York, NY 10019	gvi kraktsk	Parlot Con	iid A.	Quantity	Rule Osseription (1) To the 12	Ši (Zigg <b>eseπ∂u</b> liki)	(Mail	<i>-</i> 2332 <b>194</b> (
law York, NY 10019 Khaceigaliki (1888) (1888)	ag (기 없음(공)(공항) Other Product - Tota	10/01/07 - 17			Rule Description (100 %) (100	(81,522.71)	#82 \$0.00 \$0.00	(\$1,522.71
New York, NY 10019  Char Product Sharing - 4Q 2007	Other Product - Tola	10/01/07 - 13 at	2/31/07 <b>684</b> [] (177/2	1 Quantity		(61,522.71) (61,522.71)	\$0.00 \$0.00	(\$1,522.71 (\$1,5 <b>12.71</b>
ther Product & 20019 ther Product & 2001 ata Revenue Sharing - 4Q 2007	Other Product - Tola	10/01/07 - 13 at 	2/31/07 <b>684</b> [] (177/2	1 Quantity	VARIES	(61,522.71) (61,522.71)	\$0.00 \$0.00 \$\$\f\dis{\chi}	(\$1,522.71 (\$1,5\$2.71 (\$0.09
the Products 2007 ata Revenue Sharing - 4Q 2007 ast Trade L. ASDAQ Exchange Step Out Fun	Other Product - Tola profess (1975 1976 4) notion Post Trade - Total	10/01/07 - 17 at Period_Con	2/51/07 AGA ( ) ( ) ( ) ( ) 3/51/08	1 Quantity 3 Country	Nate Description  10.029-Per side per trade  Rutal Description	(\$1,522.71) (\$1,522.71) (\$1,522.71) 30.08 \$0.09	\$0.00 \$0.00 \$0.00 \$0.00	(\$1,522.71 (\$1,532.74 (\$0.09 (\$0.09
ther Products 2007 ata Revenue Sharing - 4Q 2007 ast Tredelic 2007	Other Product - Total  Post Trade - Total	10/01/07 - 17 at  2 Period Core 03/01/08 - 03 03/01/09 - 03	2/31/07 2/31/08 3/31/08 3/31/08	Ouzanitéy 3 Ouzanitéy 200	Nate Description  \$0.029-Per side per trade  Rutal Description  \$0.25-Per trade per side	(61,522.71) (61,522.71) (61,522.71) 30.09 \$0.09	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00	(\$1,522.71 (\$1,532.71 (\$1,532.71 \$0.05 60.05 554.19
the Product And 10019  the Product And 2007  ata Revenue Sharing - 4Q 2007  ast Trade Explange Step Out Fundament Reporting Facility  CT - Cancel Correct Fee  CT - Cancel Correct Fee (non-no	Other Product - Total  Post Trade - Total	10/01/07 - 17 at  Period Com 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07	2/31/07 2/31/08 3/31/08 5/660 - 7 9/31/08 1/31/08	Chumbly 3 Chumby 200 75	Plante Description  80.029-Per side per trade  Rutal Description  10.25-Per trade per side  \$0.25-Per trade per side	(\$1,522.71) (\$1,522.71) \$3007,044 5 50.09 \$0.09 \$0.09 \$50.00	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$4.19	(\$1,522.71 (\$1,532.74 (\$0.09 (\$0.09 (\$0.09 (\$0.09 (\$0.09 (\$0.32
ther Products 2007 ata Revenue Sharing - 4Q 2007 ast Treddle 2007 ast Tred	Other Product - Total Profess (1975) (1976) 4. Inction Post Trade - Total  g Sated)	10/01/07 - 17 at  Period Cook 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02	2/31/07 2/31/08 3/31/08 9/31/08 1/31/08	Operatory 3 200 75 6,921,076	Nate Description  \$0.029-Per side per trade  Rutal Description  \$0.25-Per trade per side	(61,522.71) (61,522.71) (61,522.71) 30.09 \$0.09 \$50.00 410.75	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$4.19 \$1.57	(\$1,522.71 (\$1,532.74 \$0.09 60.09 \$54.19 \$20,32 \$1,080.10
ther Products and a 2007 ther Products are revenue Sharing - 4Q 2007 cst Trede E ASDAQ Exchange Step Out Fun terre Reporting Ficility CT - Cancel/Correct Fee CT - Cancel/Correct Fee CT - Compare/Accept CT - Compare/Accept (non-no le	Other Product - Total  motion  Post Trade - Total  q listed)	10/01/07 - 17 at  Period Com 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07	2/31/07 2/31/08 2/31/08 2/31/08 2/31/08 2/31/08	Chundry 3 200 75 6,921,076 252,499	Plate Description  \$0.029-Per side per trade  Rutal Description  \$0.25-Per trade per side  \$0.25-Per trade per side  \$0.000144-Per share block	(61,522.71) (61,522.71) (61,522.71) 30.08 \$0.09 \$0.09 \$50.00 \$10.75 \$598.63	\$0.00 \$0.00 \$0.00 \$0.00 \$4.19 \$1.57 \$83.47	(\$1,522.71 (\$1,532.74 \$0.09 \$0.09 \$1.09 \$20,32 \$1,080.10
ther Product And 10019  ther Product And 10019  ther Product And 1007  ata Revenue Sharing - 4Q 2007  ast Trade Explaining - 4	Other Product - Total  motion  Post Trade - Total  q listed)	10/01/07 - 17 at  Period Com 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07	2/31/07 2/31/08 2/31/08 2/31/08 2/31/08 2/31/08	Operatory 3 200 75 6,921,076 252,499 81	Rate Description  80.029-Per side per trade  Rate Description  90.25-Per trade per side  \$0.25-Per trade per side  30.000144-Per share block  30.000144-Per share block	(61,522.71) (61,522.71) (61,522.71) 30.09 \$0.09 \$0.00 410.75 \$986.63 435.36	\$0.00 \$0.00 \$0.00 \$0.00 \$1.57 \$1.57 \$3.47 \$3.05	\$1,522.71 \$1,532.71 \$0.09 \$0.09 \$0.09 \$1,54.19 \$20,32 \$1,080.10 \$39.41
CT - Compare/Accept (non-no let CT - Internalized Trade Reporting CT - T+1/T+N	Other Product - Total  motion  Post Trade - Total  q listed)	10/01/07 - 17 at  Period Cove 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07	2/31/07 3/31/08 3/31/08 3/31/08 3/31/08 3/31/08 3/31/08	Country 3 3 Country 200 75 6,921,076 252,499 81 3,815	Rate Description  \$0.029-Per side per trade  Rate Description  \$0.25-Per trade per side  \$0.05-Per trade per side  \$0.000144-Per share block  \$0.000144-Per share block  \$0.0029-Per trade	(61,522.71) (61,522.71) (61,522.71) 30.09 \$0.09 \$0.09 \$50.00 410.75 \$988.63 \$90.10	\$0.00 \$0.00 \$0.00 \$0.00 \$4.19 \$1.57 \$83.47 \$3.05 \$0.00	\$1,522.71 \$1,522.71 \$0.09 \$0.09 \$0.09 \$1,54.19 \$20,32 \$1,080.10 \$39.41 \$0.10 \$1,190.74
the Product Automated Sharing - 4Q 2007  ata Revenue Sharing - 4Q 2007  ast Trade Explaining -	Other Product - Total  Product - Total  Product - Total  Post Trade - Total  q listed)  eled)  g (non-eq listed)	10/01/07 - 17 at  2 Period Com 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07	2/31/07 2/31/08 3/31/08 3/31/08 3/31/08 3/31/08 3/31/08 3/31/08	1 Optanity 3 200 75 6,921,076 252,499 81 3,815 12,806	Rate Description  10.029-Per side per trade  Rate Description  10.25-Per trade per side  10.25-Per trade per side  10.000144-Per share block  10.000144-Per share block  10.029-Per trade  10.288-Per trade	(61,522.71) (61,522.71) (61,522.71) 30.09 \$0.09 \$0.09 \$50.00 \$10.75 \$986.63 \$90.10 \$1,008.72	\$0.00 \$0.00 \$0.00 \$0.00 \$4.19 \$1.57 \$83.47 \$3.05 \$0.00	\$1,522.71 \$1,522.71 \$0.09 \$0.09 \$0.09 \$20,32 \$1,080.10 \$39.41 \$0.10 \$1,190.74 \$4,006.37
CT - Compare/Accept (non-ng leter) CT - T+1/T+N (non-ng leter) CT - Autobook Automated Give Up sied	Other Product - Total  Post Trade - Total  glisted)  ated)  ated)  a (AGU) - non-nq	10/01/07 - 17 at  2 Period Cove 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07	2/31/07 2/31/08 2/31/08 2/31/08 2/31/08 3/31/08 3/31/08 3/31/08 3/31/08	1 Chumbly 200 75 6,921,076 252,499 81 3,815 12,806 907,559	Plate Description  \$0.029-Per side per trade  Rutal Description  \$0.25-Per trade per side  \$0.25-Per trade per side  \$0.000144-Per share block  \$0.000144-Per share block  \$0.029-Per trade  \$0.288-Per trade  \$0.288-Per trade	(61,522.71) (61,522.71) (61,522.71) 30.09 \$0.09 \$0.09 \$50.00 \$10.75 \$988.83 \$95.38 \$9.10 \$1,008.72 \$3,696.77	\$0.00 \$0.00 \$0.00 \$0.00 \$4.19 \$1.57 \$83.47 \$3.05 \$6.00 \$92.02	\$1,522.71 \$1,522.71 \$0.09 \$0.09 \$0.09 \$54.19 \$20,32 \$1,080.10 \$39.41 \$0.10 \$1,190.74 \$4,006.37 \$1,219.62
Accept Compare/Accept (non-no lett of the Internalized Trade Reporting Compare/Accept (non-no lett of the Internalized Trade Reporting CT - Internalized Trade Reporting CT - Internalized Trade Reporting CT - T+1/T+N (non-no lett of the Internalized	Other Product - Total nation Post Trade - Total quarted) eted) of (AGU) - non-nquaring - Q4-2007	10/01/07 - 17 at  2 Period Con 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07 03/01/08 - 07	2/31/07 2/31/08 2/31/08 2/31/08 2/31/08 2/31/08 3/31/08 3/31/08 3/31/08 3/31/08	Country 3 3 Country 200 75 6,921,076 252,499 81 3,815 12,806 907,559	Plate Description  20.029-Per side per trade  Rutal Description  10.25-Per trade per side  30.000144-Per share block  30.000144-Per share block  30.029-Per trade  30.288-Per trade  30.288-Per trade  30.288-Per trade  30.209-Per side per trade	(61,522.71) (61,522.71) (61,522.71) 30.09 \$0.09 \$0.09 \$50.00 \$10.75 \$966.63 \$90.10 \$1,008.72 \$3,696.77 \$1,125,37	\$0.00 \$0.00 \$0.00 \$0.00 \$4.19 \$1.57 \$83.47 \$3.05 \$0.00 \$92.02 \$309.60 \$94.25	\$1,522.71 \$1,522.71 \$2,52.71 \$0.09 \$0.09 \$5.6.70 \$20,32 \$1,080.10 \$39,41 \$0.10 \$1,190.74 \$4,006.37 \$1,219.62 (3897.08
the Product Automated Give Up sied  REF Tape A (NYSE) Revenue Sharing and product Automated Step Out Fundamental Step Out Fundament Accept Accept (non-notice) and product and product and product Accept (non-notice) and product and pro	Other Product - Total nation Post Trade - Total quarted) eted) of (AGU) - non-nquaring - Q4-2007	10/01/07 - 12 at  2 Period Con 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02	2/31/07 2/31/08 2/31/08 2/31/08 2/31/08 2/31/08 3/31/08 3/31/08 3/31/08 3/31/08	Country 3 3 Country 200 75 6,921,076 252,499 81 3,815 12,806 907,559	Rate Description  10.029-Per side per trade  Rutal Description  10.25-Per trade per side  10.000144-Per share block  10.000144-Per share block  10.029-Per trade  20.288-Per trade  30.29-Per trade  30.29-Per side per trade  Varies	(61,522.71) (61,522.71) (61,522.71) 30.09 \$0.09 \$0.09 \$50.00 410.75 \$988.63 \$92.10 \$1,008.72 \$3,699.77 \$1,125,37 (1997.09)	\$0.00 \$0.00 \$0.00 \$0.00 \$4.19 \$1.57 \$83.47 \$3.05 \$0.00 \$92.02 \$309.60 \$94.25	\$1,522.71 \$1,522.71 \$0.09 \$0.09 \$0.09 \$54.19 \$20,32 \$1,080.10 \$39.41 \$0.10 \$1,190.74 \$4,006.37 \$1,218.62 (3997.08
IASDAG Exchange Step Out Fundance Reporting Facility  Intel® Reporting Facility  ICT - Cancel/Correct Fee  ICT - Cancel/Correct Fee (non-not)  ICT - Compare/Accept (non-not)  ICT - Compare/Accept (non-not)  ICT - Internalized Trade Reporting  ICT - T+1/T+N (non-not) issted)  ICT - T+1/T+N (non-not) issted)  ICT - Autobook Automated Give Up sted  ICT - Tape B (AMEX) Revenue Share Tape B (AMEX)	Other Product - Total  Post Trade - Total  pisted)  ated)  (AGU) - non-nq  laring - Q4-2007  Trade Reporting Fac	10/01/07 - 12 at  Period Con 03/01/08 - 02	2/31/07 2/31/08 2/31/08 2/31/08 2/31/08 3/31/08 3/31/08 3/31/08 3/31/08 3/31/08 2/31/07 2/31/07	1 Chumby 200 75 6,921,076 252,499 81 3,815 12,606 907,559	Rate Description  10.029-Per side per trade  Rutal Description  10.25-Per trade per side  10.000144-Per share block  10.000144-Per share block  10.029-Per trade  20.288-Per trade  30.29-Per trade  30.29-Per side per trade  Varies	(61,522.71) (61,522.71) (61,522.71) 30.09 \$0.09 \$0.09 \$0.00 410.75 \$988.83 495.38 \$92.10 \$1,008.72 \$3,699.77 \$1,125,37 (1997.09) (\$2,548.54) 63,477.07	\$0.00 \$0.00 \$0.00 \$0.00 \$1.57 \$1.57 \$1.57 \$1.57 \$2.05 \$0.00 \$92.02 \$309.60 \$94.25 \$0.00 \$0.00	\$1,522.71 \$1,522.71 \$0.09 \$0.09 \$0.09 \$20.32 \$1,080.10 \$39.41 \$0.10 \$1,190.74 \$4,006.37 \$1,219.62 (\$2,546.54 \$4,065.22
CT - Compare/Accept (non-not let of the Tritten)  CT - Tritten)  CT - Compare/Accept (non-not let of the Tritten)  CT - Tritte	Other Product - Total  Post Trade - Total  pisted)  ated)  (AGU) - non-nq  laring - Q4-2007  Trade Reporting Fac	10/01/07 - 12 at  Period Conn 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02 03/01/08 - 02	2/31/07 2/31/08 2/31/08 2/31/08 2/31/08 3/31/08 3/31/08 3/31/08 3/31/08 3/31/08 2/31/07 2/31/07	1 Chumby 200 75 6,921,076 252,499 81 3,815 12,606 907,559	Plate Description  80.029-Per side per trade  Rutal Description  80.25-Per trade per side  80.25-Per trade per side  80.000144-Per share block  80.000144-Per share block  10.029-Per trade  20.288-Per trade  20.288-Per trade  Varies  Varies  Parta Description	(61,522.71) (61,522.71) (61,522.71) 30.09 30.09 30.09 30.00 410.75 \$50.00 410.75 \$966.63 \$90.10 \$1,008.72 \$3,696.77 \$1,125,37 (1997.09) (\$2,546.54) 63,477.07	\$0.00 \$0.00 \$0.00 \$0.00 \$1.57 \$1.57 \$1.57 \$3.47 \$3.05 \$0.00 \$82.02 \$309.60 \$94.25 \$0.00 \$0.00 \$588.15	\$1,522.71 \$1,522.71 \$0.09 \$0.09 \$0.09 \$20,32 \$1,080.10 \$39.41 \$0.10 \$1,190.74 \$4,006.37 \$1,219.62 (\$897.08 \$2,548.54 \$4,085.22

The NASDAQ Stock Market LLC

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of

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
04/09/2008	100002371	0408NTS051861	NET30	(848,720,74)

MPID: LHSB 745 7th Avenue New York, NY 10019

Post Trades - SA SA SA SA	THE THE PERSON PROPERTY OF THE PERSON OF THE	COMMON PRINT DESCRIPTION (1997)	,⊋ZSabTòtal ;	Tai	Jobi .
Trade Recording Charge	03/01/08 - 03/31/08	8 10.000-per side	\$0,00	\$0.00	\$0.00
	Poel Trade - Total.		\$0.00	\$0.00	\$0.00
Tricting Applications 27 1777	The state of the s	Quantity // Rate Description 1/2012 2012	SubTotal 3	_`Ye\=	A TOTAL
ACT Query (New)	03/01/06 - 03/31/06	2 \$0,50 per scan	\$1,00	\$0.08	\$1.08
	Trading Applications - Total:		\$1.00	\$0,0\$	\$1.08
	Total for MPID: LHSB		\$1.00	\$0.03	\$1,08
	Total for Location Number: 9(8)		(\$151,550.63) \$	2,419.47 (	\$149,13 (.16)

Location Number: 9872 Site Name: LEHMNY1 ONE METROTECH CENTER NORTH 5TH FLOOR BROOKLYN, NY 11201

Exchange Membership	Project (Constitution of St. Parfod Covered, Paris of Students)	эл <b>ог</b> ў	Rate Description	C SubTotal : 🕝	Tax	Total
Trading Rights	03/01/08 - 03/31/08	1	\$500-per month / per firm	\$500.00	\$0.00	\$500.00
	Exchange Membership - Total:			3500,00	\$0.00	\$500.00
	Total for Location Number: 9372			3500.00	\$0.00	\$500.00

Location Number: 9873 Site Name; LEHMNJ1 101 HUDSON STREET TECHNOLOGY SERVICES 32 FLOOR JERSEY CITY, NJ 07302

HASDAQTATION COM Dittle Products	St Period Covered 3/2 (2)	Climitity Flate Destription	SubTotal :	Title 1	हा वि <b>गरिका</b> है।
NASDAQTrader - SEC 31a Reports Fee	03/01/08 - 03/31/08	1 325-Per month per user	125.00	\$0.00	\$25.00
NASDAQTrede	r.com Data Products - Total.		125.00	\$0.00	126.00
Triuming Applications 17	03/01/08 - 03/31/08	Quantity Rule Description  18 \$475-per logon per month	: \$8u6Tōtal : : \$8,550.00	5598.50	2€ <b>₹₹₹₹</b> \$\$\$ 89,148, <b>5</b> 0
Trading Applica					
Total for Local	ion Number: 9873		\$8,575.00	3598.60	\$9,173.50

Location Number: 9874 Site Name: LEHMNY5 Image Processing Systems Equities Brokerage PO Gox 2397 Secaucus, NJ 07094

NASOAQTIMSer com Data Products	Period Covered : :	Chuntity	Rate Description	SubTotal	CATEGORY.	John
NASOAQTrader - Broker/Dealer Oata Basic Package Fee	03/01/08 - 03/31/03	2	\$130-per user per month	5260.00	50.00	\$260.00
NASDAQTrader - Broker/Dealer Premium Package Fee	03/01/08 - 03/31/08	5	\$180-per user per month	\$800,00	\$0.00	1800.00
NASOAOTrader - SEC 31a Reports Fee	03/01/08 - 03/31/03	1	125-Per month per user	\$25.00	60.00	\$25,00
NASOAOTrader-Daily Market Participant Position Report Plus	03/01/08 - 03/01/08	1	B1\$0.Per month per user	1150.00	\$0.00	\$150.00
Net Order Imbalance Websita Professional (TotaView)	03/01/06 - 03/31/08	1	\$70-per month per user	370.00	\$0.00	\$70.00
NASDAQTrader.com	n Dala Products - Total:			\$1,305.00	\$0.00	\$1,305.00

	Account Number 100002371	Invoice Number 0408NTS051861		Payment Terms NET30			mount Due (48,720,74)
BRUT Fix Port	03/01/	8 - 03/31/08	7	\$400-per month	\$2,800.00	\$198.00	\$2,996.00
FIX Port	03/01/0	6 - 03/31/08	16	\$400-per month	\$6,400.00	\$448.00	38,848.00
FIX Port - Other Services	03/01/0	8 - 03/31/09	3	6500-per month	\$1,500.00	1105.00	\$1,605,00
NTF CTC! Test Time	02/27/0	7 - 03/26/08	3	Varies	\$8,245,92	\$0.00	18,245.92
NTF FIX Test Tirde	02/27/0	7 - 03/26/08	2	Varies	59,859.18	\$0.00	19,859.16
QIX Port Pair	03/01/0	8 - 03/31/03	3	\$1200-per month	\$3,600,00	\$252.00	93,852,00
	Network Connectivity - Total:				\$32,405,10	\$1,005,00	\$33,406,10
Tridling Applications		Covered 3	i <b>jhy</b> j.	Rate Description (A. A. L. C. C.)	n Subtokuli		A Jou
NASDAG Workstation TRADER	03/01/0	8 - 03/31/08	3	\$475-per logon per month	81,425.00	<b>592.75</b>	\$1,524.76
Weblink ACT Trd Rpt, Scan, &	RM 03/01/0	8 - 03/31/03	3-0	1375-per logon / per month	\$11,250.00	\$0.00	\$11,250.00
	Trading Applications - Total:				512,676.00	199.78	612,774.75
	Total for Location Number:	B874			346,385.10	\$1,100.78	\$47,485.85

Location Number: 9875 Site Name: LEHMNY3

3 WORLD FINANCIAL CENTER

6TH FLOOR

**NEW YORK, NY 10048** 

(Network Contectivity)	THE PROPERTY OF THE PROPERTY O	ьŢ	Rate Description 125000 15000 442	K. SubTotal F	Tex	्राविश
ACT/CTCI Fee	03/01/08 - 03/31/08	1	\$575-Per month	1675.00	<b>549</b> .15	4623.1B
	Network Connectivity - Total:			<b>\$575.00</b>	\$48.16	\$623.16
	Total for Location Number: 9878			<b>\$575.00</b>	\$48.16	3623.16

Location Number: 11050 Site Name: LEHMNY6 **BS 10TH AVENUE** NEW YORK, NY 10011

<b>研究的代码的运动特</b> 定,这一多多数不可能较	Period Correct St.	Quantity Rete Description	28ub]out	STATE:	RETOUT !
CTCl Option 1 56tb Line - MQ	03/01/08 - 03/31/08	1 51290-per month	\$1,200.00	\$100.60	81,300.38
NTF CTC( Circuit	03/01/08 - 03/01/08	1 \$1100-per circuit	81,100.00	\$0.00	\$1,100.00
Network Connecti	<del>vit</del> y - Total.		\$2,300,00	\$100.50	\$2,400.50
<b>Total</b> for Location	n Number: 11050		<b>\$2,300,0</b> 0	\$100.50	\$2,400.50

Location Number: 21480 Site Name: LEHMNJ3 **70 HUDSON STREET** 7TH FLOOR JERSEY CITY, NJ 07302

PRASTIA OT TEST COM Data Products	Period Covered (5.7%)	Quantity	Rate Description 75 1 5 2 2 1 7 7	. JatoTotal 🦪	Tux.	Total
NASDAQTrader - Broker/Dealer Oata Basic Package Fee	03/01/08 - 03/31/08	2	\$130-per user per mo <del>nth</del>	\$280.00	60.00	\$260.00
NASOAOTrader - Broker/Dealer Premium Package Fee	03/01/08 - 03/31/08	1	\$160-per user per month	\$180.00	\$0.00	\$160.00
NASDAGTrader - SEC 31a Reports Fee	03/01/08 - 03/31/03	1	\$25-Per month per user	625.00	\$3,00	\$25,00
NASDAQTrader.co	m Data Products - Total:			\$445.00	00.00	\$445.00
Total for Location	Number: 21460			\$445,00	\$0.00	8445.00

Location Number: 22089 5ite Name: lehmnj4 2 Peach Tree Hill Road POD2, 2nd Floor Livingston, NJ 07039

	Account Number 100002371	Invoice Numbe 0408NTS05166	-		Payment Terms NET30			mount Due (48,720,74)
CTCI Addl Station		03/01/08 - 05/31/08	59	\$800	per month	\$35,400.00	\$2,478.00	\$37,878,00
CTCl Option I 56kb Line - M	٥	03/01/08 - 03/31/08	1	9120	0-per month	\$1,200.00	\$84.00	\$1,284.00
CTCI Staton		03/01/03 - 03/31/08	1	\$200	per month	1200.00	\$14.00	1214.00
	Network Connectivi	ity - Tolah				336,800.00	\$2,576,00	\$39,378.00
	Total for Location	Number: 22089				136,600.00	\$2,576.00	<b>\$39,376.0</b> 0
Location Number: 40443 Site Name: LEHMNY2 525 Madison Avenue 5TH FLOOR NEW YORK, NY 10173								
racing Applications	rangera gerang.	(Period Covered 1974)	Quantity:	- Fuite	Description 3000000000000000000000000000000000000	SOUTHERN	, TEX.	
WebLink ACT Trd Rpt, Scan. &	RM	03/01/08 - 03/31/08	1	<b>3</b> 375-	per logon / per month	\$375.00	131.41	\$406.41
	Trading Application	s - Total:				\$375.00	\$31.41	1409.41
	Total for Location	Number: 40443				\$375.00	J31,41	1409,41

Grend Total

(\$35,595.\$3) \$8,874.79 (\$48,770.74)

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Invoice Date 04/09/2008 Payment Terms NET30 Account Number Invoice Number Amount Ove 100002371 0408NTS051861 (\$48,720.74)

#### Summary by Billing Component

Brilling Component Description	Quantity	8ubt0tal	i lu	100
ACES Order Executions	2	\$1.40	\$0,00	\$1.40
ACES Order Reject	6	\$6,00	50.00	\$6.00
Tax Only	3	(50.18)	\$0,00	(\$0.18)
Treding Rights	1	\$500.00	\$0.00	\$500.00
ACT/CTCI Fee	1	\$575.00	\$48.16	\$623.16
BRUT Fix Port	7	\$2,600.00	9198,00	\$2,998.00
CTC1 Add1 Station	59	\$35,400,00	62,478.90	\$37,878.00
CTCL Option I 56kb Line MQ	2	62,400.00	5184.50	\$2,584.50
CTCI Station	1	\$200.00	\$14.00	\$214.00
FIX Port	16	\$8,400.00	\$443,00	\$6,848.00
FIX Port - Other Services	3	\$1,500.00	5105.00	\$1,805.00
NTF CTCI Great	1	B1,100.00	\$0.00	\$1,100.00
HTF CTCI Test Time	3	\$8,245.92	\$0.00	16,245,92
NTE FUL Test Tame	2	\$0,659.18	\$0.00	19,859.18
QIX Port Pair	2	43,500.00	6252.00	19,852.00
Data Revenue Sharing - 4Q 2007	4	(\$73,492.64)	\$0.00	(\$73,492.84)
Trade Loss	1	(\$20,951.00)	\$0.00	(\$20,951.00)
IASOAQ Exchange Step Out Function	342	\$9.93	\$0.82	\$10.75
Frade Recording Charge	21,008,061	43.20	40.00	\$6.20
ACT - Risk Management Correspondents Honthly Service	7	\$120.75	\$10.08	\$130.83
CT - Risk Management Sides Activity Fee	2,553,008	\$15,268.79	61,278.58	\$16,545,37
Tape 8 (AMEX) Retale *	2	(\$10,346.29)	40.00	(\$10,346.29)
IASDAO Workslation TRADER	21	\$0,975.00	\$598.25	\$10,673.25
Meblink ACT Trd Ripk, Scan, & RM	31	911,525.00	\$31.41	\$11.656.41
ACT Query (N <del>ew)</del>	4,563	\$2,261.50	8191.08	\$2,472.58
(ASDAQTreder - Broker/Dealer Data Basic Package Fee	4	3520.00	\$0.00	\$520.00
(ASDAQTrader - Broker/Dealer Premium Package Fee	6	\$960,00	\$0,00	\$950,00
IASOAQTreder - SEC 31a Reports Fee	3	975.00	\$0.00	975.00
iASDAQTreder -Daily Market Participant Position Report Plus	ı	\$1\$0.00	\$0.00	\$150.00
let Order Imbalance Websile Professional Tota(View)	1	\$70.00	10.00	670.00
ACT - Cancel/Correct Fee	1,260	4320.00	126.60	1348.80
ACT - Cancel/Correct Fee (non-ng listed)	1,039	\$259.75	\$21.75	\$281.50
ACT - Compare/Accept	10,505,059	\$1,512.87	5126.71	\$1,819.38
ACT - Compare/Accept (non-ng listed)	3,646,674	3553.92	\$46.40	\$800.32
ACT - Internalized Trade Reporting (non-no used)	1,117,682	\$1,385.93	\$116,06	\$1,501.99
ACT - Locked in Trade - Qualified Special Representation (QSR) (non-ing listed)	39,010	840.93	13.43	544.36
ACT - T+1/T+N	5,543	\$1,596.39	\$133,70	\$1,730.09
(CT - T+1/T+N (non-ng listed)	14,128	\$4,058.29	\$340.72	\$4,409,01
ACT Autolock Autometed Give Up (AGLI) - non- ig Beted	1,187,681	\$1,472.72	<b>\$123.84</b>	31,596.08
RF Tape A (NYSE) Revenue Sharing - Q4-2007	5	(\$59,565,33)	10.00	(\$53,585.33)
TRF Tape B (AMEX) Revenue Sharing - Q4-2007	4	(522.992.96)	10.00	(\$22,992.36)
Grand Total		(655,595.53)	\$8,874.79	(\$48,720.74

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Invoice Date 04/09/2008	Account Number 100002371	Invoice Number 0408NTS051861	Payme NET30	нт Tems			Amount Due (\$48,720.74)
BSIN	f	LATS	1,203,522	LEHB	4	LHMS	1,337,435
LHSB	7	NBLB	1	NEUB	12.028		

Credit Invoice - DO NOT PAY

End of Invoice - NA\$DAQ

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Invoice Oate	Account Number	Invoice Number	Payment Terms	Amount Due
06/10/2008	100002371	0608NTS090940	NET30	\$484,248.81
ATTENTION IMAGE PRO	•		Amount Enclosed  \$ Please make checks payable to The NASDAO Stock Market LLC Lockbox 10200 / P.O. Box 8500 Philadelphia, PA 19178-0200  Overnight payments should be sent to Wachovia Bank / The NASDAO Stock Market LLC Lockbox \$10200 / 401 Market Street Philadelphia, PA 19106	

## (PLEASE DETACH REMITTANCE ADVICE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to ndqbilling@nasdaqorux.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUSINNYC

Account #: 2000031405177

Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, NY 10017

Beneficiary: The NASDAQ Stock Market LLC

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma

Invoice Date 06/10/2008	Account Number 100002371	Invoice Number 0608NTS090940	Payment Terms NET30		Amount Due \$484,248.81
		\$ (5 th 5)			
Exchange Menibers	hip - Total	\$500.00	\$0.00	\$500.00	
NET Access - Total		\$38,389.29	83,215.11	\$41,804.40	
NASDAQ Execution:	s - Tolal	\$632,041.49	\$0.00	8632,041.49	
NASDAQTrader.com	n Oata Products - Total	81,775.00	\$0.00	\$1,775.00	
Network Connectivity	y - Tolal	\$87,688.64	\$3,725.66	\$71,414.30	
Options Access - To	tal	\$400.00	\$30.50	5433.60	
Options Executions	- Total	\$8,166.90	\$0.00	\$6,156.90	
Other Product - Tota	ıſ	(\$153,914.46)	\$0.00	(\$153,914.46)	
Post Trade - Total		<b>\$15</b> .14	\$1.27	\$16.41	
Risk Management - '	Total	\$19,677.29	31,647.95	\$21,325.24	
SuperMontage - Tol	al	(\$19,040.54)	80.00	(\$19,040.54)	
Trade Reporting Fac	sikty - Total	(\$145,967.99)	3458.60	(\$145,509.39)	
Trading Applications	- Total	826,339.00	\$1,100.98	\$27,445.96	
Grand Total for Inv	olce	\$474,059.78	\$10,189.05	8484,248.61	

To view additional details for your NASOAQ Executions transactions, <u>please visit http://infocenter.inetats.com</u>, and entar your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user IO and password.

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaqonix.com. Note: Do not include these items with your payment to the fockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9500 Blackwell Road, 3rd Floor, Rockville, MO 20850

Invoice Detail

Location Number: 9181 MPID: LATS 745 7th Avenue New York, NY 10018

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The NASDAQ Stock Market LLC Pg 50 of 160

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Invoice Date 06/10/2008	Account Number 100002371	Invoice Num 0608NTS090		Payment Terms NET30			Amount <b>Due</b> 484,248,81
Data Revenue Sharing -	10 2008	01/01/08 - 03/31/08	1 VAR	IES	(\$41,923.05)	\$0.00	(\$41,923,05)
	Other Product - T	otel:			(\$41,923.05)	10.00	(\$41,923.05)
Trede Reporting Facility	PATE TO SERVICE AND THE SERVIC	S Parked Covered France	Country Rate	Destail plon ( SEE SEE SEE	Поторожения поторожения поторожения поторожения поторожения поторожения поторожения поторожения поторожения по	SONTER!	· Hally ASS
ACT - Internalized Trade	Reporting (non-no listed)	05/01/08 - 05/31/08	1,034,695 \$0.00	29-Per trade	\$1,088.60	591.16	\$1,179.68
ACT - T+1/T+N		05/01/08 - 05/31/08	3 50.20	88-Pertrade	88.08	\$0.07	10.93
TRF Tape A (NYSE) Rev	enue Sharing - Q1-2008	01/01/08 - 03/31/08	1 Varie	Ħ	(\$57,165,59)	60.00	(\$57,165,53)
TRF Tape B (AMEX) Rev	enue Sharing - Q1-2008	01/01/08 - 03/31/09	1 Varie	16	(69,728.75)	\$0,00	(69,728.75)
	Trade Reporting (	Facility - Total.			(\$55,604.92)	191.23	(\$65,717,59)
Treding Applications		Feelod Covered 727 35	Charithy Rate	OSSCHOOLS AND AND	97 Sy 60510(a)	. Tue	विकास करिया ।
ACT Quary (New)		05/91/08 - 05/31/08	4 10.50	) per scan	\$2.00	40.17	12.17
	Trading Application	ons - Total·			12.00	\$0.17	62.17
	Total for MPID: U	ATS			(\$107,725.97)	891.40	(\$107,634.87)

Location Number: 9181 MPIO: LEHM

745 7th Avenue New York, NY 10019

NASIMAL ENGRAPH STARTED CONTRACT	PORO CONTO	Ollector.	Rate Description # 47 79 2	THE CONTROL OF THE	T <b>OL</b> S.	Section ()
AMEX Listed Securities >= \$1 (Displayed) - ETF Charge	05/01/08 - 05/01/09	192,520,603	\$0,0029 per share	\$559,309.76	00,04	8558,309.78
AMEX Listed Securities >= \$1 (Displayed) - ETF Routed Charge	05/01/08 - 05/31/08	13,301,244	\$0.0029 per share	<b>138,573.60</b>	60.00	\$38,573,60
AMEX Listed Securities >= \$1 (Displayed) - ETFRebala	05/01/08 - 05/31/08	89,589,070	3-0.0028 per share	(\$242,380.52)	\$0,00	(\$242,390.52)
AMEX Listed Securities >= \$1 (Oisplayed) - Listed Charge	05/01/08 - 05/31/08	14,115,028	10.0029 per share	\$40,939.57	10.00	\$40,933.57
AMEX Listed Securities >= \$1 (Displayed) - Listed Rebate	05/01/08 - 05/31/08	8,324,608	3-0.0028 per ehare	(\$25,308.90)	\$0.00	(123,363,90)
AMEX Listed Securities >= 51 (Displayed) - Listed Routed Charge	05/01/08 - 05/31/06	4,040,000	\$0,0020 per share	811,715.99	10.00	\$11,715.89
AMEX Specialist Fees and Directed Orders - Listed Charge	05/01/08 - 05/31/08	31,400	\$0,0035 per share	\$(09,90	10.00	\$109.90
AMEX Specialist Fees and Directed Orders - Surcharge DOTO Charge	05/01/06 - 05/31/08	100,000	\$0.0135 per share	\$1,350.00	10.00	\$1,350,00
MYSE Listed Securities >= \$1 (Displayed) - Charge	05/01/08 - 05/31/08	6 <b>22</b> ,273,6 <b>6</b> 2	30.0029 per Share	\$1,604,590,62	\$0.00	91,804,593.62
NYSE Listed Securities >= \$1 (Displayed) - Rebate	05/01/08 - 05/31/08	746,441,266	\$-0,0028 per share	(52,095,635.60)	00.00	(\$2,005,635,60)
NYSE Listed Securities >= \$1 (Displayed) - Routed Charge	05/01/08 - 05/31/08	81,237,398	10.00 <b>29</b> per share	\$235,568.46	\$0.00	9235,588.49
Naudaq Listed Securities >= 31 (Displayed) - OTC Charge	05/01/09 - 05/31/03	713,749,760	\$0,0029 per share	\$2,089,874.36	\$0,00	62,059,874.36
Waxdaq Listed Securities >= \$1 (Diaplayed) - OTC Rebate	05/01/09 - 05/31/08	629,331,179	\$-0,0023 per share	(\$1,782,127.26)	10.00	(\$1,762,127,26)
Nasdaq Listed Securities >= \$1 (Displayed) - OTC Routed Charge	05/01/08 - 05/31/06	57,729,367	50,0026 per share	\$167,415.18	<b>₩</b> .90	\$107,415.18
Non-Displayed Liquidity Provided - ETF AMEX Rebate	05/01/08 - 05/31/08	2,130,857	\$-0.0015 per share	(53, (96.29)	\$0.00	(\$3,199.29)
Non-Displayed Liquidity Provided - Listed AMEX Rebate	05/01/08 - 05/31/08	1,583,609	\$-0,0015 per share	(\$2,375.71)	<b>10.00</b>	(\$2,375,71)
Non-Displayed Liquidity Provided - Listed NYSE Rebate	05/01/08 - 05/31/08	69,775,900	3-0.0015 per share	(\$134,663,64)	\$9.00	(8134,683.84)
Non-Displayed Liquidity Provided - OTC Rebalo	05/01/08 - 05/31/08	111,916,035	1-0.0015 per share	(5167,674.03)	00.00	(\$167,874.03)
Other Charges - Closing Cross Billable	05/01/08 - 05/31/08	29,153,080	\$0.0005 per share	914,576.54	\$0.00	114,576.54
Other Charges - Cross Halt IPO	05/01/08 - 05/31/06	2,500	\$0,0005 per share	31,25	10.00	\$1.25
Other Charges - Opening Cross Ballable	05/01/08 - 05/91/08	8,880,239	\$0.0005 per share	\$2,958.10	<b>10.90</b>	\$2,958.10

			Py 51 01	100		~9° °	V. 12
Invoice Date 06/10/2008	Account Number 100002371	Invoice N 0608NTS		Payment Terms NET30			Amount Due \$484,248.81
REG NMS Functionally - A Charge Odd Lole Directed		05/01/08 - 05/31/08	50	60,005 per share	\$0.29	\$0.00	\$0.29
REG NAS Fursctionality -	Directed ISO AMEX Charge	05/01/08 - 05/31/08	78,332	30.0035 per share	6274.17	30.00	6274.17
REG NMS Functionality - I Charge	Directed ISO NASDAQ	05/01/08 - 05/31/08	502,170	50,0035 per share	\$1,757,59	\$0.00	\$1,757.59
REG NMS Functionality - I	Directed ISO NYSE Charge	05/01/08 - 05/31/08	189,716	\$0,0005 per share	3664.01	\$0.00	3664.01
REG NMS Functionality - I ETF Charge	Directed ISO Non-NASOAQ	05/01/08 - 05/31/08	172,475	\$0.0035 per shere	\$603,68	90.00	\$603,68
Routed Oddlot Charges / I LOS	NYSE DOTD Charge Odd	05/01/06 - 05/31/08	153	\$0.03 per share	<b>\$4.</b> 59	80,00	\$4.59
Rouled Oddiol Charges - I	NYSE DOTD PRL Charge	05/01/08 - 05/31/08	1,713	\$0.01 per share	\$17.13	\$0.00	\$17,13
Rou'ing Fees For Non-ETI Blended Executions Charg	Fs Executed on the HYSE - pe	05/01/08 - 05/31/06	335,615	\$0.0004 per share	\$134.25	\$0.00	\$134.25
Routing Fees For Non-ET! Liquidity Removed Charge	Fs Executed on the NYSE -	05/01/08 - 05/31/08	33,266,600	60,00076 per share	628,699.99	\$0.00	\$28,699.99
Rousing Fees For Hon-ETA Liquidity Removed DQTD	Fs Executed on the MYSE - Charge	05/01/08 - 05/91/08	583,100	\$0,0009 per shere	\$524.79	\$0.00	6524.78
Rousing Fees For Mon-ET/ Re-Routed Charge	fs Executed on the NYSE -	05/01/08 - 05/31/08	396,100	40,003 per shaze	5924,30	80.00	\$924.30
Securities < \$1 - CQS AMI	EX Listed	05/01/08 - 05/91/08	784,992.4	.1%/ dollar volume	37 <del>84.69</del>	60.00	6764.99
Securities < \$1 - CQS ETF	F Listed	05/01/08 - 05/31/03	6,675	.114/ dollar volume	\$6.68	60.00	18.68
Securities < \$1 - Listed Ale	AEX Routed Charge	05/01/08 - 05/51/08	392,619,48	SMV došat volvine	¥1.177.85	\$0.00	\$1,177.95
Securities < \$1 - OTC Cha	arge	05/01/08 - 05/31/08	5,361,083.38	.1%/ dollar volume	15,3 <del>0</del> 1.08	\$0,00	<b>\$6.3</b> 61.08
Securities < \$1 - OTC Rou	ited Charge	05/01/08 - 05/31/08	1,168,311.8	.3%/ dollar volume	89,458.94	\$0.00	\$3,498,84
	NASDAO Executio	ns - Tolat			\$558,840.40	\$0.00	1558,840,40
Options Executions	THE PROPERTY OF THE PARTY.	Period Contra	Quantity	Rife Discription (1948) (1957)	aubyotal .	÷. Tai ∈	Salatana Salatana
Added Liquidity		05/01/08 - 05/31/08	136	9-0.3 per executed contract	(\$40.60)	\$0.00	(640.B0)
Opening Auction Billable		05/01/08 - 05/31/08	15	\$0.05 per executed contract	80.75	\$0.00	<b>\$</b> 0,75
Removed Liquidity		05/01/08 - 05/31/08	19,771	80.45 per executed contract	\$8,196.95	\$0.00	<b>3</b> 6,199,95
	Options Executions	s - Totat			\$6,1\$8.\$0	\$0.00	\$6,156,00
Other Product (%)	PARAMETER (F. 1989)	Period Covered	· Outlibry	Nate Description > 8			e the strate of
Data Revenue Sharing - 1	•	01/01/08 - 03/31/03	1	VARIES	(\$11,681.69)		(\$11,981.99)
	Other Product - To	tal.			(\$11,985.89)	\$0.00	(5 (1,981.99)
Politinde Test Service	ninggo andigi	Period Covered.		Rake Description			
NASDAO Exchange Step	Out Function	05/01/08 - 05/31/08		\$0,029-Per side per trade	\$15.14	\$1.27	516.41
Trade Recording Charge		05/01/03 - 05/31/08	18,520,395	50,000-per side	\$6,00		\$0.00
	Post Trade - Total:				\$15.14	\$1.27	\$16.41
Risk Management - ACT - Risk Management 0 Service		OS/01/08 - 05/31/08		Rate Description 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	் _8ub <b>Josep</b> 169.00	55,78 \$5,78	म्हर्स स्था <b>रता</b> । 574.78
ACT - Risk Management S	irlae Arrivitu Faa	05/01/08 - 05/01/08	3 192 619	\$0,025-Per tradé per correspondent	\$19,608,99	81,642,19	121,250.48
ACT - Class moneyering in a	Risk Management		0,132,013	av.vzv-i ca ebdo pej svarbogovinacii.	\$12,677,29		\$21,325.24
	-		e ann a leathailte	enter a regional de l'archive de la colonia de l'archive de l'archive de l'archive de l'archive de l'archive d L'archive de l'archive d			
Tape 8 (AMEX) Rebaile	en e	OS/01/08 - 05/31/03		Note Description The State of the Varies	(\$15,553.13)		(315,550.13)
Tape B (AMEX) Revenue:	Snaring - 2007	01/01/07 - 12/31/07	1	Varies	(4510.30)		(\$650.30)
Tape B (AMEX) Revenue:	Sharing - Q1 2008	01/01/08 - 03/31/08	1	Varies	(\$2,905.37)		(12,905,37)
	SuperMontage - To	otat:			(619,009.80)	60.00	(\$19,008.80)
	0.47 - 1.77 <u>1.44 - 1.46 1.</u> 78	Period Covered	Quartity	Rate Description (2) (2007)	SubTotal _	JOHN THE Y	- Total
ACT - Cancel/Correct Fee			_		A	<b>.</b>	
		05/01/06 - 05/01/08	_	\$0.25-Per trade per side	\$558.00 \$136,75	348.73 311.45	\$504.73 \$148.20

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 52 of 160 Page 4

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Invoice Qate 06/10/2008	Account Number 100002371	Invoice Nur 0608NTS0		Payment Terms NET30			Amount Due 484,248,81
АСТ - Сол-раге/Ассері		05/01/08 - 05/31/08	3,644,172	\$0.000144-Per share block	6524.78	\$43.95	8568.71
ACT - Compare/Accept	(non-ng listed)	05/01/08 - 05/31/03	2,610,537	10.000144-Per share block	\$375.92	531.48	\$407,40
ACT - Internatized Trade	Reporting (non-no listed)	05/01/09 - 05/31/08	10,616	\$0.029-Per trade	511.17	\$0.94	\$12.11
ACT - Locked in Trade - Representation (OSR) (c		05/01/08 - 05/31/08	18,688	\$0.029-Per side per trade	319.66	\$1.65	\$21,31
ACT - T+1/T+H		05/01/08 - 05/31/08	1,394	40,288-Per trade	\$401,47	\$33.62	\$435.09
ACT - T+1/T+N (non-nq	ksled)	05/01/08 - 05/31/08	875	\$0.268-Per trade	1252.00	\$21.11	1273.11
ACT Autolock Automater Ested	d Give Up (AGU) - non-nq	05/01/08 - 05/31/08	489,190	\$0.029-Per side per trade	5493.59	<b>\$</b> 41.34	\$534.93
TRF Tape A (NYSE) Re	renue Sharing - Q1-2008	01/01/08 - 03/31/08	1	Varies	(\$7,380.58)	\$0.00	(\$7,380.53)
TRF Tape B (AMEX) Re	venue Sharing - Q1-2008	01/01/08 - 03/31/08	1	Varies	(61,333.04)	\$0,00	(61,333.04)
	Trade Reporting	Facaty - Total:			(\$5,840.28)	\$232.77	(\$3,707.98)
Tracking Apple attorns	7,500 (1.50	Pelot Covered 1780	Quantity	Rate Description (	SON SUBTORAL	a Tine	.⊋ <del>Z</del> ¥Total `
ACT Outy (New)		05/01/08 - 05/31/08	6,354	80.50 për snën	\$3,177.00	\$268.07	13,443,07
	Trading Applicate	ona - Total.			\$3,177.00	\$260.07	\$3,443.07
	Total for MPID:	LEHM			\$550,935.69	\$2,147.58	\$551,003,25

Location Number: 9181 MPID: LEHP 745 7th Avenue New York, MY 10018

Data Revenue Sharing - 1Q 2006	01/01/08 - 03/31/08	1	VARIEŞ	(43,63)	\$0.00	(\$5.83)
Other Product - 1	'otat			(\$3.63)	\$0,00	(15.63)
ATrade Reporting Facility (2002) 2017 (78/24/2017)	Period Covered (** 1.7)	Cuantity.	Rute Description 40% (17/2)	#FEST #SOUTHING	<u>Parasa</u> s	SE TOTAL 2
ACT - Internalized Trade Reporting (non-ng listed)	05/01/03 - 05/31/09	19	50.02 <del>0</del> -Per trade	80.02	\$0.00	\$0,02
ACT - T+1/T+N	05/01/08 - 03/31/08	1	\$0.268-Per trade	\$0,23	\$0.02	50.31
ACT - T+1/T+N (non-ng lasted)	05/01/08 - 05/31/09	22	\$0.288-9% trade	56.34	50.83	\$6.87
TRF Tape A (NYSE) Revenue Sharing - Q1-2008	01/01/09 - 03/31/08	1	Varies	(\$199.53)	56.00	(\$199.53)
Trade Reporting	Facility - Total			(\$192.58)	30.85	(\$192.31)
Total for MPIO: I	LEHP			(\$198.51)	\$0.65	(5197.96)

Location Number: 9181 MPIO: LHMA 745 7th Avenue New York, NY 10019

MASDAQ Executions	Period Covered	Quantity	Rate Description	STEEDT AND TO	( <b>10</b>	কুইনকে:
AMEX Listed Securities >= \$1 (Displayed) - ETF Charge	05/01/08 - 05/31/08	499,895	10.0029 per share	\$1,449.70	\$0,00	31,449.70
AMEX Listed Securities >= \$1 (Displayed) - ETF Routed Charge	05/01/08 - 05/31/08	74, <del>9</del> 37	\$0,0029 per share	\$217.92	\$0.00	\$217,32
AMEX Listed Securities >= 81 (Displayed) - ETFRebate	05/01/08 - 05/31/08	133,940	5-0.0028 për share	(\$375.03)	\$0,00	(\$375.03)
AMEX Listed Securifies >= \$1 (Displayed) - Listed Charge	05/01/08 - 05/31/08	13,160	\$0.0029 per share	333,16	56.00	\$33,16
AMEX United Securities >= \$1 (Displayed) - Listed Rebate	05/01/08 - 05/31/08	18,546	\$-0.0028 per share	(\$51.93)	\$0.00	(551.93)
AMEX Listed Securities >= \$1 (Displayed) - Listed Routed Charge	05/01/08 - 05/31/08	35,762	\$0.0029 per share	\$103.71	\$0.00	\$103.71
NYSE Listed Securities >= \$1 (Otaplayed) - Charge	05/01/08 - 05/01/08	1,997,761	\$0.0029 per share	\$5,706,51	\$0.00	\$5,706,51
NYSE Listed Securities >= \$1 (Displayed) - Rebate	05/01/08 - 05/31/08	1,127,287	\$-0.0028 per share	(\$3,184.40)	\$0,00	(\$3,184.40)
NYSE Listed Securities >= \$1 (Displayed) - Routed	05/01/08 - 05/31/08	465,408	\$0.0029 per share	31,407,58	\$0.00	51,407.68

06/10/2008	Account Number	Invoice N		Payment Terms		-	Amount Due
<del>00102000</del>	100002371	0608NTS	090940	NET30		S	484,248.81
Charge							
Nasdaq Lipled Securitie: Charge	s >= \$1 (Displayed) - OTC	05/01/08 - 05/31/08	2,187,614	60.0029 per share	58,344,66	60.00	58,344,6
Vasdaq Listed Securitie: Rebata	s >= \$1 (Displayed) - OYC	05/01/08 - 05/31/08	458,676	\$-0.0028 per share	(\$1,284.30)	\$0.00	(31,284.3
lasdaq Listed Securitie: Routed Charge	s >= 51 (Displayed) - OTC	05/01/08 - 05/91/08	225,039	\$9,0029 per share	\$852.61	10.00	\$652.6
Yon-Displayed Liquid/ty	Provided - OTC Rebate	05/01/08 - 05/31/08	38,744	\$-0,0015 per share	(155.12)	\$9.00	(155.1
Other Charges - Closing	Cross Ballable	05/01/08 - 05/01/08	109,095,891	40,0005 per share	\$\$4,847.95	\$0.00	\$54,547.9
Other Charges - Openin	g Cross Billable	05/01/08 - 05/31/08	21,112,471	\$0.0005 per share	\$7,049,30	30.00	\$7,043,9
Noveng Fees For Non-E Mended Executions Cha	TFs Executed on the <b>NYSE</b> - rge	05/01/08 - 05/01/08	3,577	30,0004 per share	\$1.43	\$0.90	\$1.4
Routing Fees For Non-E Iquidity Removed Chan	TFs Executed on the RYSE - ge	05/01/08 - 05/31/08	311,700	\$8,00075 per share	\$233,73	\$0.00	1233.7
Securities < \$1 - Listed A	MEX Rouled Charge	05/01/08 - 05/31/08	240	.3%/ dollar volume	50.72	\$0,00	\$9.7
lecuriles < 51 - QTC C	rarge	05/01/08 - 05/31/08	357,932.51	.1W/ dollar volume	\$357.93	\$0.00	\$357.9
Securities < \$1 - OTC Re	ouled Charge	05/01/08 - 05/31/08	15,270.6	.3%/ dollar volume	\$45,61	60,00	\$45.6
	NASDAQ Execut	ons - Total.			173,201.09	\$0.00	\$73,201.0
har Proceed (1982)		01/01/08 - 03/01/08		Rada Description (1997)	ିଞ୍ଜ ହିଳ୍ମ <b>SübTotāl</b> ିଲ (\$98,227.28)	. (SATER (*) 40.00	ि <b>ार्थ्य</b> (198,227.2
•	Other Product - T	otat			(\$98,227.28)	30.00	(198,227.2
oet-Trade		© Perfed Covered (12 05/01/08 - 05/01/08	93,534	Rain Description (1997)	% ≲3967∂6∩ 30.00	் இ <b>ம்</b> இர <b>30.0</b> 0	் <u>சி</u>
.,							
	Post Trade - Tota	l.			\$0,00	\$0.00	\$0.0
arabian da arabi			Quantity	Rails Direct pitton (2007)			
-	es comparation		្សន៍ដ <b>ូលភាពស្តុ</b> 1	Rith Discription (1977). Varies			T. P. Gran
Tape 6 (AMEX) Rebate	es e <del>stados es</del> ectos.	Period Covered 2 5	1		S Suptant	्र राष्ट्र	(622.7
Tape 8 (AMEX) Rebate Tape B (AMEX) Revenu	Bharing - 2007	05/01/08 - 05/01/08	1	Varies	\$00\(00a) (\$22.79)	20.00 10.00	(\$22.7 (\$22.2
Fape B (AMEX) Revenu Fape B (AMEX) Revenu	Bharing - 2007	05/01/08 - 05/01/08 05/01/08 - 05/01/08 01/01/07 - 12/31/07 01/01/06 - 00/31/08	1	Varies	\$22.79) (\$2.21)	\$0.00 \$0.00	(\$22.7 (\$22.7 (\$2.2 (\$6.7
Tape B (AMEX) Rebate Tape B (AMEX) Revenu Tape B (AMEX) Revenu	Bharing - 2007 e Sharing - 01 2009 SuperMontage -	05/01/08 - 05/31/08 05/01/08 - 05/31/08 01/01/07 - 12/31/07 01/01/06 - 00/31/08	1 1 1	Varies	(\$22.79) (\$2.21) (\$2.74) (\$3.74)	\$0,00 \$0,00 \$0,00 \$0,00	(622.7 (622.7 (42.2 (\$6.7 (131.7
Tape B (AMEX) Rebate Tape B (AMEX) Revenue Tape B (AMEX) Revenue	e Sharing - 2007 e Sharing - Q1 2003 SuperMontage -	05/01/08 - 05/31/08 05/01/08 - 05/31/08 01/01/07 - 12/31/07 01/01/06 - 00/31/08	1 1 1 ::***Y <b>QWhth</b> y?	Varies Varies Varies	(\$22.79) (\$2.21) (\$2.74) (\$3.74)	\$0,00 \$0,00 \$0,00 \$0,00	(\$22.7 (\$2.2 (\$6.7 (\$31.7
Tape B (AMEX) Rebate  Tape B (AMEX) Revenue  Tape B (AMEX) Revenue  Tape Reporting Facility  ACT - Cancel/Correct Fe	Bharing - 2007  Sharing - C1 2003  SuperMontage - 1	05/01/08 - 05/01/08 05/01/08 - 05/01/08 01/01/07 - 12/31/07 01/01/06 - 00/31/08 olat	1 1 1 2 <b>2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 </b>	Varies Varies Varies Rate Description	(\$22.79) (\$2.21) (\$2.21) (\$8.74) (\$31.74)	\$0.00 \$0.00 \$0.00 \$0.00	(\$22.7 (\$2.2 (\$6.7 (\$31.7 (\$31.7 (\$31.7
Tape B (AMEX) Rebate Tape B (AMEX) Revenue	e Sharing - 2007 e Sharing - Q1 2003 SuperMontage - e e (non-ne kates)	05/01/08 - 05/31/08 01/01/07 - 12/31/07 01/01/08 - 03/31/08 folat 05/01/08 - 05/31/08	1 1 1 2 2 41	Varies Varies Varies Rate Description 30.25-Per trade per aide	(\$22.79) (\$2.21) (\$2.21) (\$8.74) (\$31.74) \$3\$Tôtal \$3	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00	(\$2.7 (\$2.2 (\$6.7 (\$31.7 (\$31.7 (\$31.7 (\$31.7 (\$31.1
Tape B (AMEX) Rebate Tape B (AMEX) Revenue Tape B (AMEX) Rebate Tape B (AMEX) Rebate Tape B (AMEX) Rebate Tape B (AMEX) Revenue Tape	e Sharing - 2007 e Sharing - Q1 2003 SuperMontage - e e (non-ne kates)	Period Covered: 7 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 01/01/06 - 00/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08	1 1 1 2 2 41	Varies Varies Varies Varies Rate Description 30.25-Per trade per side 10.25-Per trade per side \$0,000144-Per there block	\$22.79) (\$2.21) (\$2.21) (\$8.74) (\$31.74) \$30.50 \$10.25	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.04	(\$22.7 (\$2.2 (\$6.7 (\$31.7 (\$31.7 (\$31.7 (\$31.1 \$0.5 \$1.1
Tape B (AMEX) Rebate Tape B (AMEX) Revenue T	s Sharing - 2007 s Sharing - 01 2003 SuperMontage - 1 Sup	05/01/08 - 05/31/08 01/01/07 - 12/31/07 01/01/06 - 00/31/08 folat  Period (Covered Covered Cov	1 1 1 2 41 7,500	Varies Varies Varies Varies Rate Description 30.25-Per trade per side 10.25-Per trade per side \$0,000144-Per share block	\$2.21) (\$2.21) (\$2.21) (\$8.74) (\$31.74) \$35Tôtal \$3 \$10.50 \$10.25	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.04 \$0.86 \$0.09	(\$22.7 (\$2.2 (\$6.7 (\$31.7 (\$31.7 (\$31.4 \$0.5 \$13.4 \$1.3
Tape B (AMEX) Rebate Tape B (AMEX) Revenue T	Bharing - 2007  s Sharing - 01 2003  SuperMontage - 1  SuperMontag	Period Covered: 7 - 05/01/08 - 05/08 - 05/08 - 05/08 - 05/08 - 05/08 - 05/08 - 05/08 - 05/08 - 05/0	1 1 1 2 41 7,500	Varies Varies Varies Varies And Description 30.25-Per trade per side \$0.000144-Per there block \$0.029-Per trade \$0.263-Per trade	\$203,58	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.04 \$0.86 \$0.09 \$17.05	(\$22.7 (\$2.2 (\$6.7 (\$31.7 (\$31.7 (\$31.7 \$0.5 \$11.1 \$1.3 \$220.6 \$13.4
Tape B (AMEX) Rebete Tape B (AMEX) Revenue T	Bharing - 2007  s Sharing - 01 2003  SuperMontage - 1  SuperMontag	Period Covered: 7 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 01/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08 - 05/01/08	1 1 1 2 41 7,500 193,519 43	Varies Varies Varies Varies And Description 30.25-Per trade per side \$0,000144-Per there block \$0,029-Per trade \$0.285-Per trade	\$205(000) \$2.21) (\$2.21) (\$2.21) (\$8.74) (\$31.74) \$30.50 \$10.25 \$1.08 \$203.58 \$12.38	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.04 \$0.86 \$0.09 \$17.05	(\$22.7 (\$2.2 (\$6.7 (\$91.7 (\$91.7 (\$91.4 \$0.5 \$11.1 \$1.2 \$2.6 \$12.4 \$47.7
Tape B (AMEX) Rebate  Tape B (AMEX) Revenue	s Sharing - 2007 e Sharing - 01 2003 SuperMontage - e e (non-no kaled) inon-no lated) Reporting (non-no kaled)	Period Covered: 5 - 05/01/08	1 1 1 2 41 7,500 193,519 43 159 31,480	Varies Varies Varies Varies Partie Description 30.25-Per trade per side 40.25-Per trade per side \$0,000144-Per there block \$0,029-Per trade \$0.268-Per brade \$0.268-Per brade	\$205(000) 2 (\$22.79) (\$2.21) (\$8.74) (\$31.74) (\$35,700) 3 \$10.50 \$10.25 \$1.08 \$203,58 \$12.38 \$44.06	\$0.00 \$0.00 \$0.00 \$0.00 \$0.04 \$0.66 \$0.09 \$17.05 \$11.04 \$3.69	(\$22.7 (\$2.2 (\$5.7 (\$31.7 (\$31.7 (\$31.4 \$1.4 \$1.4 \$1.4 \$120.6 \$13,4 \$47.7 \$35,6
Tape B (AMEX) Rebate Tape B (AMEX) Revenue T	s Sharing - 2007 e Sharing - 01 2000 SuperMontage - 1 e (non-ng kated) (non-ng tated) Reporting (non-ng kated) (sted) (sted) d Ghra Up (AGU) - non-ng	Period Covered: 3 - 05/01/08	1 1 1 2 41 7,500 193,519 43 159 31,490	Varies Varies Varies Varies Varies Varies Per trade per side \$0,000144-Per share block \$0,029-Per trade \$0,288-Per trade \$0,288-Per trade \$0,288-Per skie per trade	\$205(00) { (\$2.21) (\$2.21) (\$2.21) (\$2.74) (\$31.74) (\$31.74) \$30.50 \$10.25 \$1.08 \$203.58 \$12.98 \$44.06 \$33.12	\$0.00 \$0.00 \$0.00 \$0.00 \$0.04 \$0.86 \$0.09 \$17.05 \$1.04 \$3.69 \$2.77	(\$22.7 (\$2.2 (\$6.7 (\$31.7 (\$31.7 (\$31.1 \$40.5 \$13.4 \$47.7 \$35.6 (\$48.993.2
Tape B (AMEX) Rebate Tape B (AMEX) Revenue T	Bharing - 2007  Sharing - Q1 2000  SuperMontage - 1  SuperMontage	Period Covered: 5   05/01/08   05/01/08   05/01/08   05/01/08   01/01/08   00/01/08   05	1 1 1 2 41 7,500 193,519 43 159 31,490	Varies Varies Varies Varies Partie Description 10.25-Per trade per side 10.25-Per trade per side \$0,000144-Per there block \$0,029-Per trade \$0.288-Per trade \$0.288-Per trade Varies	\$22.79) (\$2.21) (\$2.21) (\$2.74) (\$31.74) (\$31.74) \$30.50 \$10.25 \$1.08 \$203.58 \$12.36 \$44.06 \$33.12 (\$48,603.24)	\$0.00 \$0.00 \$0.00 \$0.00 \$0.04 \$0.66 \$0.09 \$17.05 \$1.04 \$3.69 \$2.77	(\$22.7 (\$2.2 (\$6.7 (\$31.7 (\$31.7 (\$31.7 (\$31.4 \$1.4 \$1.4 \$1.4 \$20.6 \$13.4 \$47.7 \$35.6 (\$48.993.2 (\$25,200.5
Tape B (AMEX) Rebete Tape B (AMEX) Revenue Tape B (AMEX) Re Tape B (AMEX) Re	s Sharing - 2007 s Sharing - Q1 2000 SuperMontage - 1 Sup	05/01/08 - 05/31/08 01/01/07 - 12/31/07 01/01/08 - 03/31/08 folat  Period Covered 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08	1 1 1 1 2 41 7,500 193,519 43 159 31,410	Varies Varies Varies Varies Partie Description 10.25-Per trade per side 10.25-Per trade per side \$0,000144-Per there block \$0,029-Per trade \$0.288-Per trade \$0.288-Per trade Varies	\$205(00012 (\$22.79) (\$2.21) (\$8.74) (\$31.74) (\$31.74) \$10.50 \$10.25 \$1.08 \$203.58 \$12.36 \$44.06 \$33.12 (\$48,623.24) (\$25,200.84) [\$73,688.91]	\$0.00 \$0.00 \$0.00 \$0.00 \$0.04 \$0.09 \$17.05 \$11.04 \$3.69 \$2.77 \$0.00 \$0.00	(\$22.7 (\$2.2 (\$6.7 (\$31.7 (\$31.7 (\$31.7 (\$31.4 \$1.1 \$1.2 \$1.4 \$47.7 \$35.6 (\$48.993.2 (\$25,200.5
Tape B (AMEX) Revenue	s Sharing - 2007 s Sharing - Q1 2000 SuperMontage - 1 Sup	05/01/08 - 05/31/08 01/01/07 - 12/31/07 01/01/08 - 03/31/08 folat  Period Covered 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Varies Varies Varies Varies Partie Description 10.25-Per trade per side 10.25-Per trade per side 50.000144-Per there block 50.029-Per trade 50.268-Per trade 50.268-Per trade Varies Varies	\$205(00012 (\$22.79) (\$2.21) (\$8.74) (\$31.74) (\$31.74) \$10.50 \$10.25 \$1.08 \$203.58 \$12.36 \$44.06 \$33.12 (\$48,623.24) (\$25,200.84) [\$73,688.91]	\$0.00 \$0.00 \$0.00 \$0.00 \$0.04 \$0.09 \$17.05 \$11.04 \$3.69 \$2.77 \$0.00 \$0.00	(622.7 (82.2 (56.7 (831.7 (831.7 (831.7 70.6) \$10.5 \$11.1 \$1.1 \$20.6 \$13.4 \$47.7 \$35.6 (\$48.993.2 (\$25.200.5 (\$73,663.3
Tape B (AMEX) Rebete  Tape B (AMEX) Revenue	s Sharing - 2007 s Sharing - Q1 2000 SuperMontage - 1 Sup	05/01/08 - 05/31/08 01/01/06 - 05/31/08 01/01/06 - 05/31/08 folat  Perfor Covered 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 05/01/08 - 05/31/08 01/01/08 - 03/31/08 01/01/08 - 03/31/08 605/01/08 - 05/31/08	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Varies  Varies  Varies  Varies  Rate Description  30.25-Per trade per side  \$0.000144-Per share block  \$0.029-Per trade  \$0.288-Per trade  \$0.288-Per trade  Varies  Varies  Pate Description	\$205(66) 2 (\$2.21) (\$2.21) (\$2.21) (\$2.74) (\$3.74) (\$31.74) \$10.50 \$10.25 \$1.08 \$203.58 \$12.38 \$44.06 \$33.12 (\$48,693.24) (\$25,200.84) [\$73,688.91]	\$0.00 \$0.00 \$0.00 \$0.00 \$0.04 \$0.04 \$0.09 \$17.05 \$11.04 \$3.69 \$2.77 \$0.00 \$0.00	(\$22.7) (\$2.2 (\$5.7) (\$31.7)

Location Number: 9181 MPID: LHMB

745 7th Avenue

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Invoice Date	Account Number	Invoice Number	Payment Terms	Amount One
				-
06/10/2008	100002371	0608NT\$090940	NET30	<b>\$484,2</b> 48.81

Mary 1	٧a.			10010
LIGHT	ror	R, F	41 1	10019

Track Recording Forms States 1: Free States	Period Covered	Quantity:	Name Description	SE SUBTOBION S	111000	विद्यालका है
ACT - Cancel Correct Fee	05/01/08 - 05/31/08	5	\$0,25-Per trade per side	\$1.25	10.10	\$1.35
ACT - Cancel Correct Fee (non-ng fisted)	05/01/08 - 05/31/08	2	60.25-Per trade per side	\$0.50	\$0.04	\$0.54
ACT Autolock Automated Give Up (AGU) - non-ng listed	05/01/08 - 05/01/08	13	\$0.029-Per elde per trade	<b>\$</b> 0.01	60.00	10,01
Trade Reporting Fa	olity - Total:			51.76	\$0.14	\$1.90
Total for MPID: Li	RMB			\$1.76	\$0.14	\$5.00

COUNT PROBLEM TO A SECRETARY TO THE PROBLEM TO A COUNTRY THAT DESCRIPTION TO THE PROBLEM TO THE

Location Number: 9181 MPID; LHMS 745 7th Avenue New York, NY 10019

Data Revenue Sharing - 10 2008	01/01/08 - 03/31/03	1	VARIES	(\$1,776.51)	\$0.00	(\$1,776.51)
Other Product - 1	folat			(\$1,776,51)	\$0.00	(61,779.51)
Article Reporting Factory (2) Place (1998)	Fig. Phillips (Consense) Fig. 1	Quartity	Raili Description (1988)	Subtotal S	SATE SE	Sec. 97600 (1
ACT - Cancel/Correct Fee	05/01/08 - 05/31/08	26	30.25-Per trade per side	\$6,50	50.54	47.04
ACT - Cancel/Correct Fee (non-ing listed)	05/01/08 - 05/01/08	219	\$0.25-Per trade per side	<b>954</b> .75	\$4.59	559.34
ACT - Compare/Accept	05/01/08 - 05/31/08	276,200	\$0,000144-Per share block	\$39.77	\$3,33	<b>₽</b> 43,10
ACT - Compare/Accept (non-ng #sted)	05/01/03 - 05/31/08	509,323	50,000144-Per share block	\$75,34	\$0.14	479.48
ACT - Internalized Trade Reporting (non-ng listed)	05/01/08 - 05/31/08	197	30.028-Per trade	50.21	\$0,02	10.23
ACT - T+1/T+H	05/01/08 - 05/31/08	65	\$0.268-Per Vade	118.72	\$1.57	120.29
ACT - T+1/T+N (non-ng kaled)	05/91/08 - 05/91/08	253	10.268-Per trade	\$72.66	30.10	\$78.98
ACT Autolock Automated Give Up (AGU) - non-nq fisled	05/01/08 - 05/31/08	982,751	\$0.029-Per side per trade	\$1,039.85	\$66,59	\$1,120.43
TRF Tape A (NYSE) Revenue Sharing - Q1-2009	01/01/08 - 03/31/08	1	Varies	(\$699.27)	\$0.00	(9599.27)
TRF Tapa B (AMEX) Revenue Sharing - Q1-2008	01/01/08 - 03/01/08	1	Varies	(\$744.52)	\$0.00	(8744.52)
Trade Reporting	Facility - Total:			(3142.79)	\$108.87	(\$33.92)
Theolog Applications Self-Field Traditions	TO PROGRESSION OF STREET	%7 Quantity	Rate Description 2007 Con 1	306 Total **	37.7 <b>7.7</b> 2.23	्राम्य विकास
ACT Query (New)	05/01/03 - 05/31/08		\$0.50 per scan	193.50	97.63	\$101.33
Trading Applicati	one - Total:			193,10	67.63	\$105.33
Total for MPIO:	LHMB			(\$1,825.80)	\$116.70	(\$1,709.10)
Total for Localis	on Number: 8181			\$142,261,83	62,385.37	1344,667.20

Location Number: 9872 Site Name: LEHMNT1

ONE METROTECH CENTER NORTH

**5TH FLOOR** 

**BROOKLYN, NY 11201** 

Exchange Membership ASS 10.0 Tracing Rights	2.4号が近くの (jan Period Covered )を表示され <b>の</b> OS/01/08 - OS/31/08	1 #500-per month / per firm	\$200.00	\$0.00	6500.00
	Exchange Membership - Total:		1500.00	60.00	\$500,00
	Total for Location Number: 9872		\$500.00	\$0.00	\$500.00

Location Number: 9873 Site Name: LEHMNJ1 101 HUDSON STREET **TECHNOLOGY SERVICES** 32 FLOOR

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Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Days
				Amount Due
06/10/2008	[ 100002371	0608NTS090940	NET30	9484,248,61

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JER8E	(J)	r. Mu	20201

MASOADTricer.com Data Products	·····································	Artity Rate Description	\$0byold	· · · · · ·	20000
HASDAQTrader - SEC 31s Reports Fe	e 05/01/08 - 05/01/08	1 \$25-Per month per user	\$25.00	60.00	\$25.00
NA NA	ASDAQTrader.com Data Products - Total.		\$25.00	\$0.00	\$25.00
Trading Applications (15 Tables)  NASDAQ Workstellor TRADER	05/01/08 - 05/01/08	andky Rim Description; 21 4475-per logon per month	\$9,975.00	16X 5688.25	110,673.25
Tra	acing Applications - Total:		\$9,975.00	\$698.25	\$10,673,26
70	elal for Location Number: 9873		\$10,000.00	\$698.26	\$10,699,15

Location Number: 9874 Site Name: LEHMNY5 Image Processing Systems Equities Brokerage PO Box 2397 Secaucus, NJ 07094

NASDAQ Trade Zom Orba Products	Period Covered	Quantity Rate Description	, Subfotal	Tex	ு
NASDAQTrader - Broker/Dealer Data Basic Po Fee	eckaga 05/01/08 - 05/01/08	2 \$130-per user per month	\$260,00	\$0.00	\$260.00
NASOAOTrader - Broker/Dealer Premium Pac Fea	kage 05/01/08 - 05/31/08	5 S180-per user per month	\$800.00	10.00	\$800.00
NASDAQTrader - SEC 31a Reports Fee	05/01/08 - 05/01/08	1 \$25-Per month per user	\$25.00	60.00	\$25,00
NASDAQTrader - Daily Market Participant Posi Report Plus	tion 05/01/08 - 05/31/08	1 \$150-Per month per user	\$150.00	30.00	\$150.00
Net Order (mbalance Website Professional (TotalView)	05/01/03 - 05/51/06	1 \$70-per month per user	\$70.00	\$0.00	\$70.00
KA8DAQ:	Trader.com Cata Products - Total:		\$1,305.00	00.00	\$1,305,00
Hetwork Committeely	Period Covered 5	Quantity Natio Description (2)	் <b>∵ ‱τ⊿⊆</b> Ω	73 AV	( <b>627</b> 0 <b>05</b> 15)
BRUT Fix Port	05/01/08 - 05/01/08	7 \$400-per month	\$2,800.00	\$198,00	\$2,998.00
FIX Port	05/01/08 - 05/31/08	16 \$400-per month	\$8,400.00	<b>\$448.00</b>	18,846.00
FIX Port - Other Services	05/01/08 - 05/31/08	3 \$500-per month	\$1,500.00	\$105.98	\$1,605.00
NTF CTCl Test Time	04/27/08 - 05/26/08	2 Varies	51,257.32	80.00	\$1,257,32
NTF FIX Tost Time	04/27/08 - 05/26/03	1 Varies	\$12,458.32	\$0.00	\$12,458.32
QUI Port Pair	05/01/08 - 05/31/08	3 \$1200-per month	13,500.00	\$252.00	\$3,852,00
Network C	connectivity - Total:		328,013.64	\$1,001.00	\$29,014,64
Tratfing Applications	No. 2012 Perford Covered ( 22.11)	Quarity's Rate Description	- Auntoral	Jores	
NASDAQ Workstation TRADER	05/01/08 - 05/31/08	3 8475-per logon per month	\$1,425.00	199.75	\$1,524.75
WebLink ACT Trd Rpt, Scan, & RM	05/01/08 - 05/31/08	30 \$375-per logon / per month	\$11,250,00	\$0.00	\$11,250.00
Trading A	optications - Total:		\$12,67\$.00	599.75	\$12,774.75
Total for I	ocation Number: 9874		\$41,993.84	\$1,100.75	\$43,094.39

Location Number: 9875 Bite Name: LEHMNY3 3 WORLD FINANCIAL CENTER **6TH FLOOR** NEW YORK, NY 10048

- Network Connectivity	Period Covered	Puantity :: Rule Description.	SubTotal	I¥	Total
ACT/CTCI Fee	05/01/08 - 05/01/08	<ol> <li>\$575-Per month</li> </ol>	\$575.00	<b>\$48.16</b>	4629.16
	Nework Connectivity - Total:		\$57.5.00	\$48.16	\$623.15
1	Total for Location Number: 9876		\$675.00	<b>\$49.</b> 18	\$623.16

Location Number: 11069

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Account Number Invoice Number Payment Terms Invoice Date Amount Due 0608NTS090940 100002371 06/10/2008 NET30 \$484,248.81

Site Name: LEHMNY6 **85 10TH AVENUE** NEW YORK, NY 10011

11,200.00 CTCl Option I -- 56kb Line - MQ 05/01/08 - 05/01/08 \$100.50 11,300.50 1 \$1200-per month \$1,100,00 \$0.00 \$1,100.00 NTF CTC! Circuit 05/01/08 - 05/31/08 1 S1100-per circuit \$2,300.00 \$100.50 Network Connectivity - Total: 32,400.50 Total for Location Number: 11060 \$2,300.00 \$100.50 12,400,50

Location Number: 21480 Site Name: LEHMNJ3 70 HUDSON STREET 7TH FLOOR JERSEY CITY, NJ 07302

NASDACTYMARIOS COM PROGRESS PAR PARTOT CONTROL SERVE CONTROL CONTROL CONTROL CONTROL CONTROL CONTROL CONTROL C \$260,00 \$0.00 5260.00 05/01/08 - 05/31/08 NASDAQTrader - Broker/Dealer Data Basic Package 2 \$130-per user per month. J160.00 \$0,00 \$160,00 05/01/03 - 05/01/08 § \$160-per user per month. NASDAQTrader - Broker/Dealer Premium Peckage \$25,00 \$0.00 \$25,00 05/01/08 - 05/31/08 1 \$25-Per month per user NASDAQTrader - SEC 31a Reports Fee 3445.00 \$0.00 E445.00 NASDAOTrader.com Data Products - Total: \$445,00 \$445.00 \$0.00 Total for Location Number: 21480

Location Number: 22089 Site Hame: lehmnJ4 2 Peach Tree Hill Road POD2, 2nd Floor Livingston, NJ 07039

SHATWOOK CONTROLLING STEELS STEELS	Parisid Constitution 1	Duantity	Rate Description	<b>30610(4)</b> 5	Tax :	Total
CTCI Add1 Station	05/01/08 - 05/31/08	59	\$600-per month	\$35,400,00	12,478.00	637,878.00
CTCI Option I 56kb Line MQ	05/01/08 - 05/31/03	1	\$1200-per month	\$1,200.00	\$84.00	31,264,00
CTCI Station	05/01/08 - 05/31/08	1 3	\$200-per month	\$200,00	\$14.00	5214.00
Network Conz	nectivity - Total:			\$15,500,00	\$2,676.00	\$39,376,00
Total for Loc	ation Number: 22059			336,800.00	\$2,576.00	\$39,376.00

Location Number: 40443 Site Name: LEHMNY2 625 Madison Avenue 57H FLOOR **NEW YORK, NY 10173** 

Trading Applications WebLink ACT Trd Rpt, Scan, & RM	05/01/08 - 05/01/08	Sugnitify a Rate Description (1997) 1997 (1997) 1 - \$375-per logon / per month	SubTotal State   SubTot	375.00 \$31.41 4 315.00 \$31.41 1	2406.41	
Trading A	ppicationa - Total:		\$315,00	\$31,41	\$408.41	
Total for	Location Humber: 40443		6376.00	131.41	\$406.41	

Location Number: 49377 Site Name: INET\_LEHB 745 7th Avenue New York, NY 10019

MET Access 18 To Maria Maria 18 18	TTERM NETFINGE COVERED TO THE CO	willtý	Rate Description	் வெரும் (	Tax	Total
F(X Port - Standard Connection	05/23/05 - 05/31/08	1	5400-Per Unit /Per Month	395.24	17.98	\$103.22
FIX Port - Standard Connection	05/01/08 - 05/31/08	1	6400-Per Linii /Per Month	\$400.00	600.50	\$433.30
CHETA	Access - Total:			\$495.24	B41.48	\$536,72

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Invoice Data 06/10/2008	Account Number 100002371	Invoice Numi 0608NTS090		Payment Terms NET30			vnount Due 184,248,81
	Total for Location No	mber: 49377			\$495.24	\$41.48	\$538.72
Location Number: 49378 Site Name: INET_LEHM 745 7th Avenue New York, NY 10019							
HIET Access To Standard Conne		eriod Covered :		tate Description (%)	टारा // <b>S©bTola</b> l (± 82,000.00	्÷ ार्थ्याट्ट \$167.50	<u>(%)≥</u>
FiX Port - Standard Connection		5/23/08 - 05/31/08		400-Per Unit /Per Month	195.24	\$7.98	\$103.22
FIX Port - Standard Connection	n 0	5/08/08 - 05/31/08	( :	400-Per Unit /Per Month	6104.76	\$25,52	5330.28
FIX Port - Slandard Connection	on O	5/01/08 - 05/31/03	25 1	400-Per Unit /Per Month	\$10,000.00	\$837.50	\$10,837,50
ITCH Port - Standard Connec	tion 0	5/01/08 - 05/31/08	Ð (	400-Per Unit /Per Month	\$3,200,00	\$268.00	\$3,468.00
MTCH PORT - Standard Con	nection 0	5/01/08 - 05/31/08	2 :	1000-per unit	\$2,000.00	\$167.50	\$2,167.50
OUGH Port - Standard Conne	coon 0	5/01/08 - 05/31/08	43 (	400-Per Unit/Per Month	\$17,200,00	\$1,440.50	\$18,640,50
Other Charges - Tradeinfo for		\$/29/08 - 05/31/03	1.1	95-per unit	59.05	\$0.76	59.81
Other Charges - Tradeinio for	-	5/01/08 - 05/31/08	1 3	95-per unit	\$95.00	67.98	\$102.96
•••••••	INEY Accest - Total.				634,904.05	\$2,923.22	\$37,827.27
Options Action 21/01/20		and Contract Section		Calle Desemblication (1995)			
Options OROP Port - Standar		5/01/08 - 05/31/08	1 :	400-Per UnivPer Month	8400.00	533.50	8433.50
	Options Access - Tota	I <del>.</del>			1400.00	633.60	1413.50
	Total for Location Mu	mber: 49378			135,304,05	\$2,956.71	338,260.77
Bite Name: (NET_LRMA 745 7th Avenue New York, NY 10019	(4,350), U 21653 F 11 <b>87 B</b>	erlad Coversal (187)	√ Quantify :-I	litte Deschiption (C 1788)	-		<b>Tabliotal</b>
OROP Port - Standard Conne	ction 6	5/01/03 - 05/31/08	1 (	400-Per Unit /Per Month	\$400.00	\$33.50	8433,50
FIX Port - Standard Connection	yn 0	5/01/08 - 05/31/08	2 3	400-Per Unit /Per Month	\$800.00	\$67.00	5887.00
OUCH Port - Standard Conne	ction G	5/01/06 - 05/31/08	2 (	400-Per Unit/Per Month	\$500.00	187.00	\$867,00
Other Charges - Tradeinfo for	Equities 0	\$401/08 - 05/01/08	2 1	95-per unit	5190.00	\$15,91	5205.91 —
	INET Access - Total				\$2,190.00	\$183.4(	62,373.41
	Total for Location Nu	imber: 49379			\$2,190.00	£183.41	62,373.41
Location Number: 49386 Site Hame: INET_LHSB 745 7th Avenue New York, NY 10019	1						
HET ASSESSED TO SECOND		effect Covered 1	** <b>00060(7</b> 3)	Late (Description Sec.			
DROP Port - Standard Conne	ction 0	5/01/08 - 05/31/03	1 3	400-Per Unit /Per Month	\$400.00	\$33,50	\$433.50
FIX Port - Standard Connection	on 0	5/01/08 - 05/31/08	1 :	400-Per Unit /Per Month	8400.00	133.50	\$433.50
	INET Access - Total:				\$300.00	\$67.00	\$867.00
	Total for Location No	ımber: 49380			\$800,00	667.00	1867,00
					4494 444	f40.480.05	4/4/ 2/2 2
	Grand Yotal				6474,059.78	J . V, 103.03	8484,249.91

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Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
06/10/2008	100002371	0808NTS090940	NET30	5484,248,81
	<del></del>		<del></del>	<del></del>

<b>Summary</b>	by	Billing	Component
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rading Rights	1	8500.00	\$0.00	\$500.0
ROP Port - Standard Connection	7	\$2,600.00	\$294.50	43,034,5
X Port - Standard Connection	32	\$12,095,24	\$1,012.98	\$13,108.2
CH Port - Standard Connection	6	\$3,200.00	\$268.00	\$3,469.0
TCH PORT - Standard Connection	2	\$2,000.00	\$167,50	\$2,167,5
UCH Port - Standard Connection	45	\$18,000.00	61,507.50	\$19,507.5
ther Charges - Tradelnilo for Equities	4	\$294.05	\$24.63	1318.6
MEX thited Securities >= \$1 (Displayed) - ETF harge	193,020,498	\$559,759.48	\$0.00	\$559,759.4
MEX Listed Securities >= \$1 (Oisplayed) - ETF oxided Charge	19,376,181	838,760.62	\$0,00	\$36,790,9
MEX Listed Securities >= \$1 (Displayed) - TFRebate	88,702,010	(\$242,765.65)	\$0.00	(9242,765,6
MEX Listed Securities >= \$1 (Displayed) - sted Charge	14,128,188	\$40,971.73	\$0.00	\$40,971.7
MEX Listed Securities >= \$1 (Displayed) - sted Rebate  LISTAL infort Securities >= \$1 (Displayed)	8,343,134	(623,380.83) \$11,819,70	\$0.00	(929,360.8
MEX Listed Securities >= \$1 (Displayed) - sted Routed Charge MEX Specialist Fees and Directed Orders -	4,075,762 31,400	\$11,819,70 \$109,90	\$0.00 \$0.00	\$11,819,7 \$109,9
MEX Specialist Fees and Directed Orders -	100,000	\$1,350,00	\$0,00	\$1,350.0
urcharge DOTD Charge YSE Listed Securities >= \$1 (Displayed) -	624,241,423	\$1,810,500,13	\$0,00	91,810,300.1
harge YSE Listed Securides >= \$1 (Displayed) -	749,578,573	(\$2,098,820.00)	\$0.00	(52,098,820,0
ebate YSE Listed Securities >= \$1 (Displayed) -	61,722,804	\$236,965.14	\$0.00	\$238,098.1
outed Charge aadaq Listed Securities >= 51 (Displayed) - TC Charge	715,937,594	\$2,076,219,09	\$0.00	\$2,076,219.0
asdag Usled Securities >= \$1 (Displayed) - TC Retate	629,769,855	(\$1,763,411.58)	\$0.00	(\$1,763,41).6
asdaq Listed Securities >= \$1 (Displayed) - TC Routed Charge	57,934,406	\$168,067.79	\$0,00	6168,0 <del>0</del> 7.7
on-Displayed Liquidity Provided - ETF AMEX ebale	2,130,657	(\$3,198.29)	\$0.00	(\$3,196.2
on-Displayed Liquidity Provided - Listed AMEX ebate	1,583,609	(\$2,375.71)	\$0,00	(\$2,975.7
on-Displayed Liquidity Provided - Eisted MYSE ebale	89,775,906	(\$134,669.94)	\$0.00	(\$134,663,6
on-Displayed Liquidity Provided - OTC Rebate	111,952,779	(8167,929.15)	\$0.00	(1167,929.1
ther Charges - Closing Gross Billable	138,246,971	569,124,49	90.00	309,124.4
ther Charges - Cross Halt IPO	2,500	11.25	\$0.00	\$1.2
ner Charges - Opening Cross Britable EG NMS Functionality - ARCA Rouled ASDAO Charge Odd Lolu Directed	29,972,710 56	\$10,000,00 \$0,29	\$0,00 \$0.00	910,000.6 \$0.2
ASDAC Charge Coo Los breezes EG NMS Functionally - Directed ISO AMEX harge	79,332	<i>6274</i> .17	\$0.00	\$274.1
EG NMS Functionality - Directed ISO NASDAO harge	502,170	\$1,757.59	\$0.00	\$1,757,5
EG NM3 Functionality - Directed ISO NYSE harge	189,716	\$564.01	\$0,00	3664.0
EG NMS Functionality - Directed ISO Non- ASDAQ ETF Charge	172,475	\$603.66	\$0.00	\$603.6
outed Oddkol Charges - MYSE DOTO Charge dd Lota	153	\$4.59	\$0,00	34,5
outed Oxidial Charges - MYSE DOTD PRIL harge	1,713	\$17.13	\$0,00	\$17.1
outing Fees For Non-ETFs Executed on the YSE - Blended Executions Charge	339,192	\$135,68	60,00	\$135.6

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Involce Date	Account Number	Invoice Number	Payment Terms	Amount Due
06/10/2008	100002371	0608NTS090940	NET30	8484,248.81

## Summary by Billing Component

Billing Continued Office Police	Quantry	Subdia 2	anne en	A STATE OF THE STA
Routing Fees for Non-ETFs Executed on the YYSE - Liquidity Removed Charge	38,578,300	\$26,833,77	\$6,00	128,933,77
Routing Fees For Hon-ETFs Executed on the NYSE - Liquidity Removed DOTD Charge	583,100	\$524,79	\$0.00	3524.79
Routing Fees For Non-ETFs Executed on the NYSE - Re-Routed Charge	306,100	1924.30	\$0,00	\$924,30
Securities < \$1 - COS AMEX Listed	764,992	3764.99	10.00	\$784.93
Securities < \$1 - CQS ETF Ligted	6,875	\$6.68	\$0,00	35.58
Securcies < \$1 - Listed AMEX Routed Charge	392,859	\$1,178.58	\$6,00	\$1,178.58
Securities < \$1 - OTG Charge	5,719,016	\$5,719.01	60.00	\$5,719.01
Securities < \$1 - QTC Routed Charge	1,181,582	\$3,544.76	10.00	\$3,544.75
ACT/CTC! Fee	1	8575.00	\$46,18	1823.16
RUT Fix Port	7	\$2,600,00	\$195.00	\$2,998.00
CTCI Add Station	59	\$35,400.00	62,478.00	\$37,878.00
CTC: Option   55kb Line MO	2	\$2,400.00	\$184.50	\$2,584.50
CTCI Station	1	\$200.00	\$14,00	\$214.00
IK Port	16	\$8,400.00	\$448.00	\$8,648.00
TX Port - Other Services	3	\$1,306,00	\$105,00	\$1,505.00
ITF CTCI Circuit	1	\$1,100.00	\$0.00	\$1,100.00
HTF CTC  Test Time	2	81,257.32	10,00	\$1,257.32
NTF FIX Test Time	1	\$12,456,32	\$0.00	312,456,32
DIX Port Pair	3	\$3,600.00	\$252.00	\$3,852.00
options DROP Port - Standard Connection	1	\$400,00	\$33,58	8435.50
dded Liquidity	136	(640,80)	60.00	(\$40.60)
Opening Auction Billable	15	60.75	\$0.00	\$0,75
Removed Liquidity	13,771	30,198.95	\$0.00	\$6,199,95
ala Revenus Sharing - 10 2008	5	(\$153,914.46)	\$0,00	(3153,914.46)
ASDAQ Exchange Step Out Function	<u>122</u>	815.14	\$1.27	\$16.41
rade Recording Charge	19,613,929	80.00	60.00	\$0,00
CT - Risk Management Correspondents lonthly Service	4	\$89.00	\$5.76	874.76
CT - Risk Management Sides Activity Fee	3,192,619	\$19,508,29	\$1,842.19	121,250.48
ape B (AMEX) Rebate *	2	(815,575.92)	\$0.00	(\$15,576.92
Tape 8 (AMEX) Revenue Sharing - 2007	2	(\$552,51)	40.00	(1552.51)
Fape B (AMEX) Revenue Sharing - Q1 2003	2	(82,912.11)	\$0.00	(\$2,912.11)
ASDAQ Wortstation TRADER	24	911,400.00	2798,00	\$12,198.00
Meblink ACT Trd Rpt, Scan, & RM	31	\$11,625.00	331.41	\$11,656.41
ACT Query (New)	5,629	\$3,314.00	<b>527</b> 7.55	\$3,591,55
(ASOAQTrader - Broker/Dealer Data Basic Patkage Fee	4	<b>5520.00</b>	\$0.60	5520.00
(ASDAQTrader - Broken/Dealer Premium Package Fee	6	\$850,00	60.00	1950.00
KASDAQTrader - SEC 31a Reports Fee	э	375.00	\$0.00	875.00
NASOAOTrader-Daily Market Participant Position Report Plus	1	\$150.00	10.00	5150.00
det Order Imbalanca Website Professional Tols <b>Mex</b> )	1	\$70.00	80.00	\$70.00
ACT - Cancel/Correct Fee	2,265	<b>9</b> 566.25	647.41	\$613.66
ACT - Cancel/Correct Fee (non-rig listed)	809	<b>\$202.25</b>	\$16.94	5219.19
ACT - Compare/Accept	<b>3,920,</b> 372	\$564.53	\$47,28	5811.81
ACT - Compare/Accept (non-ing listed)	3,127,386	\$410.54	\$37.71	\$488,05
ACT - Internalized Trade Reporting (non-my isted)	1,239,046	\$1,303,43	\$109,17	\$1,412.65
ACT - Locked in Trade - Qualified Special Representation (QSR) (non-ng1-sted)	18,888	\$19.66	\$1,65	121.31

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ne NASDA	AQ Slock Marke	I LL.C	. 9 00 01 =00		Page 12	of 1:	
Invoice Date 06/10/2008	Account Number 100002371	Invoice Number 0608NTS090940	Payment Terms NET30			Amount Due \$484,246.81	
		Summ	ary by Billing Component				
	nd Description	T. San Townson		Capta .		Įo <u>.</u>	
CT - T+1/T+N		1,506		8433.72	528.32	\$470.04	
CT - T+1/1+# (no		1,305		\$375,26	\$31,43	\$406.69	
CT Autokock A <b>uro</b> g Ested	mated Give Up (AGU) - no	n- 1,483,434		\$1,560.57	\$130.69	51,891,28	
TRF Tape A (NYSE) Revenue Sharing - Q1-2008		508 5	(\$114,437.10	(\$114,437.10)	80.00	(\$114,437.10)	
RF Tape <b>8 (AM</b> E) 1-2008	X) Revenue Sharing -	4		(617,008,95)	\$0,00	(\$37,008.95)	
	Grand Total	_		£474,059.76	\$10,189.05	5484,248.81	
		=	ragement Correspondent De	etail			
		ACT Risk Mar					
		000000000000000000000000000000000000000	ASSESSE ASSESSED TO THE PARTY OF THE PARTY O			210	
Company (GRAC) LATS	1,582,546	000000000000000000000000000000000000000	-	185,501	NEV8		
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The NASDAQ Stock Market LLC

Invoice Date	Account Number 100002371	Invoice Number	Payment Terms	Amount Due
07/10/2008		0708NTS004388	NET30	\$488,124.01
ATTENTION:			Amount Énclosed  Please make checks payable to The NASCIAQ Stock Market LLC Lockbox 10200 / P.O. Box 8500 Philadelphia, PA 19178-0200  Overnight payments should be sent to Wachovis Bank / The NASCIAQ Stock Market Lockbox \$10200 / 401 Market Street Philadelphia, PA 19108	

and the contraction of the contr (PLEASS DETACH REMITTANCE ADVICE ABOVE AND SERD IT WITH YOUR PAYMENT)

Email Invoice questions to adqbilling@nasdagorux.com, call 800-955-3898 or 301-978-4980, or fax 301-978-4910

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYC

Account #: 2000031405177

Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, NY 10017

Beneficiary: The NASDAQ Stock Market LLC

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Date 07/10/2008	Account Number 100002371	Invoice Number 0708NTS004388	Payment Terms NET30		Amount Ove \$488.124.01
		40000			
Exchange Members	ship - Tolal	\$500.00	\$0.00	\$500.00	
INET Access - Tota	I	\$38,970.48	53,263.77	\$42,234.25	
NASDAQ Execution	ns - Total	\$281,900.21	\$0.00	\$281,900.21	
NASDAQTrader.co	m Oata Products - Total	\$1,775.00	\$0.00	\$1,775.00	
Network Connectivity - Total		\$90,991,45	\$3,725,66	\$97,717.11	
Options Access - To	ale	\$400.00	\$33.50	\$433,50	
Options Executions	- Total	\$12,942.65	30.00	\$12,942.65	
Post Trade - Total		\$16.24	\$1.38	\$17.60	
Risk Management -	Total	\$15,293,85	\$1,280.85	\$16,574.70	
Trade Reporting Fa	citity - Total	\$5,958,13	\$498.81	\$8,454.94	
Treding Application	s - Tolai	\$26,506,38	\$1,067.69	\$27,574.05	
Grand Total for Inv	/olce	\$478,252,37	\$9,871.64	\$488,124.01	

To view additional delaits for your NASDAQ Executions transactions, please visit http://infocenter.inetats.com, and enter your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user ID and password.

Correspondence: Sand all address corrections and billing inquiries, via email to: ndqbilling@naedagorrx.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850

Invoice Detail

Location Number: 9181 MPID: LATS 745 7th Avenue New York, NY 10019

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Invoice Date 07/10/2008	Account Number 100002371	Invoice Num 0708NTS00		Payment Terms NET30			mount Due 88,124,01
ACT - Internalized Trade	Reporting (non-ng fisted)	08/01/08 - 06/20/08	1,278,679	\$0.029-Per trade	\$1,077.52	191.24	\$1,167.78
ACT - Locked in Trade - Representation (QSR) (d		08/01/08 - 06/30/08	3	10.029-Per side per trade	\$0.00	\$0.00	\$0.00
	Trade Reporting I	acity - Total;			\$1.081.27	690.55	\$1,171.82
Treding Applications of	and the second	Period Control of	Country	Ruthi Description of 100 or 12	7. 2.78 <b>00 (46</b> ) 53	STATE OF	es de la comp
ACT Query (New)		08/01/03 - 05/30/08	13	60.50 per scan	\$6.50	60.54	\$7.04
	Trading Application	ms - Total.			\$6.60	\$0.54	67.04
	Total for MPID: I	ATS			11,087,77	\$81.09	E1,178,86

Location Number: 9181 MPIO: LEHM 745 7th Avenue New York, NY 10018

PASDAQ Exelections in April 2015 (1997)	Period Covered	COLUMNY	Rate Description	Sup Total 37	Tex.	<b>200</b> 0
AVEX Listed Securities >= \$1 (Oisplayed) - ETF Charge	06/01/08 - 06/30/08	289,134,920	\$0,0029 per share	\$780,491.28	60.00	5760,491.26
AMEX Listed Securities >= \$1 (Displayed) - ETF Routed Charge	08/01/08 - 06/30/08	24,007,785	\$6,0029 per share	\$69,622.58	\$0.00	569,622,58
AMEX Listed Securities >= \$1 (Displayed) - ETFRebate	06/01/08 - 06/30/08	165,665,535	3-0,0001 per share	(\$513,563,14)	60.00	(\$510,563.14)
AMEX Listed Securities >= \$1 (Displayed) - Listed Charge	06/01/08 - 06/30/08	15,339,669	60,0028 per share	944,485.64	\$0.00	644,485,64
AMEX Listed Securities >= \$1 (Displayed) - Listed Rebate	09/01/08 - 06/30/08	9,249,538	1-0,0031 per share	(\$28,673,58)	60.00	(\$20,873.58)
AMEX Listed Securities >= 81 (Displayed) - Usted Routed Charge	06/01/08 - 06/30/08	5,114,970	50.0029 per share	814,633.13	\$0.00	\$14,833.13
AMEX Specialist Fees and Directed Orders - ETF Charge	08/01/08 - 06/30/08	100,200	\$0,0035 per share	\$350,70	\$0.00	1350,70
AMEX Specialist Fees and Directed Orders - Listed Charge	08/01/08 - 05/30/08	69,600	50,0035 per share	#243,60	<b>\$</b> 6.00	<b>\$2</b> 43.60
AMEX Specialist Fees and Directed Orders - Surcharge DOTD Charge	05/01/08 - 06/30/08	204,200	\$0.0135 per share	\$2,758,70	\$0.00	12,758,70
NYSE Listed Securities >= \$1 (Displayed) - Charge	06/01/08 - 05/30/08	650,973,993	30.0029 per share	\$1,887,824,34	60.00	61,687,824.04
HYSE Listed Securities >= \$1 (Displayed) - Rebate	06/01/08 - 08/30/03	900,082,635	3-0.0028 per share	(\$2,520,231.38)	40.00	(\$2,520,231.38)
NYSE Listed Securities >= \$1 (Displayed) - Routed Charge	06/01/08 - 05/30/08	90,972,204	\$0.0029 per share	4265,529,39	\$0.00	5263,529.30
Nasdaq Listed Securities >= \$1 (Displayed) - OTC Charge	06/01/08 - 06/06/08	697,807,551	\$0,0029 per share	\$2,023,641.89	40.00	12,023,041,89
Nasdaq Listed Securities >= \$1 (Displayed) - OTC Rebate	06/01/08 - 06/30/08	882,763,734	3-0.0023 per share	(\$1,855,738,43)	\$0.00	(\$1,855,735,43)
Nasdaq Listed Securities >= \$1 (Displayed) - OTC Rouled Charge	06/01/08 - 06/30/08	53,199,879	\$0,0028 per share	\$154,279.68	\$0.60	\$154,279.66
Non-Displayed Liquidity Provided - ETF AMEX Rebate	06/01/08 - 06/06/08	2,818,170	3-0,0015 per share	(\$3,927.27)	\$0,00	(\$3,927.27)
Non-Displayed Liquidity Provided - Listed AMEX Rebate	05/01/08 - 08/30/08	857,916	5-0.0015 per share	(\$1,265.68)	\$0.00	(\$1,256,68)
Non-Diaplayed Liquidity Provided - Listed NYSE Rebate	06/01/08 - 08/30/08	57,120,219	\$-0,0015 per share	(\$65,680,33)	60.00	(\$65,680,73)
Non-Displayed Liquidity Provided - OTC Rebale	08/01/08 - 06/30/08	79,758,882	9-0.6015 per share	(6119,635.31)	\$0.00	(\$119,635.31)
Other Charges - Closing Cross Billable	06/01/08 - 06/30/08	36,713,352	\$0,0005 per share	518,356,68	\$0.00	\$18,356,88
Other Charges - Cross Hak IPO	08/01/08 - 08/00/06	400	10.0005 per share	60.20	40.00	\$0.20
Other Charges - Opening Cross Sittable	08/01/03 - 06/30/08	7,019,235	\$0,0005 per share	\$3,509.62	50.00	93,509.62
REG HMS Functionality - Directed ISO AMEX Charge	06/01/08 - 06/30/08	64,308	\$0,0035 per share	\$295.09	60.00	\$295.09
REG NMS Functionalty - Directed ISO NASDAQ Charge	06/01/08 - 06/30/03	637.597	10,0035 per share	\$2,231,59	\$0.00	\$2,231.59
REG RMS Functionality - Directed ISO NYSE Charge	05/01/08 - 06/30/09	329,730	\$0,0035 per share	\$1,154,04	\$0.00	31,154,04

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Invoice Date 07/10/2008	Account Number 100002371	Invoice Nu 0708NT\$0		Payment Terms NET30			Amount Due 488,124.01
REG NMS Functionalm	y - Directed ISO Non-NASDAQ	08/01/08 - 06/30/08	100,095	\$0,0035 per share	\$350.33	\$0.00	\$950.33
Rouled Oddiol - ARCA	NYSE Charne	06/01/08 - 06/30/08	20	per share	\$0,60	\$0.00	<b>50.60</b>
	1 - NYSE DOTO Charge Odd	06/01/08 - 06/30/08	152	•	14.56	50.00	\$4,60
Lots							••
Routed Oddfol Charges	s - NYSE DOTD PRL Charge	08/01/08 - <b>06/3</b> 0/08	2,164	\$0.01 per share	\$21.64	10.00	B21.64
Routing Fees For Hon- Blended Executions Ch	ETFs Executed on the NYSE - Ninge	06/01/08 - 06/30/09	88,891	30,0004 per share	514.75	\$0.00	134.75
Routing Fees For Non-Liquidity Removed Cha	ETFs Executed on the MYSE - uge	06/01/08 - 06/30/08	24,700,400	\$0.00075 per share	\$18,525,35	\$0.00	518,525,35
Rousing Fees For Non- Liquidity Removed 001	ETFs Executed on the NYSE / TO Charge	06/01/08 - 06/30/08	263,800	30,0009 per share	\$237.42	\$0,00	8237.42
Routing Fees For Non-I Re-Routed Charge	ETFs Executed on the NYSE -	06/01/08 - 06/30/08	157,500	30,000 per share	\$472.50	99.00	\$472,50
Securities < \$1 - CQS /	AMEX Listed	06/01/08 - 06/30/08	1,726,613.05	.154/ dollar volume	\$1,726,52	\$0.00	\$1,726.62
Securities < \$1 - CQS E	ETF (Isled	06/01/08 - 05/30/08	7,235.78	.1%/ dollar volume	17.29	\$0.00	\$7.29
Securities < \$1 - COS h	HYSE Listed	08/01/08 - 06/30/08	25,317.05	.1%/ dollar volume	\$25,32	\$0.00	<b>32</b> 5.32
Securities < \$1 - Listed	AMEX Routed Charge	08/01/08 - 06/30/08	408,249,15	.3W doğar volume	61.224.73	\$0.00	31,224.73
Securities < \$1 - OTC (	Charge	06/01/08 - 05/30/09	5,325,840.48	.156/ dollar volume	45,325.£5	60.00	\$5,325.65
Securities < \$1 - OTC F	Routed Charge	80'06'30 - 80\10'80	891,791.9	.3W dollar volume	\$2,675. <b>2</b> 3	\$0.00	\$2,675,20
	HASDAQ Executio	ns - Tolat			\$169,501.87	10.00	\$169,501.97
Options Executions	TENJAH MENENGAN YAN	Period Covered	Quartity	Reta Description	Total &	N (Text)	ः । विश्वविद्याः
Added Clquidity		05/01/08 - 06/35/08	1,244	\$-0.3 per executed contract	(\$373.20)	60.00	(6373.20)
Opening Auction Billabl	le	06/01/08 - 06/30/08	16	\$0.05 per executed contract	\$0.50	10.00	\$0.88
Removed Liquidity		06/01/08 - 08/30/08	29,589	50.45 per executed contract	\$13,315.05	\$0.00	\$13,315.05
	Options Execution	s - Total			\$12,942.65	\$0.00	\$12,942.85
Post Trade 254	<b>水心水水水水水水水</b>	APOROL CONTRACT	Country	Rate Description			
NASDAG Exchange Sto	ep Out Function	06/01/08 - 06/30/08	560	80.029-Per side per trade	\$16.24	\$1,38	\$17.50
Trade Recording Charg		08/01/08 - 06/30/08	19,865,250	\$0,000-per side	50.00	30.00	10.00
	Poel Trade - Total:				618.24	\$1.36	\$17.60
-				·Rate Description 智利工程第二章			
ACT - Risk Managemer Service	nt Correspondents Monthly	06/01/08 - 06/30/08	3	\$17.25-Per correspondent per month	151.75	\$4,32	<b>35</b> 0.07
ACT - Risk Managemen	nt Sides Activity Fee	08/01/08 - 05/30/08	4,051.072	\$0,025-Per trade per corresponden	\$15,242.10	81,276.53	\$16,516,63
	Risk Management	- Total:			\$15,293,65	\$1,280.65	116,574,70
Trade Reporting Face	<b>n</b> grade on the design	Pariod Covered	Quantity	Rate Obsertation 3 18 18 18 18	₽ 8061660	THE S	
ACT - Cancel/Correct F	ee	06/01/08 - 05/30/08	2.200	\$0.25-Per trade per side	1550,00	146,08	\$595,06
ACT - Cancel/Correct F	ee (non-ng isted)	05/01/08 - 06/50/08	553	\$0.25-Per trade per side	\$138.25	311.59	\$149,83
ACT - Compare/Accept	l	06/01/08 - 06/30/08	3,559,270	50.000144-Per share block	4512.53	842.92	\$555.45
ACT - Compare/Accept	(non-ng lested)	06/01/08 - 06/30/08	2,859,613	\$0.000144-Per share block	1413.23	134.61	\$447.34
ACT - Internalized Trad	le Reporting (non-nq listed)	05/01/09 - 06/30/08	12,186	\$0,029-Per trade	\$10.28	68.04	\$11.14
ACT - Locked in Trade Representation (QSR) (		06/01/08 - 06/30/08	9.524	\$0.029-Per side per trade	12.97	60.25	\$3.22
ACT - T+1/T+N		05/01/08 - 06/02/08	1,520	\$0,288-Per trade	\$497.76	\$38.86	<b>8474.42</b>
ACT - Т+1/Т+Н (пос-по	(Feled)	06/01/08 - 06/30/08	1.242	50.288-Per trade	\$357.70	\$29.98	\$367.65
ACT Autolock Automak fisted	ed Give Up (AGU) - non-nq	06/01/08 - 08/30/08	578.707	10.029-Per side per trade	1438,43	\$40.91	3529.34
	Trade Reporting Fa	ociany - Total:			\$2,811.15	\$243.81	\$3,154.96
		5 4 - 1 - <b></b>			**************************************		

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Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
07/10/2008	100002371	0708NTS004388	NET30	3468,124,01

Trading Applications - Total

\$2,833.00 \$220.51 \$7,853.51

Total for MPID: LEHM

\$203,298.86 \$1,746.03 \$205,045.39

Location Number: 9181 MPIO: LEHP 745 7th Avenue New York, NY 10019

Trade Reporting Facility F. 2012 (1978)	Period Covered	Quantity Rate Description	SubTotal 🐺	ा <b>ळ</b> ्ड	1510 <u>0</u>
ACT - Cancel/Correct Fee (non-ing listed)	06/01/08 - 06/30/08	1 \$0.25-Per trade per side	\$0.25	60.02	10,27
ACT - Internatized Trade Reporting (non-nq lated)	08/01/08 - 06/30/08	3 50.029-Per trade	\$0,00	\$0,00	\$0.00
ACT - T+1/T+N (non-ng listed)	06/01/08 - 06/30/08	3 \$0,268-Per trade	10.86	\$0.07	10.93
Trade Reporting F	so <del>lity</del> - Total		\$1.11	10.09	11.20
Total for MPID: Li	EHP		\$1.11	60.09	§1.20

Location Number: 9185 MPIO: LHMA 745 7th Ayenus New York, NY 10819

MASDAD Executions 100 PERSON NOTICE	Period Contract	Quantity	Râte Description	TECH STRUCTURE (1)	, ÇT <b>ib</b> i	
AMEX Listed Securices >= \$1 (Displayed) - ETF Charge	06/01/03 - 06/30/08	944,179	\$0,0029 per share	<b>32,738</b> .12	\$0.00	\$2,738.12
AMEX Listed Securities >= 81 (Displayed) - ETF Routed Charge	08/01/08 - 08/30/08	214,660	\$0.0029 per share	\$822.51	\$0.00	\$822.51
AMEX Listed Securities >= \$1 (Displayed) - ETFRebate	06/01/08 - 06/30/08	144, <del>94</del> 6	\$-0.0031 per share	(4449.33)	\$9,00	(\$449.35)
AMEX Listed Securities >= \$1 (Displayed) - Listed Charge	06/01/08 - 06/06/08	25,523	10.0029 per share	374.02	10.00	\$74.02
AMEX Listed Securities >= 61 (Displayed) - Listed Rebate	06/01/08 - 06/30/08	12,029	\$-0.0031 per share	(\$37,29)	\$0.00	(\$37.29)
AMEX Listed Securities >= \$1 (Displayed) - Listed Routed Charge	06/01/08 - 06/30/08	26,931	10.0029 per share	378.1D	<b>\$0.00</b>	\$79.10
HYSE Listed Securities >= \$1 (Displayed) - Charge	68/01/08 - 08/30/08	650,057	60,0029 per share	\$1,885,17	\$0,00	51,885,17
MYSE Listed Securities >= \$1 (Displayed) - Rebate	08/01/08 - 06/06/08	536,852	3-0.0028 per share	(\$1,503.19)	\$0.00	(\$1,503.19)
NYSE Listed Securities >= \$1 (Displayed) - Routed Charge	06/01/03 - 06/30/06	321,651	\$0,0029 per share	\$937,79	\$0,00	1932,70
Nasdaq Listed Securities >= \$1 (Displayed) - OTC Charge	06/01/08 - 06/30/08	2,895,095	\$0.0029 per share	18,395.77	\$0.00	<b>\$</b> 8,395.77
Nasdag Listed Securities >= 81 (Displayed) - OTC Rebate	06/01/08 - 06/30/08	763,460	\$-0.0028 per share	(\$2,137.59)	\$0.00	(\$2,137,69)
Nasdaq Listed Securities >= \$1 (Displayed) - OTC Routed Charge	06/01/08 - 08/00/08	396,227	10.0029 per share	81,149,06	\$0.00	\$1,149.05
Other Charges - Closing Cross Billable	09/01/08 - 09/30/08	181,378,743	60,0005 per share	\$90,889.37	\$0.00	\$90,689.37
Other Charges - Opening Cross 6 Mable	08/01/06 - 08/00/08	<b>9,507,09</b> 5	\$0.0005 per share	84,900,55	\$0,00	\$4,903,55
Rousing Fees For Non-ETFs Executed on the MYSE - Blanded Executions Charge	06/01/08 - 06/30/08	2,930	50,0004 per share	51.19	\$0.00	\$1.19
Routing Fees For Non-ETFs Executed on the NYSE - Liquidity Removed Charge	68/01/08 - 06/20/08	206,300	\$0,00075 per share	\$154.73	\$0.00	\$1\$4.73
Securities < \$1 - CQS AMEX Listed	06/01/08 - 06/30/08	150,429.78	.1%/ dollar volume	\$138.43	10.00	\$150.43
Securities < \$1 - OTC Charge	06/01/08 - 08/30/08	4,708,426,02	.19// dollar volume	\$4,708.43	\$0.00	\$4,788.43
Securities < 61 - OTC Routed Charge	08/01/08 - 06/30/08	14,166,77	.3%/ dollar valume	842,50	\$0.00	142.50
NASDAQ Executio	ns - Tolat			\$112,398.24	\$0.00	\$112,398.24
:PostTrade 4 (Inter-plit) / 6 \$200 ( ) 19 (# 0)	Period Covered	Quality	Rate Description	BubTotal 33	्राष्ट्र	%-≦ET <b>ota</b> l%
Trade Recording Charge	08/01/08 - 08/00/08	_	\$0,000-per side	\$9.00	\$0.00	\$0,00

: Post Trade in Unity of Table 2017 in	Period Colered	Quantity	Rate Description	BubTotal	Tage	经全国T <b>otal</b> 的
Trade Recording Charge	08/01/08 - 08/00/08	97,354	\$0,000-per side	\$9.00	\$0.00	\$0,00
Post Tr	ade - Total			\$0,00	\$0.00	\$0.00

The NASDAQ Stock Market LLC

Account Number

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Invoice Number Payment Terms Amount Due NET30 07/10/2008 100002371 0708NTS004388 5488<sub>,</sub>124.01 12.00 50.17 ACT - Cancel Correct Fee 08/01/03 - 05/30/08 8 \$0,25-Per trade per side \$2.17 \$11,00 \$0.92 \$11.92 ACT - Cancel/Correct Fee (non-ng listed) 08/01/06 - 08/30/08 44 40.25-Per trade per side ACT - Internalized Trade Reporting (non-no listed) 211,192 \$0,029-Per trade 5178.25 814.93 \$183.18 05/01/08 - 06/30/08 \$2.59 \$0.22 52.61 ACT - T+1/T+R 05/01/08 - 06/30/08 9 60.288-Per trade ACT - T+1/T+N (non-ng listed) 112.10 31.01 513,11 08/01/08 - 06/30/08 42 \$0.288-Per trade \$28.32 \$2.37 130,69 ACT Autolock Automated Give Up (AGU) - non-ng 08/01/08 - 09/30/08 33,551 90,029-Per side per trade sted Trade Reporting Facility - Total: 6234.25 618.62 1263.88 ,Trialing Applications 多分,它有几乎是有的企业是一种的企业系统的基础,但是不是一个企业的的文化的,但是不是一个工程的工程,但是不是一个企业的企业的 06/01/08 - 08/30/08 73 \$0,50 per scan \$38,50 \$3.06 139.59 ACT Query (New) Trading Applications - Total. \$38.50 \$3.00 639.56 Total for MPID: LSIMA \$112,669.00 122,68 \$112,691.68

Location Number: 9161 MPID: LHM8 745 7th Avenue New York, NY 10019

Invoice Date

Cirede Reporting Facility 1882 2	Period Correct	CHART	Flate Description	Subject 🔃	Tur,	Total
ACT - T+1/T+N (non-ng feted)	05/01/08 - 08/30/06	2	\$0.268-Per trade	40.59	\$0.05	\$0,63
AGT Autolock Automated Give Up (AGU) - non-not listed	06/01/06 - 06/30/08	30	\$0.029-Per side per trade	\$0.03	80.00	EQ.03
Trade Reporting F	ackty - Total:			60.61	\$0.05	30.66
Total for MPID: L	нмв			\$0.61	60.05	\$0.68

Location Number: 8181 MPID: LHMS 745 7th Avenue New York, NY 10019

	Period Covered		THE DESCRIPTION OF THE PARTY OF		STEEP!	- ( <b>600</b> (-52)
ACT - Cancel Correct Fiee	06/01/06 - 06/30/08	7	50.25-Per trade per side	<b>§1.75</b>	\$0.15	\$1.90
ACT - Cancal/Correct Fee (non-ng fisled)	05/01/03 - 06/30/08	799	\$0,75-Per trade per side	\$199.75	<b>6</b> 16.73	8216.48
ACT - Compare/Accept	06/01/08 - 06/30/08	256,460	30.000144-Per share block	<b>83</b> 8.93	\$3.09	\$40.02
ACT - Compare/Accept (non-eq feted)	05/01/08 - 06/30/08	18,400	\$0,000144-Per share block	\$2.65	\$0.22	\$2.87
ACT - Internalized Trade Reporting (non-ing listed)	06/01/08 - 06/30/08	162	50.029-Per trade	30.14	\$0.01	\$0.15
ACT - T+1/T+N	08/01/08 - 08/00/08	138	\$0.288-Per trade	139.74	13.33	\$43.07
ACT - T+1/T+N (non-nq lieted)	08/01/08 - 08/30/06	589	90.268-Per trade	\$198.14	\$16.59	5214.73
ACT Autolock Automated Give Up (AGU) - non-ng listed	06/01/06 - 06/30/08	1,479,419	\$0.029-Per side per trade	11,248.63	9104.57	61,353.20
Trade Reporting 6	acity - Total.			\$1,727.73	\$144.59	\$1,872.42
Trialing Application (NAME & BASES FOR STORE)	Terrod Courses	Quantity:		806T061 A	Tage	
ACT Query (New)	06/01/08 - 08/30/03	225	10,60 рег возл	\$112.50	\$0,42	\$121.92
Trading Applicable	ns - Total;			\$112.60	19,42	\$121.92
Total for MPID: 0	HMS			\$1,840.23	\$154.(1	\$1,994.34
Total for Location	o Number: 9181			\$316,897.58	<b>52,014.65</b>	\$320,812.13

Location Number: 9872 Site Name: LEHMNY1

ONE METROTECH CENTER NORTH

**STN FLOOR** 

**BROOKLYN, NY 11201** 

The NASDAQ Stock Market LLC

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168,008.31 \$1,105.30

670,094,81

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Invoice Date 07/10/2008	Account Number 100002371	Invoice Number 0708NTS004388	Payment Terms NET30			nount Due 38,124,01
Exchange Manherent		Mich Control State (	DESCRIPTION OF STREET	A Singola	1	
Trading Rights		06/01/08 - 08/30/08	1 \$500-per month / per firm	\$500.00	\$0.00	1500.00
	Exchange Membership	o - Total;		\$500,00	\$0.00	\$500.00
	Total for Location No	ımber; 9872		\$500.00	\$0.00	\$500,00

Location Number: 9873 Site Name: LEHMNJ1 101 HUDSON STREET **TECHNOLOGY SERVICES** 32 FLOOR JERSEY CITY, NJ 07302

KASDAQTrider.com Dita Products	Period Covered	Quantity	Rate Description	ூவிற்றில் வ	∵ <b>⊆τα:</b> \$	Lead total
NASDAQTrader - SEC 31a Reports Fee	06/01/06 - 08/00/08	1	\$25-Per month per user	625.00	\$0.00	\$25.00
NASQAQTreder	com Data Producte - Total:			\$25,00	\$0,00	\$25,00
Triding Applications 1787-1879	Period Covered	Coloratey	Rate Description	TO SEPTION OF THE SECOND	WIE.	7:23 (IOD)
NASDAQ Workstation TRADER	08/01/63 - 05/30/08	21	\$475-per logon per month	19,975.00	5698.25	\$10,673.25
Trading Applicat	iona - Total:			69.975.00	6698.25	\$10,873.25
Total for Locati	on Number: 9673			\$ 10,000.00	\$698.26	\$10,698.25

Location Rumber: 9874 Site Name: LEHMNY5 Image Processing Systems **Equities Brokerage** PO 80x 2397 Secaucus, NJ 07094

AND AND PROPERTY AND	er <b>oers</b> treftere van de meter	f Section 2	medicalecters (statement of the color of the	5 FTTB05-4 4550	e i se e e e e e e e e	or the contract of
RASDAQTrader - Broker/Dealer Data Basic Package Fee	05/01/09 - 06/50/08	•	\$130-per user per month	#260.00	\$0.00	29-0 <b>=2-1002</b> 1 4260.00
NASDAGTrader - Smiter/Dealer Premium Package Fee	06/01/08 - 08/30/08	5	8160-per user per month	4800.00	\$0,00	\$800,00
NASDAOTrøder - SEC 31a Reports Fee	05/01/09 - 05/30/08	1	\$25-Per month per user	\$25.00	\$0.00	\$25.00
NASDAQTrader - Daily Market Participant Position Report Plus	08/01/08 - 08/30/06	1	\$150-Per month per user	\$150.00	\$0.00	\$159,00
Net Order Imbelance Website Professional (TotalView)	06/01/08 - 08/340/08	1	\$70-per monto per user	\$70.00	\$0.00	\$70.90
NASDAQTreder.co	m Date Products - Total:			\$1,565.00	\$0.90	\$1,305.00
Merchant Connectivity (7) 44 (8) 5 (7) 5 (8)	Ne <b>ter</b> ariemana (1936)	an nisabbi	and and the second s	T TORON TORS	- STEMBERS	erana
BRUT Fix Port	08/01/08 - 08/30/08	.~ , <del>ческия , .</del> 7		\$2,800.00	\$198,00	\$2,898.00
FIX Port	08/01/08 - 06/30/08	16	\$400-per month	\$8,400.00	\$448.00	\$6,848.00
FIX Port - Other Services	06/01/03 - 05/30/08	3	5500-per mon@	\$1,500.00	1105.00	\$1,605.00
NTF CTC  Test Time	05/27/08 - 06/26/08	3	Varies	\$13,511.53	\$0.00	\$13,611.53
HTF FIX Toal Time	05/27/09 - 06/26/08	1	Varies	126,504,92	\$0.00	\$26,504.92
QIX Port Pair	05/01/03 - 05/30/08	3	\$1200-per month	43,800.00	\$252.00	\$3,852,00
Network Connectiv	ay - Total:			\$54,310.45	\$1,001,00	\$55,317.45
Placing Applications 2 17 15 645 2 2 3 7 10 10	PRINCE COVERED TO SE	Quirely:	Harle Description of Section 2	TO THE STUDY OF THE	ाक	A ATOM
MASDAQ Workelation TRADER	08/26/08 - 06/30/08	1	\$475-per logon per month	\$67.89	\$4,75	\$72,61
NASDAQ Workstation TRADER	06/01/03 - 05/30/08	3	\$475-per logon per month	\$1,425.00	\$89,75	\$1,524,75
WebLink ACT Trd Rpt, Scan, & RM	05/20/08 - 08/30/08	3	4375-per logon / per month	\$375.00	\$0.00	4375.00
WebLink ACT Trd Rpt, Scan, & RM	08/51/08 - 06/30/08	1	\$375-per logon / per month	\$250.00	\$0.00	\$2\$0.00
Weblink ACT Trd Rpt, Scan, & RM	05/01/08 - 08/30/08	30	\$375-per logon / per month	911,250,00	\$0.00	\$11,250,00
Trading Application	us - Total			\$13,387.86	6104.50	\$13,472.36

Total for Location Number: 9874

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\$2,300.00

\$100.30

12,400.50

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Invoice Date Account Number Invoice Number Payment Terms Amount Due 07/10/2008 100002371 0708NTS004388 NET30 5488,124,01

Location Number: 9875 Site Name: LEHMNY3 3 WORLD FINANCIAL CENTER 6TH FLOOR NEW YORK, NY 10048

\$575.00 ACT/CTC/ Fee 08/01/08 - 08/30/08 1 \$575-Per month 146.16 1523,18 6575 OR E48.16 1623.15

Network Connectivity - Total:

Total for Location Number: 8875 \$576.00 \$48.16 1673 16

Location Number: 11060 Site Name: LEHMNY6 **85 10TH AVENUE** NEW YORK, NY 10011

THE WARRY CONTRACTORY OF THE PARTY AND THE PARTY CONTRACTORY THE DESCRIPTION IN THE PARTY AND PROPERTY AND PR \$1,200.00 \$100.50 \$1,300,50 CTCI Option I - 584b Line - MQ 06/01/08 - 08/30/08 1 \$1200-per month

\$1,100.00 30.00 \$1,100,00 **NTF CTC! Circut** 06/01/08 - 08/30/08 1 \$1100-per circuit

Network Connectivity - Total:

\$2,300.00 \$100.50 12,400.50 Total for Location Number: 11060

Location Number: 21480 Site Name: LERMNJ3 70 HUDSON STREET 7TH FLOOR JERSEY CITY, NJ 07302

ANASD Activision from Products 《二文》,是是Edition Committed Committed Runs Description (音音)。(Substicity)是The Edition (Substicity)是The Edition (Substicity) 10.00 1280.00 \$260.00 05/01/08 - 08/30/08 2 \$130-per user per month NASDAOTrader - Broker/Dealer Data Basic Package Fee 30.00 \$160.00 \$160.00 06/01/08 - 08/30/08 1 \$160-per user per month HASDAQTrader - BrokenDealer Premium Package Fee \$25.00 825.00 50.00 06/01/08 - 08/30/08 1 \$25-Per month per user NASDAQTrader - SEC 31s Record Fee \$445.00 60.00 5445.00 NASOAQTrader.com Data Products - Total. \$445,00 \$0.00 6445.00 Total for Location Number: 21480

Location Number: 22089 Site Name: lehmnj4 2 Peach Tree Hill Road POD2, 2nd Floor Livingston, NJ 07038

The work Consideration (1) The State of the State of the State of the Consideration of the State \$35,400,00 12,478,00 \$37,878.00 CTCI Add1 \$!ation 05/01/03 - 08/30/08 59 3600-per month \$1,200.00 184.00 51,234.00 08/01/08 - 08/30/08 CTCI Opeon I ~ 56kb Line - MQ \$1200-per month \$214,00 \$200.00 514 00 08/01/08 - 08/30/08 **CTCI Station** 1 \$200-per month \$39,376,00 \$38,800.00 \$2,576.00 **Network Connectivity - Total:** \$36,800.00 \$2,575.00 639,376,00 Total for Location Number: 22089

Location Number: 40443 Site Name: LEHMNY2 625 Madison Avenue 5TH FLOOR

NEW YORK, NY 10173

TITIONING AND INCOMESTATION OF THE STATE OF THE SECOND COMMINISTRATION OF THE SECOND COMMINISTRA 1375,00 131,41 8408.41 WebLink ACT Trd Rpt, Scan, & RM 06/01/08 - 05/30/08 \$376-per logon / per month \$400.41

Trading Applications - Total, \$375.00 \$31,41 08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D

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Grand Total

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3478,252.37 \$9,871.54 \$468,124.01

The NASDAQ Sto	ock Market LLC		00 01 1		Pa <sub>2</sub>	ge 8	of 1
Invoice Date 07/10/2008	Account Number 100002371	Invoice Number 0708NTS00438		Payment Terms NET30			Amount Due \$488,124.01
	Total for Location	Humber: 40443			\$375.00	131.41	\$400.4
Location Number: 4937) Site Name: INET_LEHB 745 7th Avenue New York, NY 10019	,						-
				Rate Description			
FIX Port - Standard Connection FIX Port - Standard Connection		08/17/06 - 06/30/08 08/01/08 - 08/30/08		3400-Per Unit /Per Month 3400-Per Unit /Per Month	\$190.48 5800.00	\$15.95 \$67.00	\$208.4 4887.0
and the property continues	INET Access - Tot		-	AND CONTRACTOR	\$990.48	182.95	\$1,073.4
	Total for Location	Number 48377			£990.48	682.95	\$1,073.4
Location Number: 49378 Site Name: INET_LEHM 745 7th Avenue Hew York, NY 10018						<u> </u>	***************************************
WET Accuse:		Period Covered (a 100) (a 10)	_	Rate Openington	்த் <b>்இயிர் (இடி</b> \$2,000,00		62,187.50
FIX Port - Standard Connector		05/01/08 - 06/30/08		3400-Per Unit /Per Month	\$10,600.00	1904.50	811,704,50
ITCH Port - Standard Connec		06/01/06 - 06/30/08	8	5400-Per Unit /Per Month	\$3,200.00	\$288.00	\$3,468.00
MTGH PORT - Standard Con	nection	05/01/08 - 06/30/08	2	\$1000-per unit	\$2,000,00	\$167,50	42,167.50
OUCH Port - Standard Conne	ector	06/01/08 - 06/30/08	43	8400-Per UnivPer Month	\$17,200.00	\$1,440.50	\$18,840,5
Other Charges - Tradeinio for	Equities	06/01/08 - 06/30/03	2	195-per unit	\$190,00	\$15.91	\$205.9
	INET Access - Tot	al:			\$35,390.00	\$2,963.91	\$38,353,9
Options Access		. Pelifod Covered (***) - 7.2 05/01/08 - 08/30/03		Rufe Description 35 33 33 33 33 33 33 3400-Per UnA/Per Month	் இ <b>விருக்க</b> \$400.00	539.50	ं≰िा <b>धि</b> । 3433.50
	Optiona Access - 1	Fora).			\$400.00	\$33,50	\$433.50
	Total for Location	Number: 49378			135,790.00	42,097.A1	\$38,787.4
Location Number: 49376 Site Hame: INET_LHMA 745 7th Avenue Hew York, NY 19919	3						
DROP Port - Standard Conne		TPANOS CONTROL (S.C.)	Quanthy.	But Destrotion See A TOTAL See BADD-Per Unit /Per Month	31830170611); \$400.00		हाइक्ट्राइ <b>ट्ट</b> ा अ.स.स.
FIX Port - Standard Connection		05/01/08 - 06/30/08	2	\$400-Per Unit /Per Month	\$800,00	\$67.00	\$867.00
OUCH Port - Standard Conne	ection	66/01/08 - 06/30/08	2	\$400-Per UniVPer Month	\$800.00	\$87.00	\$887.00
Other Charges - Tradelniko for	Equities	08/01/08 - 08/30/08	2	\$95-per und	9190.00	\$15. <del>9</del> 1	\$205.91
	INET Access - Tota	a):			\$2,190.00	\$180.41	\$2,373,4
	Total for Location	Number: 49379			\$2,190.00	\$183. <b>4</b> 1	\$2,373.4
Location Mumber: 49380 Bile Hame: INET_LH\$B 745 7th Avenue Hew York, NY 18819	)						
MET Access ションデータ		Pariod Copyride (#242) (2	_	Rate Description, \$5,000 & 800 \$400-Per Unit /Per Month	্রিটি(ইডিচি) ১৮০০.০০	ः (चिन्नः \$33,80	्ड,र् <b>र्ट्ट्रॉ6⊡</b> 5433.5
OVAL ERIT - SIGNORID COURS	1NET Access - Tok		1	Andrei Gillitzei MOIM	6400.00	133,50	3433,50
		U					
	Total for Location	Rumber: 48380			\$400.00	\$33,50	\$4

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## Summary by Billing Component

Billing Component Description	Quindy	Subsoita :	A TAX	7 13- 110
rading Rights	1	\$500,00	50.00	\$500.00
ROP Port - Standard Connection	7	\$2,800,00	<b>8234</b> .50	19,034,50
IX Port - Standard Connection	32	112,590.48	\$1,054,45	\$13,844,93
CH Port - Standard Connection	Ð	#3,200,00 50,000,00	\$268.00	\$3,488.00
TCH PORT - Standard Connection	2	\$2,000,00	\$167.50	\$2,167,50
UCH Port - Standard Connection	45	\$18,000,00	91,507.50	\$19,507,50
ther Charges - Tradetnto for Equales	4	5380.00	131.82	\$41,82
MEX Listed Securities >= \$1 (Displayed) - ETF harge	270,079,099	\$783,2 <b>29.</b> 38	\$0.00	\$783,229.38
MEX Listed Securities >= \$1 (Displayed) - ETF oxied Charge MEX Listed Securities >= \$1 (Displayed) -	24,222,445 165,810,481	\$70,245.09	\$0.00	\$70,245.06
TFRebale		(\$514,012.47)	\$0,00	(\$514,012.4)
MEX Lighed Securities >= \$1 (Displayed) - sted Charge	15,365,392	844,559.66	\$0,00	\$44,159,65
MEX Listed Securcies >= \$1 (Displayed) - sted Rebate	9,261,565	(\$28,710.85)	\$0.00	(\$26,710.85
MEX Listed Securities >= \$1 (Displayed) - sted Routed Charge	5,141,801	\$14,911,23	\$0,00	314,911.23
MEX Specialist Fees and Directed Orders - ETF harge	100,200	\$350,70	50.00	1350,76
MEX Specialist Fees and Directed Orders - sted Charge	69,600	\$243.60	\$0.00	\$243,60
MEX Specialal Fees and Directed Orders - urcharge DOTD Charge	204,200	\$2,7 <b>56.</b> 70	50,00	\$2,759.76
YSE Listed Securities >= \$1 (Displayed) - harge	651,623,560	\$1,889,709.51	80.00	\$1,889,709.5
YSE Listed Securities >= 11 (Displayed) - ebate	900,619,487	(\$2,521,724.57)	50.00	(82,521,734,5)
YSE Listed Securices >= \$1 (Displayed) - oxled Charge	91,193,355	\$284,482,18	\$0,00	<b>\$254</b> ,462.11
aedaq Lis(ed Securities >= 31 (Displayed) - TC Charge	700,702,646	12,002,007.88	\$0.00	62,032,037,6
asdeq Listed Securitea >= \$1 (Displayed) - TC Rebata	563,527,194	(\$1,857,876.12)	\$0.00	(\$1,657,876.1
asdaq Listed Sccurities >= 81 (Displayed) - TC Routed Charge	53,598,108	\$155,428.72	\$0.00	\$155,428,7
on-Displayed Liquidity Provided - ETF AMEX ebate	2,618,170	(13,927.27)	\$0,00	(\$3,027.2
on-Diaplayed Liquidity Provided - Listed AMEX ebate	637,816	(\$1,280.68)	50.00	(\$1,265,8
on-Displayed Liquidity Provided - Listed NYSE coate	57,120,219	(685,880.33)	\$0.00	(185,680.3
on-Displayed Liquidny Provided - OTC Rebate	79,756,692	(\$119,635.31)	50.00	(\$119,635,3
ther Charges - Closing Cross Billiable	218,092,100	\$109,048.65	\$0.00	\$109,046.0
ther Charges - Cross Half IPO	400	30.20	\$0,00	\$0.2
ther Charges - Opening Cross Ballable	16,826,330	18,413.17	50.00	68,413.17
EG MMS Functionality - Directed ISO AMEX herge	64,308	\$295.09	\$0.00	<b>126</b> 5,0
EG NMS Functionality - Directed ISO NASDAO horge	637,597	12,231.59	50.00	32,231,59
EG NMS Functionally - Directed ISO NYSE harge	329,730	81,154.04	\$0.00	11,154.0
EG NMS Functionality - Directed ISO Non- ASDAO ETF Charge	100,098	\$350,33	\$0.00	6350.33
outed Oddbox - ARCA NYSE Charge	20	80.50	30,00	80.64
tuked Oddiol Charges - NYSE DOTD Charge dd Lols	152	34.56	\$0.00	\$4.50
urled Oddict Charges - RYSE DOTD PRL	2,164	\$21.54	\$0.00	\$21.64

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Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
				CANDON DOS
07/10/2008	100002371	0708NTS004388	NET30	5488,124,01

## Summary by Billing Component

Couting Fees For Hon-ETF's Executed on the CYSE - Blended Executions Charge	89,061	835,94	\$0.00	\$35.94
Routing Fees For Mon-ETFs Executed on the IYSE - Liquidity Removed Charge	24,906,700	\$18,580.06	\$0.00	319,680.00
Routing Fees For Non-ETFs Executed on the TYSE - Liquidity Removed BOTO Charge	269,600	\$237,42	\$0.00	5237.42
Routing Fees For Hon-ETFs Executed on the ITSE - Re-Routed Charge	157,500	\$472,50	\$0,00	3472.50
Securities < \$1 - COS AMEX Listed	1,877,040	\$1,877.05	40.00	11,877.05
Securoes < \$1 - CQS ETF Listed	7,2 <del>96</del>	\$7.29	50.00	67.29
Securities < \$1 - CQS NYSE Listed	25,317	\$25,82	\$0.00	525.32
Securides < \$1 - Listed AMEX Routed Charge	400,243	\$1,224.73	\$0.00	61,224,73
Securities < 51 - OTC Charge	10,094,266	\$10,034.28	\$0.00	810,004.28
Securities < \$1 - OTC Routed Charge	905,699	<b>\$2.717.70</b>	\$0,00	\$2,717.70
CT/CTC! Fee	1	\$575,00	143.16	£023.18
RUT Fix Port	7	\$2,300.00	\$196.00	\$2,595.00
TCI Add7 Station	59	\$35,400.00	\$2,476.00	\$37,878,00
TCI Option I = 58kb Line = MQ	2	\$2,400.00	\$184.50	92,584,50
CTCI Station	1	1200,00	414.00	3214.00
IX Port	18	35,400,00	3448.00	\$6,848.00
TX Port - Other Services	3	81,500.00	2105.00	\$1,005.00
ITF CTC  Circuit	1	81,500.00	\$0.00	\$1,100,00
rTF CTCI Test Time	3	813,511,53	\$0.00	513,511.53
ITF FIX Test Time	1	\$25,504.92	\$0,00	\$26,504.92
DIX Port Pair	3	13,600.00	\$252.00	£3,852.00
Options DROP Port - Standard Connection	1	\$400,00	\$23.50	3433,50
Idded Liquidity	1,244	(\$373.20)	20,00	(\$373,20)
pening Auction Billatrie	16	\$0.00	\$0,00	50.80
Removed Liquiday	29,589	913,315,05	80.00	\$13,315.05
IASDAQ Exchange Step Out Function	560	\$16.24	\$1.36	317,00
rade Recording Charge	19,952,504	\$0.00	\$0.00	\$0.00
ACT - Risk Management Correspondents  Aonthly Service	3	351,75	\$4.32	858.07
CY - Risk Management Sides Activity Fee	4,051,072	315,242.10	81,276,53	516,518,63
IASDAQ Workslation TRADER	25	\$11,467.86	1602,75	312,270.61
Nebblink ACT Trd Rpt, Scan, & RM	35	\$12,250,00	<b>#31.41</b>	\$12,281.41
ACT Query (New)	5,577	\$2,768.50	1233.53	63,022,03
ASDAQTrader - Broket/Dealer Data Bosic Package Fee	4	4520.00	\$0,00	\$320.00
IASDAOTrader - BrokenDeeler Premium Package Fee	6	5980.00	\$0.00	9980.00
IASDAQTrader - SEC 31a Reports Fee	3	\$75,00	10.00	875,00
ASDAQTrader - Daily Market Participant Position Report Plus	1	\$159.00	\$0.00	6150.00
let Order Imbalance Website Professional Total Mew)	1	\$70.00	90.00	\$70.00
CT - Cancel Correct Fee	2,230	3557.50	146,59	\$504.19
CT - Cancel/Correct Fee (non-ng listed)	1,397	1349.25	<b>\$29.2</b> 5	6378.50
CT - Compare/Accept	3,815,750	8549,46	<b>54</b> 6.01	\$595.47
ACT - Compare/Accept (non-ng listed)	2,868,033	\$415,68	\$94.83	E450.71
(CT - Internalized Trade Reporting (non-no sled)	1,500,222	\$1,258.19	\$106,04	11,372.23
ICT - Locked in Trade - Qualified Special Representation (QSR) (non-ng listed)	3,527	\$2.97	\$0,25	\$5.22
CT - T+1/T+N	1,687	3460.09	340.21	\$520.30
CT - T+1/T+N (non-eq taled)	1,977	5569,38	£47.88	\$617,06

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 71 of 160 The NASDAQ Stock Market LLC Page 11 of 11 Invoice Date Account Number Invoice Number Payment Terms Amount Oue 07/10/2008 0708NTS004388 100002371 NET30 \$488,124.01 Summary by Billing Component Grand Component Description ACT Autolock Automated Give Up (AGU) - non-2,091,707 \$1,765.41 \$147.85 \$1,913,26 ng tisted 6476,25**1**,37 19,871.64 6488,124.01 Grand Total ACT Risk Management Correspondent Detail a contribution with the accompanies of the contribution of the con LAT\$ 1,639,800 LHMS 2,101,208 MBLB 11,084 End of Invoice - NASDAQ

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D The NASDAQ Slock Market LLC Pa 72 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Out	
08/10/2008	100002371	0808NTS020486	NET30	(\$1,617,179.09)	
			Amount Enclosed		
		_	\$		
LEHMAN BROTHERS INC.			Please make checks payable to		
			The NASDAQ Slock Markel LLC		
ATTENTION: ANDREW SPIROS			Lockbox 10200 / P.O. Box 8500		
IMAGE PROCESSING SYSTEMS			Phitadelphia, PA 19178-0200		
EQUITIES BROKERAGE PO BDX 2397 SECAUCUS NJ 07094			Overnight payments should be sere to Wachovia Bank / The NASDAQ Stock Market LLC		
SECHOLOS	H3 07094		Lockbox #10200 / 401 Market Street		
			Philadelphia, PA 19108		

### (PLEASE OF TACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to notabiling@nasdaqomx.com, call 800-955-3898 or \$01-978-4980, or fax 301-978-4910

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYC

Account #: 2000031405177

Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, NY 10017

Beneficiary: The NASDAQ Stock Market LLC

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Date 08/10/2008	Account Number 100002371	Invoice Number 0808NTS020486	Payment Terms NET30		Amount Du (\$1,617,179.09
		28.50bol 2.53			
ACES - Yolai		\$5.10	\$0.00	\$5.10	
Exchange Membership - Total		8500.00	\$0.00	\$500.00	
INET Access - Total		942,180.00	83,532.56	\$45,712.56	
NASDAO Executions - Total		(\$1,899.946.57)	\$0.00	(\$1,899,946.57)	
NASDAQTrader.com Data Products - Total		\$1,775,00	\$0.00	\$1,775.00	
Network Connectivity - Total		\$59,797.95	\$3,679.84	\$63,477.78	
Options Access - Total		\$400.00	\$33.50	\$433.50	
Optiona Executions - Total		\$9,180.80	\$0.00	\$9,150.80	
Post Trade - Total		\$21.31	\$1.78	\$20.09	
Risk Management - Total		316,079.99	\$1,346.67	\$17,426.66	
Trade Reporting Facility - Total		\$105,925.75	<b>5</b> 3,871.26	\$114,797.01	
Trading Applications - Total		\$28,293.23	\$1,162.74	529,455.97	
Grand Total for Invoice		(\$1,635,807.44)	\$19,628.35	(\$1,617, (79,09)	

To view additional details for your NASDAO Executions transactions, please visit http://infocenter.inetats.com. and enter your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user to and password.

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaqontx.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20050

Invoice Detail

Location Number: 9181 MPID: LATS 745 7th Avenue New York, NY 10018

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Invoice Date 08/10/2008	Account Number 100002371	Invoice Nun 0808NTS02		Payment Terms NET30			mounl Due (17,179.09)
ACT - Cancel/Correct Fee (r	non-ng listed)	07/01/08 - 07/31/08	2 \$0,	25-Per trade per side	\$0.50	\$0.04	80.54
ACT - Compare/Accept		07/01/08 - 07/31/08	400 30.	000144-Per share block	\$0,06	\$0.00	50.08
ACT - Internalized Trade Re	porting (non-no listed)	07/01/08 - 07/31/06	1,769,558 80.	029-Per trade	81,141.38	\$95,\$9	\$1,286.95
ACT - Locked in Trade - Qui Répresentation (QSR) (non-		07/01/08 - 07/01/08	843 10.	029-Per side per trade	30.54	60.05	60.59
ACT - T+1/T+N		07/01/08 - 07/31/08	1 10.	268-Per trade	90.29	\$0.02	\$0,31
ACT - T+1/T+N (non-ng liste	só)	07/01/08 - 07/01/08	2 \$0.	288-Per trade	\$0.58	90.05	\$0.63
	Trade Resorting	Facility - Total:			\$1,143,33	195.75	<b>51,239.08</b>
Preding Applications Te		ु Period Covered ः ः	- Quantity Rai	e Description	Subvoisi	SECTION S	
ACT Query (New)		07/01/08 - 07/31/08		10 per acan	91.10	\$0,13	51.63
	Trading Applicati	ons - Yolai:			£1,58	\$0,13	£1.63
	Total for MPIO:	ETAL			\$1,144.83	195.88	\$1,240.71

Location Number: 9181 MPID: LEHB 745 7th Avenue New York, NY 10018

THANKS EXPONENT A TOTAL TO THE STATE OF	Period Constitution (S. 1975)	Churchtty.	Public Description 12 The State 1889	SUBTOUR .	THE	<b>22.176</b> 0015
NYSE Listed Securioss >= \$1 (Displayed) - Charge	07/01/08 - 07/31/08	200	\$0,0029 per share	\$0,59	\$0.00	\$0.59
NYSE Listed Securities >= \$1 (Displayed) - Rebate	07/01/08 - 07/31/08	1,700	\$-0,0028 per share	(34.76)	\$0,00	(\$4.76)
NASDAO Execu	(64.18)	\$0.00	(64.18)			
EPOSOTTEGES OF SECURITY DOSE VETT SEED	Teriod Coyofed; 🔆 🤸	Quartity	Rate Description	anbTobel .	FRICKES	经有限的
Trade Recording Charge	07/01/03 - 07/31/08	21	\$0.000-per side	\$0,00	\$0.00	\$0,00
Post Trade - To	al.			\$0.00	80.00	\$0.00
Total for MPID:	LEHB			(\$4.10)	10.00	(\$4,16)

Location Number: 8181 MPID: LEHM 745 7th Avenue New York, NY 10019

TABLE SERVICE STATE OF THE ARCOND	Renda Colored St.	Californity	Reje Description State	\$ Seption (5%)	াক	TE TOTAL
ACES Order Executions	07/01/08 - 07/31/08	2	\$0,1000-per execution	\$0.20	\$0,00	\$0.20
ACES Orper Executoris	07/01/08 - 07/01/08	7	\$0.7000-per execution	\$4.90	\$0.00	\$4.90
ACES - Yotal.				\$5,10	\$0.00	\$5,10
(MASDAQ Executions (1) 100 / 90 / 90 / 90 / 90 / 90 / 90 / 90	Partical company (2)	Quintity	Rada Description ( Section )	6 <b>261702.</b> (	ja Taix (	Total .
AMEX Listed Securities >= \$1 (Displayed) - ETF Charge	07/01/06 - 07/31/09	357,657,380	\$0.0029 per share	\$1,007,206,37	00.04	\$1,0 <b>37,20</b> 6.37
AMEX Listed Securities >= \$1 (Olaplayed) - ETF Routed Charge	07/01/09 - 07/31/08	34,911,586	\$0,0029 per share	\$101,243.88	\$0,00	\$101,243.88
AMEX Listed Securities >= 11 (Displayed) - ETFRébate	07/01/08 - 07/91/08	255,904,875	\$-0.0031 per share	(\$793,305,12)	\$0.00	(\$793,305,12)
AMEX Listed Securities >= \$1 (Displayed) - Listed Charge	07/01/08 - 07/01/09	16,116,707	\$0,0029 per share	\$46,736.45	\$0,00	<b>1</b> 46,738.45
AMEX Listed Securities >= \$1 (Displayed) - Listed Rebate	07/01/08 - 07/31/08	10,1\$5,070	5-0.0031 per share	(\$31,482.59)	\$0.00	(\$31,462.58)
AMEX Listed Securities >= \$1 (Displayed) - Listed Roufed Charge	07/01/08 - 07/31/08	4,463,151	\$0.0029 per share	812,943.14	\$0.00	512,943.14
AMEX Specialist Fees and Directed Orders - Listed Charge	07/01/08 - 07/91/08	19,900	\$0,0035 per share	\$69,65	\$0,00	\$69,65
NYSE Listed Securities >= \$1 (Displayed) - Charge	07/01/08 - 07/01/08	929,054,750	\$0,0029 per share	\$2,684,2\$0.77	\$0.00	12,694,250.77
NYSE Listed Securities >= \$1 (Displayed) - Rebate	07/01/08 - 07/31/08	1,855,875,155	5-0.0028 per share	(\$4,636,450,41)	\$0.00	(\$4,636,458.41)
NYSE Listed Securities >= \$1 (Displayed) - Rouled Charge	07/01/03 - 07/31/08	140,749,380	\$0,0029 per share	9408,173.23	\$0.90	\$408,173.23

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Invoice Date	Account Number		Number	Payment Terms			Amount Due
08/10/2008	100002071	0803N	T\$020466	NET30		(51	.617,179.09)
Hasdaq Listed Securities >= Charge	51 (Displayed) - OTC	07/01/08 - 07/31/06	742,782,507	\$0.0029 per share	\$2,154,070,16	\$0.00	\$2,154,070.16
Nasdaq Listed Securties >≃ Rebate	51 (Displayed) - OTC	07/01/08 - 07/31/08	1,097,037,984	5-0.0028 per share	(\$3,071,700.32)	\$0.00	( <b>\$9.07</b> 1,700.3 <b>2</b> )
Naudaq Listed Securities >= Routed Charge	\$1 (Displayed) - OTC	07/01/08 - 07/31/08	69,589,028	\$0,0029 per share	\$184,408.17	\$0.00	3164,408,17
Non-Displayed Liquidity Pro- Rebate	ided - ETF AMEX	07/01/08 - 07/31/08	5,874,718	\$-0.0015 per share	(43.812.09)	\$0.00	(68,812.09)
Non-Displayed Liquidity Prov Rebale	ided - Lisled AWEX	07/01/08 - 07/31/08	1,044,934	\$-0.0015 per share	(\$1,587.41)	40.00	(\$1,567,41)
Non-Displayed Liquidity Prov Rebate	ided - Listed NYSE	07/01/08 - 07/31/03	47,095,669	5-0.0015 per share	(\$70,643,50)	\$0.00	(670,643.50)
Non-Displayed Liquidity Prov	ided - OTC Rebale	07/01/09 - 07/31/08	31,502,256	4-0.0015 per share	(347,253.37)	\$0.00	(347,253.37)
Other Charges - Closing Cro	ss Đ <b>i</b> llabile	07/01/03 - 07/31/06	30,870,303	\$0.0005 per share	215,435,15	\$0.00	\$15,435,15
Other Charges - Cross Hall	Þο	07/01/03 - 07/31/06	5,100	20,0005 per share	\$2.55	\$0.00	\$2.55
Other Charges - Opening Co	ss Offiable	07/01/08 - 07/31/08	10,720,990	\$0.0005 per share	15,380.50	\$0.00	15,360,50
REG NMS Functionality - AR Charge Odd Lola Director	CA Routed NASDAQ	07/01/08 - 07/31/06	36	\$0,005 per share	\$0.18	\$0.00	90.18
REG NMS Functionality - Dir Charge	ected ISO AMEX	07/01/08 - 07/31/08	94,527	50,0035 per share	\$350.84	\$0,00	5330.84
REG NMS Functionality - Dir Charge	ected ISO NASDAQ	07/01/08 - 07/31/08	513,7 <del>56</del>	\$0.0035 per share	61,798.15	<b>50.0</b> 0	\$1,799,15
REG NMS Functionalty - Dir	ecled ISO NYSE Charge	07/01/03 - 07/31/06	249,585	10.0035 per share	\$873.55	\$0.00	\$873.55
REG NMS Functionality - Dir NASDAQ ETF Charge	ected ISO Non-	07/01/08 - 07/31/08	244,195	50,0035 per share	4854.68	10.00	\$354,83
Rouled Oddlox - ARCA NYSI	Charge	07/01/08 - 07/31/08	60	bet syste	\$1.50	\$0,00	11.50
Routed Oddlot Charges - NY	SE DOTD PRL Charge	07/01/08 - 07/31/08	1,161	60,01 per share	611.61	\$0.00	\$11.61
Routing Fees For Non-ETFs Blended Executions Charge	Executed on the MYSE -	07/01/08 - 07/31/08	1,039,730	\$0,0004 per share	5415.89	\$0.00	5415,89
Routing Fees For Non-ETFs Liquiday Removed Charge	Executed on the NYSE -	07/01/08 - 07/31/08	32,641,100	40,00075 per share	\$24,050,85	\$0.00	\$24,050,85
Routing Feet For Non-ETFs Liquidity Removed DOTO C2		07/01/08 - 07/31/08	220,200	\$0,0009 për share	\$198.18	\$0.00	5198.18
Routing Fees For Non-ETFs Re-Routed Charge	Executed on the NYSE -	07/01/08 - 07/31/08	159,200	50,000 per share	8474.60	\$0.00	8474.60
Securiões < \$1 - COS AMEX	Listed	07/01/08 - 07/31/08	2,490,584.13	.1%/ dollar volume	\$2,400,56	\$0.00	12,410.56
Securities < \$1 - CQ5 NYSE	Listed	07/01/08 - 07/31/03	210,057,44	.1%/ dollar volume	9210.00	\$0.00	\$210.00
Securities < \$1 - Listed AME	X Routed Charge	07/01/03 - 07/31/06	685,134.75	.3%/ dollar volume	\$2,595,39	\$0.00	52,595.39
Securities < 11 - Listed NYS	E Routed Charge	07/01/08 - 07/31/08	45,924.93	.3 W dollar voluma	6137.78	\$0.00	6137.78
Securities < \$1 - OTC Charge		07/01/08 - 07/31/08	4,276,943.39	.1%/ dollar yolyme	\$4,276,33	\$0.00	\$4,278.33
Securities < \$1 - OTC Route	d Charge	07/01/09 - 07/31/08	884,404,69	.3%/ dollar volume	12,593.21	\$0.00	12,593.21
	HASDAQ Execuse	ona - Total:			(61,980,017.12)	\$0.00	(F1,910,017.12)
Online Frankling Co.	9667271 (336. <b>57</b> 20	TPSdod Colleged *5	en e	Rate Description 32		≓Tiα\5	- Telestofi v
Added Liquidity	. Landard Control of the Control of the	07/01/00 - 07/01/08		\$-0,3 per executed contract	(178.60)	60,00	(\$78.60)
Removed Liquiday		07/01/08 - 07/31/08	20,502	\$0.45 per executed contract	\$9,239.40	60.00	\$9,239.40
	Options Execution	s - Total			19,160.80	\$0,00	\$9,160.50
(Restiteda (1975 - 1945)	<b>光度整</b> 度的 <b>医</b> 和心	Perion Covered	Control	Trute Description (***	a Tagadarda Pa	Y-Tax }	ं <b>ं (विक्रा</b> े
NASDAQ Exchange Step Ou		07/01/08 - 07/31/08		10.029-Per side per trade	<b>\$21.31</b>	\$1.78	623.09
Trade Recording Charge		07/01/08 - 07/31/08	29,329,621	60,000-per side	\$0.00	\$0.00	\$0,00
	Post Trade - Total				\$25.01	<b>51.78</b>	\$23.09
Risk Management (%)		: <b>Period Colorad</b> 5) 07/01/08 - 07/31/08	•	Rute Description S \$17.25-Per correspondent per month	\$06Y6U6  \$48,00	<b>1(42</b> ) 45.76	937.1064 874.76

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Invoice Date 08/10/2008	Account Number 100002371	Invoice Nu 0808NTS(		Payment Terms NET30		-	Vmount Due 617,179.09)
ACT - Risk Manageme	nd Sides Activity Fee	07/01/08 - 07/21/08		10.025-Per trade per correspondent	\$15,010.99	81,340.91	\$17,351.00
	Risk Manegerie	m1 - Total;			\$16,070.99	\$1,346.67	\$17,426.68
Trade Reporting Faci	<b>w</b> it satisfacions	Period Covered	Quantity ()	Ratio Desicalphon Design	2	TARRE	SS235601.
ACT - Cancel Correct I	Fee	07/01/03 - 07/31/08	813 1	10.25-Per trade per side	\$203.25	117.02	5220.27
ACT - Cancel/Correct I	Fee (non-ng listed)	07/01/08 - 07/31/03	319 (	90.25-Per trade per side	879.75	\$0.59	\$86,43
ACT - Compare/Accep	4	07/01/08 - 07/31/08	3,565,487 1	10.000144-Per share block	<b>5513.43</b>	\$43,00	\$556,43
ACT - Compare/Accep	i (non-rig listed)	07/01/08 - 07/31/08	3,392,910 1	10,000144-Per share block	\$488.58	\$40.92	\$529.50
ACT - Internalized Trad	de Reporting (non-ng fisted)	07/01/08 - 07/01/08	16,967 6	30.029-Per trade	510.94	10.92	\$11,88
ACT - Locked in Trade Representation (QSR)		07/01/08 - 07/31/08	668 1	10,029-Per side per trade	\$0.43	80.04	80.47
ACT - T+1/T+N		07/01/08 - 07/01/08	1,644 1	I0.288-Per trade	£473.47	\$39.65	\$513.12
ACT - T+1/T+N (non-n	q Kaled)	07/01/08 - 07/31/08	1,222	io.788-Per trade	\$351.94	529.47	\$381.41
ACT Autolock Automat Ested	led Give Up (AGU) - non-nq	07/01/08 - 67/31/03	665,618 (	50.029-Per side per trade	\$429.32	\$35.06	<b>34</b> 85.28
	Trade Reporting	Facility - Total:			32,551.11	\$213.66	\$2,764.77
Tricfico Apolications		C Puffed Covered 2.34		three Digital policy is the control of the control	S SANOTORIA	A STATE	्रा <b>टी विश</b>
ACT Query (New)		07/01/08 - 07/31/03		50,50 per scan	\$3,510.00	1293,98	13,803,98
	Treding Applica	tions - Total.			\$3,510.00	\$293,96	63,503.96
	Total for MPID:	LEHM			(81,928,688.81)	\$1,656,07 (	61,926,832.74

Location Number: 9181 MPID: LEHP 745 7th Avenue New York, NY 10019

Tride Reporting Fichity	Period Goysted	CONTROL RATE DESCRIPTION (C. 2002)	SubTob	TER:	Total
ACT - Internalized Trade Reporting (non-niq listed)	07/01/08 - 07/31/08	1 30.029-Per trade	\$0.00	\$0.00	\$0.98
ACT - T+1/T+N (non-ng lated)	07/01/08 - 07/31/08	1 #0.288-Per trade	50.29	\$0.02	\$0.31
Trade Reporting		£0. <b>2</b> 9	\$0.02	\$0.31	
Total for MPID:	LEHP		60.29	\$0.02	10.31

Location Number: 9161 MPID: LHMA 745 7th Avenue New York, NY 10019

PARTIAL EXECUTIONS	PARKET CONTROL	Quality,	Hab Description (1)	**************************************	<b>"To</b>	A CONTRACT
AMEX Listed Securities >= \$1 (Displayed) - ETF Charge	07/01/03 - 07/31/08	996,632	\$0.0029 per share	32,890.81	50.00	\$2,890.81
AMEX Listed Securities >= \$1 (Displayed) - ETF Routed Charge	07/01/08 - 07/31/03	357,052	\$0,0029 per share	\$1,005.45	\$0,00	31,035,45
AMEX Listed Securities >= \$1 (Onplayed) - ETFRebate	07/01/08 - 07/31/08	845,154	\$-0.0031 per share	(\$2,619,98)	\$0.00	(\$2,619.98)
AMEX Listed Securities >= \$1 (Displayed) - Listed Charge	07/01/58 - 07/31/08	51,469	\$0.0029 per share	\$149.32	50.00	<b>\$</b> 149.32
AMEX Listed Securities >= 11 (Displayed) - Listed Rebate	07/01/08 - 07/31/08	34,948	\$-0,0031 per share	(\$108,33)	\$0.00	(\$108,33)
AMEX Listed Securities >= 61 (Displayed) - Listed Routed Charge	07/01/08 - 07/31/08	25,110	\$0.0029 per share	\$72.82	50.00	\$72.82
MYSE Listed Securiões >= \$1 (Displayed) - Charge	07/01/08 - 07/31/08	1,650,497	50,0029 per share	\$4,795.14	\$0.00	\$4,795.14
NYSE Listed Securities >= \$1 (Displayed) - Rebate	07/01/08 - 07/31/03	3,591,158	\$-0,0028 per share	(\$10,055.24)	\$0.98	(\$10,055,24)
NYSE Listed Securcies >= \$1 (Displayed) - Routed Charge	07/01/08 - 07/91/08	698,178	\$0.0023 per share	\$2,024,72	\$0.00	\$2,024.72
Navdaq Listed Securities >= \$1 (Diaplayed) - OTC	07/01/08 - 07/01/08	5,459,593	50,0029 per share	\$15,832.83	\$0.00	\$15,832.83

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	Account Number 100002371	Invoice ) 0808NT		Payment Terms NET30			Vnovni Due 817.179.09)
Charge							
Nasdaq Listed Securities >= 51 Rebate	(Displayed) - OTC	07/01/08 - 07/31/08	2,105,711	\$-0.0028 per share	(\$5,895,99)	\$0.00	(45,895.86
Naedsq Listed Securities >= \$1 Routed Charge	(Displayed) - OTC	07/01/08 - 07/31/03	990,192	L0.0029 per share	\$2,971.53	\$0.00	\$2,871,61
Other Charges - Closing Gross	Billable	07/01/08 - 07/31/08	85,094,126	\$0,0005 per share	543,047.08	\$0.00	\$43,047.08
Other Charges - Opening Cross	8 Mable	07/01/08 - 07/31/08	7,942,090	30,0005 per share	\$3,971,05	\$0,00	53,971,05
Routing Fees For Non-ETFs & Blended Executions Charge	ecyled on the MYSE -	07/01/06 - 07/31/08	3,541	\$0,0004 per share	31.42	\$0.00	\$1.42
Routing Fees For Non-ETFs & Liquidity Removed Charge	equied on the MYSE -	07/01/08 - 07/31/08	339,600	50.00075 per share	1254.70	60.00	9254.70
Securities < \$1 - COS AMEX Li	sted	07/01/08 - 07/31/08	67,997.88	.1%/ dollar volume	\$68.00	\$0,00	\$63,00
Securities < \$1 - Listed AMEX (	Rouled Charge	07/01/06 - 07/31/08	87,151.24	.3 1/4 dollar volume	3201.45	10.00	\$251.45
Securities < \$1 - OTC Charge		07/01/08 - 07/31/08	620,096.93	.1%/ dollar volume	\$820.10	\$0.00	\$620.10
Securities < 81 - OTC Routed C	charge	07/01/09 - 07/31/08	285,956.01	.3%/ dollar volume	\$857.87	\$0.00	\$857.87
	NASDAO Executi	ons - Total			160,074,73	60.00	\$60,074.73
Post Fride: 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5		Period Colored (**)		Fuite Description 30.000-per side	宗 <u>汉</u> · <b>896766</b> ]兴 \$9.00	्रिक्वा <u>र</u> १०.०३	10.00
•	Post Trade - Total	l:		,	30.00	16.00	00,00
TAGER BERNELSE FERNISS CONT	BTV4JS/BYSYS	OF AGE CONTRACTOR	1255000000	Rate Description	 ©> ≥0 <b>≤@Tell</b> (**:	Tebra	्रा <u>च्या</u> ट्या
ACT - Cancel/Correct Fee		07/01/08 - 07/31/03		\$0.25-Per trade per side	\$0.75	60.00	30,61
ACT - Cancel Correct Fee (non	ng (Isled)	07/01/03 - 07/31/08	21	\$0.25-Per trade per side	\$5.25	£0.44	\$5,69
ACT - Internalized Trade Repor	ting (non-nq lated)	07/01/08 - 07/31/08	267,297	\$0.029-Per trade	\$172.41	514.44	\$188.85
ACT - T+1/T+N (non-nq listed)		07/01/08 - 07/31/03	3	\$0.238-Per trade	\$0.88	\$0.07	\$0.80
ACT Autologic Automated Give listed	Up (AGU) - non-nq	07/01/08 - 07/31/08	24,623	60,029-Per side per trade	\$15,88	91.33	\$17,21
	Trade Reporting F	acity - Total			<b>3195</b> .15	\$15,34	<b>5211.49</b>
Trading Applications	ಜರ್ ಚಾರ್ಚಿಸ	Perfor Covered :		Rate Description ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) (	7777,8 <b>00Total</b> (*) \$26,00	743-C	्रङ्क <b>ालक्ष</b> ्र <b>828</b> ,18
, 101 dati, (1.0-)	Trading Application				526.00	\$2.18	\$28.18
	Total) for MPIO: L				160,295.68	\$18.62	180,314.40
Location Number: 9181 MPID: LHMB 745 7th Avenue New York, NY 10019							
Trikde Reporting Fucility (* **) ACT - Cancel Correct Fee (non-		Period Coverage	-	Rate Description - 80,25-Per lade perside	Subtotal 27 \$0.25	等 <b>了</b> 40.02	क्रिकेट <b>(166</b> 4) 50,27
ACT - Compare/Accept (non-no	,	07/01/08 - 07/31/08	5,000	80,000144-Per share block	\$0.72	\$0.06	\$0.78
ACT - T+1/T+N (non-ng listed)		07/01/08 - 07/31/03	1	\$0.268-Per trade	80.29	\$0,02	\$0.31
ACT Autolock Automated Give I fisted	Up (AGU) - non-nq	07/01/03 - 07/31/08	48	\$0.029-Per side per trade	\$0.03	80.00	\$0.03

Location Number: 9181 MPID: LHM8 745 7th Avenue New York, NY 10019

Total for MPID: LHMB

Trade Reporting Pacing 9 - 2011 10 10 10 10 Part of Contract Service Committy of Rate Description of Expension Contract Service Committee Committee Contract Service Committee Contract Service Committee Contract Service Contract ACT - Cancel/Correct Fee 07/01/08 - 07/31/03 5 \$0.25-Per trade per side 51.25 \$0,10

\$1.29

\$0.10

\$1.39

of

Invoice Date 08/10/2008	Account Number 100002371	Invoice Nur 0808NTS0:		Payment Terms NET30			Amoun( Due 617,179.09)
ACT - Cancel/Correct Fee (	(non-ng fisted)	07/01/08 - 07/31/08	1,080	\$0,25-Per trade per side	\$270.00	\$22,61	\$292.61
ACT - Compare/Accept		07/01/08 - 07/31/03	1.149.455	\$0,000144-Per share block	1185.52	\$13,65	\$179.38
ACT - Compare/Accept (no	n-ng ksted)	07/01/08 - 07/31/08	68,400	50.000144-Per share block	112.73	\$1.07	\$13.60
ACT - Internalized Trade Ri	eporting (non-ng listed)	07/01/03 - 07/31/03	461	\$0.029-Per trade	\$0.31	\$0,03	\$0.34
ACT - T+1/T+N		07/01/08 - 07/31/08	123,608	10.288-Per trade	535,599.10	52,981.42	138,580.52
ACT - T+1/T+N (non-ng list	ed)	07/01/08 - 07/31/08	224,257	\$0.296-Per trade	\$84,588.02	\$5,409.06	\$69,995.10
AGT Autolock Automated G lated	ine Up (AGU) - non-nq	07/01/08 - 07/31/08	2,169,997	\$0.029-Per side per trade	\$1,399,65	5117.2 <b>2</b>	31,516.87
	Trade Reporting	Feolity - Total:			\$102,034.58	18,545.39	\$110,579.97
Trading applications?	anath Chillian	Period Comment State		Rate Description	்_2 <b>4_80</b> παμία	Tark!	
ACT Query (New)		07/01/08 - 07/31/09	91	\$0.50 per scan	845. <b>\$</b> 0	13.81	\$49.31
	Treding Applicate	ons - Total:			645.50	13.61	<b>6</b> 49.31
	Total for MPID:	LHMS			\$102,080,08	\$8,649.20	\$110,829,28
	Total for Location	on Number: 9181			(\$1,765,170,62)	610,619,79	(\$1,764,850,83)

Location Number: 9872 Site Name: LEHMNY1

ONE METROTECH CENTER NORTH

5TH FLOOR

BROOKLYN, NY 11201

Exchange Membership	ROLL STATE OF THE PROPERTY CONTRACTOR OF THE PROPERTY OF THE P	ithy Rule Description 3:	SubTotal Co	Ţurş	ZOTODA .
Trading Rights	07/01/08 - 07/31/08	1 5500-per month / per frm	\$500.00	\$0.00	\$500.00
	\$500,00	\$0.00	\$500,00		
Total for Location Number: 9872				\$0,00	\$500,00

Location Number: 9873 Site Name: LEHMAJ1 101 HUDSON STREET TECHNOLOGY SERVICES 32 FLOOR JERSEY CITY, NJ 07302

NABITA OTTHEOR COM DATE Products	in the <b>Period Granted</b> (20%) The	Chainthy / Rate Descrip	(10) 12 (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	STOBIL 1	_Ture_	372 3 <b>Tob</b> 1
NASOAQTrader - SEC 31a Reports Fee	07/01/08 - 07/31/08	1 525-Per mont	th per user	\$25.00	\$0.00	\$25.00
NASDAQT72		\$25.00	\$0.00	\$25.00		
FTriiding Applications (CC) 80/8/9/9/9/7/7/7/7/ NASDAO Workstation TRADER	(可能 <b>Period Copered</b> )表(含)。 07/01/08 - 07/31/08	Quantity , Rate Descrip 21 - 6475-per logo		b <b>⊺oŭi</b> ∈ 0,975.00	₹ <b>11</b> ¥%2 1890.25	\$10,673.25
Trading Applications - Total:					\$598.25	\$10,673.25
Total for Lo	cation Number: 9873		610	00.000,0	B688.25	610,688.26

Location Number: 9874 Site Name: LERMNY5 Image Processing Systems Equilles Brokerage PO Box 2397 Secaucus, NJ 07094

MASDAQTrider.com Dinti Producti	Period Covered	Quantity Rute Description	SUBTOIN	Tax :	Total ?
HASDAQTrader - BrokenDealer Data Basic Package Fee	07/01/08 - 07/01/08	2 \$190-per user per month	\$250.00	\$0.00	\$250.00
NASDAQTreder - Broker/Dealer Premium Package Fee	07/01/08 - 07/31/08	5 \$160-per user per month	\$800.00	\$0.00	\$800.00
NASDAQTrader - SEC 31a Reports Fee	07/01/08 - 07/01/03	1 \$25-Per month per user	\$25,00	\$0.00	625.00
NASDAQTrader -Daily Markel Participant Position Report Plus	07/01/08 - 07/31/08	1 S150-Per month per user	1150.00	\$0.00	\$150.00

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Invoice Date 08/10/2008	Account Numbe 100002371		Javoice Numbe 0808NTS02048		Payment Terms NET30			vnount Due 317,179.09)
Het Order Imbalance Wi (Total/New)	ebsite Professional	07/01/08 -	07/31/08	1 2	70-per month per user	\$70.00	\$0.00	\$70.00
	NASDAQTIS	oder.com Oats Pro	ducts - Total:			\$1,30\$.00	\$0.00	\$1,305.00
Hatwork Connectivity		Podof Co	Format (Tries 1974)	Quantity	ate Description:	San Subtetel	Salanda S	<b>્રાક્ષ્મ (ક્લા</b>
BRUY Fix Port		07/01/08 -	07/31/03	7 &	100-per month	\$2,500.00	\$185.00	\$2,998.00
FIX Port		07/01/08 -	07/31/08	18 8	100-per month	50,400.00	\$448.00	\$8,648.00
FIX Port - Other Service	8	07/01/08 -	07/31/03	3 \$	500-per month	\$1,500.00	\$105,00	\$1,505.00
NTF CTC! Test Time		08/27/08 -	07/26/08	3 V	aries	\$150.82	50.00	\$150.82
NTF FUX Test Time		06/27/08 -	07/26/03	1 V	aries	\$8,326.68	\$0.00	\$8,326.68
QIX Port Pair		07/01/08 -	07/31/08	3 E	1200-per month	<b>\$3,</b> 500,00	\$252,00	<b>\$3,852.00</b>
	Network Con	negivity - Total:				\$20,777.50	\$1,001.00	\$25,778.50
Trading Applications		PER PER COLOR	Valled To Service	Chianety R	ata Disacription (1984)	<u>ැ. දැ.</u> <b>මෙහැන</b> ව	STATE OF	TERMINE TO BE
NASDAQ Workslation T	RADER	07/01/08 -	07/31/03	4 3	175-per logon per month	\$1,900.00	\$133.00	\$2,033.00
WebLink ACT Trd Rpt. 8	ican, & RM	07/25/08 -	07/31/08	1 \$	375-per logon / per month	\$85.23	\$0.00	185.23
Weblink ACT Trd Rpt, S	ican, & RM	07/01/08 -	07/31/03	33 8	375-per logon / per month	\$12,375.00	60.00	112,376.00
	Trading Appl	Acations - Total:				514,360.23	6133.00	\$14,493.23
	Total for Lo	cation Number: 9	874			136,442.73	\$1,134.00	\$37,676.74

Location Humber: 9875 Site Name: LERMNY3 3 WORLD FINANCIAL CENTER 6TH FLOOR **NEW YORK, NY 10048** 

Hetwork Connectivity	The state of the s	wantity Rate Description	THE SUBTOWN .	L.Jat	≲ .⊘.1991 ₹.
ACT/CTCI Fee	07/01/08 - 07/01/08	1 2575-Per month	\$575,00	646.16	\$623.16
	Network Connectivity - Total.		\$575.00	\$48.16	\$623.16
				****	
	Total for Location Number: 9875		1575.00	\$48.16	\$623.16

Location Number: 11060 Bile Name: LEHMNY6 85 10TH AVENUE NEW YORK, NY 10011

Notice Connectivity	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	Quintity	Rafe Description	Sob Total	ानुकरा	<b>3331651</b> 31
CTCI Option I 55kb Line MO	07/01/03 - 07/31/08	1	\$1200-per month	\$1,200.00	\$100.50	\$1,300.50
NTF CTCI Cycuit	07/01/08 - 07/31/08	1	\$1100-per circuit	\$1,100.00	10.00	\$1,100.00
Network	Connectivity - Total:			12,300.00	5100.50	12,400.60
T <i>g</i> taj fo	r Location Number: 11950			12,300.00	\$100.50	\$2,400.50

**Location Rumber: 21480** 5ile Name: LEHMNJ3 70 HUDSON STREET 7TH FLOOR JERSEY CITY, NJ 07302

HASDAQTrijeler,com Della Producte	Period Covered	Quantity: Rate Description (E) (1994) (1994)	. SobTotal *	Jax: -	<b>₹2</b> % <b>6</b>
NASDAOTrader - Broker/Dealer Data Basic Package Fee	07/01/08 - 07/31/08	2 \$130-per user per month	1260.00	\$0.00	\$260.00
NASDAQTrader - Broker/Daaler Premium Package Fee	07/01/03 - 07/31/08	1 \$160-per user per month	\$160.00	\$0.00	\$150.00
NASDAQTrader - SEC 31a Reports Fee	07/01/08 - 07/31/08	<ol> <li>\$25-Per month per user</li> </ol>	\$25.00	\$0.00	825.00
NASDAQTrader.c	om Data Products - Total:		\$445.00	50.00	\$445.00
Total for Location	n Humber: 21480		\$445.00	50.00	\$445.00

The NASDAQ Stock Market LLC Page 80 of

Pg 79 of 160

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Invoice Date	Account Number	Invoice Number	Payment Ternus	Amount Due
(III CIRCO CO GIO	A CONTROL OF THE PROPERTY OF T	11110100 11011001		, and an ode
08/10/2003	100002371	0808NT\$020486	NET30	(\$1,617,179.09)

Location Number: 22089 Sile Name: lehmnj4 2 Peach Tree HIII Road PQD2, 2nd Floor Livingston, NJ 07009

Network Connectivity	ACONE ECONOMISSION PER ISONO CONTROL TO THE CO	Quantity Refer Description	₹. şūbtāŭi∵	TO THE	्र <u>के</u> डिजिस
CTCI Add1 Station	07/39/08 - 07/31/08	10 \$600-per month	6545,45	938.18	1589,53
CTCLAdd1 Station	07/01/03 - 07/91/08	57 \$600-per month	\$34,200,00	\$2,394.00	\$38,594.00
CTCI Option I 55kb Line MQ	07/01/08 - 07/31/08	1 \$1200-per month	\$1,200,00	884.00	\$1,284.00
CTCI Station	07/01/03 - 07/31/08	1 \$200-per month	\$200,00	\$14.00	\$214,00
1	Network Connectivity - Total:		\$36,145.45	\$2,530.18	\$38,675.63
	Total for Location Number: 22089		\$35,145.45	\$2,530.18	\$38,675.53

Location Number: 40443 Site Name: LEHMNY2 625 Madison Avenue **5TH FLOOR** NEW YORK, NY 10173

WebLink ACT Trd Rpt, 8can, 8 RM	07/01/08 - 07/01/08	Quantity & Rate Description (1995), 1998 (1991) 1 \$375-per logon / per month	\$375,00	\$35.41	(\$2.62( <b>003</b> 1 () \$408.41
Trading Applications - Total			\$375.00	\$31.41	\$408.41
Total for Location Number: 40443			\$375.00	331.41	\$406.41

Location Number: 49377 8tte Name: INET\_LEHB 745 7th Avenue New York, NY 10019

Total de	r Location Number: 49377			17,363,84	\$114.20	B1,477.84
INETAC	cess - Total:			\$1,363.84	B114.20	\$1,477.84
FIX Port - Standard Connection	07/01/08 - 07/31/08	3 5400-1	Per Unit /Per Month	\$1,200.00	\$100.50	\$1,300.50
FIX Port - Standard Connection	07/19/06 - 07/31/08	1 \$400-6	Per Unit /Per Month	\$163.64	\$13.70	\$177.94
WET ACCESS AS TO SEE AS TO	EN SELEC <b>IFORM CONSTRUCTION</b>	Quantity Ruth (	Resortation 2 (A.C. 1987)	(1.0001999)	in Taxa	SEASTPORT:

Location Number: 49378 Site Name: INET\_LEHM 745 7th Avenue New York, NY 10019

METAGORET TOTAL TOTAL TOTAL TOTAL	Period Covered	Quantity	Rate Description	Subjota		्रहरू हो <b>्रध</b> ि
DROP Part - Standard Connection	07/01/03 - 07/31/08		\$400-Per Unit /Per Month	\$2,000.00	\$187.50	\$2,167.50
FIX Port - Standard Connection	07/18/08 - 07/91/08	1	\$400-Per Unit /Per Month	\$181.82	\$15.23	5197.05
FIX Port - Standard Connection	07/17/09 - 07/31/08	4	\$400-Per Unit /Per Month	\$800.00	367.00	\$867.00
FIX Port - Standard Connection	07/18/08 - 07/31/08	1	\$400-Per Unit /Per Month	\$218.18	\$18.27	<b>5238</b> .45
FIX Port - Standard Connection	07/01/08 - 07/31/08	27	\$400-Per Unit (Per Month	\$10,800.00	\$904,50	\$11,704,50
ITCH Part - Standard Connection	07/01/08 - 07/31/08	8	6400-Per Unit /Per Month	53,200.00	5269.00	\$3,459.00
MTCH PORT - Standard Connection	07/01/08 - 07/31/03	2	51000-per una	\$2,000.00	\$167.50	82,187.36
OUCH Port - Standard Connection	07/31/08 - 07/31/08	5	\$400-Per UniVPer Month	\$90,91	\$7.61	\$98.52
QUCH Port - Standard Connection	07/09/08 - 07/31/08	5	6400-Per UniVPer Month	\$1,645.45	1129.43	\$1,674.88
OUCH Port - Standard Connection	07/01/08 - 07/31/08	43	\$400-Per UniVPer MonUn	\$17,200,00	\$1,440.50	\$18,640.50
Other Charges - TradeInfo for Equites	07/01/08 - 07/31/08	2	\$95-per unit	\$190.00	\$15.91	\$205.91
INET Access - To	tal:			\$38,226.35	\$3,201.45	341,487.81

The NASDAQ Stock Market LLC Filed 10/03/08 Entered 10/03/08 15:54:55 Pg 80 of 160

Exhibit D Page 9

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of

Invoice Date 08/10/2008	Account Number 100002371	Invoice Number 0808NTS02048				mount Due 317,179.09)
Options DROP Port - 5	Standard Connection	07/01/68 - 07/31/08	1 1400-Per Unit/Per Month	8400.00	539,50	\$433.50
	Options Acce	ss - Total		\$400.00	\$33.50	\$433,50
	Total for Loc	tation Humber: 49378		<b>\$38,626,35</b>	\$3,234.95	841,961.31
Location Number:				4-0,020,20	**,***	511,WG136

Site Name: INET\_LRMA 745 7th Avenue New York, NY 10019

THE ACCESS TO LANGUAGE OF THE STATE OF	G-Y-TO Partical (Contained (CO) Co. (	Quartery Rate Description 25 20 2000	7. ∰ <b>6165T¢E</b> II 🤄	SECTION 201	CONTRACTOR
DROP Port - Standard Connection	07/01/08 - 07/31/08	1 B400-Per Unit /Per Month	\$400,00	\$33,50	5439,50
FIX Port - Standard Connection	07/01/08 - 07/01/08	2 4400-Per Unit iPer Month	8800.00	\$87.00	\$607.00
OUCH Port - Standard Connection	07/01/06 - 07/31/08	2 \$400-Per Unit/Per Month	\$500.00	\$67.00	\$367.00
Other Charges - Tradelnilo for Equities	07/01/08 - 07/31/08	2 495-per unit	8190.00	315.91	6205.91
INET Access	- Total.		\$2,190.00	\$183.41	\$2,373.41
Total for Lo	cation Number: 49379		\$2,180.00	\$183,41	\$2,373,41

Location Number: 49380 Bite Name: INET\_LHSB 745 7th Avenue New York, NY 10019

Grand 1	[otal		(61,535,907,44) 4	10,626,35 (\$1	1,617,179.09}
Total fo	er Location Number; 49380		\$400.00	\$33.50	6433.50
INET AC	ccesa - Total·		\$400.00	\$33.50	6433.50
DROP Port - Standard Connection	07/01/08 - 07/31/06	1 \$400-Per Unit /Per Month	5400,00	\$33,50	\$433,50
MET Access TOTAL STATE OF THE S	Period Covered 2000	Quantity Rate Description	640TOLA	TAX	- Tou

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Invoice Date	Account Number	Invoice Number	Payment Terms	Americal Direct
				Amount Due
08/10/2008	100002371	0808NTS020486	NET30	(51,617,179,09)

# Summary by Billing Component

Billing Component Description	30000			
ACES Order Executions	9	\$5.10	\$0.00	். பான் பாகு <b>கள் முக</b> ்குகள் <b>85.10</b>
Trading Rights	1	\$500.00	\$0.00	\$500.00
DROP Port - Standard Connection	7	12,600.00	\$234,50	13,034.50
FIX Port - Standard Connection	39	814,163.64	81,168.20	\$15,349.84
ITCH Port - Standard Connection	8	\$3,200,00	5268.00	\$3,468.00
MTCH PORT - Standard Connection	2	\$2,000.00	\$187.50	\$2,167,50
OUCH Port - Standard Connection	55	F19,636.36	31,844.54	\$21,230,90
Other Charges - TradeInfo for EquiSea	4	\$360.00	\$31.82	\$411.82
AMEX Listed Securities >= \$1 (Displayed) - ETF Charge	358,654,192	\$1,040,097,10	<b>60</b> .00	\$1,049,097.18
AMEX Listed Securities >= \$1 (Displayed) - ETF Routed Charge	35,268,738	<b>5102</b> ,279. <b>3</b> 3	\$0.00	\$102,279.33
AMEX Listed Securities >= \$1 (Displayed) - ETFRebate	256,750,029	(\$795,925.10)	\$0.00	(3795,925.10)
AMEX Listed Securities >= \$1 (Displayed) - Listed Charge	15,159,198	\$45,887.77	\$0.00	\$48,887.77
AMEX Listed Securities >= \$1 (Displayed) - Listed Rebate	10,190,616	(\$31,590.91)	\$0.00	(\$31,590.91)
AMEX Listed Securities >= \$1 (Otaplayed) - Listed Routed Charge	4,459,261	\$13,015.96	\$0.00	\$13,015,90
AMEX Specialist Fees and Directed Orders - Listed Charge	19,600	309.65	\$0.00	<b>3</b> 09.65
NYSE Listed Securities >= \$1 (Displayed) - Charge	950,709,447	\$2,699,054.49	\$0.00	\$2,699,054.49
NYSE Listed Securities >= \$1 (Displayed) - Rebata	1,659,488,013	<b>(94</b> ,646,610,41)	10,00	(\$4,545,510,41)
NYSE Listed Securities >= \$1 (Otaplayed) / Routed Charge	141,447,566	\$410,197.95	\$0.00	\$410,197.95
Nasdaq Listed Securities >= \$1 (Displayed) - OTC Charge	748,242,400	\$2,169,902,98	₽0.00	\$2,169,902.99
Nasdeq Listed Securities >= \$1 (Displayed) - QTC Rebate	1,089,143,695	(\$3,077,602.31)	<b>\$0,00</b>	(\$3,077,602.31)
Naudeq Listed Securities >= \$1 (Displayed) - OTC Routed Charge	64,579,210	\$167,279.70	\$0.00	\$187, <b>279</b> .70
Non-Displayed Liquid:ty Provided - ETF AMEX Rebata	5,874,718	(\$9,812,09)	\$0.00	(\$8,612.09)
Non-Displayed Liquidity Provided - Elsted AMEX Rebate	1,044,934	(\$1,307.41)	\$0.00	(91,587.41)
Non-Displayed Liquidity Provided - Listed NYSE Rebata	47,095,589	(\$70,643,50)	\$0.00	(\$70,649,50)
Non-Displayed Liquidity Provided - OTC Rebate	31,502,258	(\$47,2\$3.37)	\$0.00	(\$47,253.37)
Other Charges - Closing Cross Billable	118,964,429	\$53,482.21	\$0.00	159,487.21
Other Charges - Cross Hak IPO	5,100	\$2.55	40.00	£2.55
Other Charges - Opening Cross Baleble REG NMS Functionality - ARCA Rouled NASDAO Charge Odd Lofs Directed	16,683,080 36	\$9,331.55 \$0.18	\$0.00 \$0.00	\$9,331.55 \$0.18
REG HMS Functionality - Directed ISO AMEX Charge	94,527	\$330,84	\$0.00	\$350,84
REG HMS Functionality - Directed ISO NASDAQ Charge	513,756	\$1,798.15	\$0.00	\$1,798.15
REG HMS Functionality - Directed ISO HYSE Charge	249.585	1073.55	10.00	\$873.55
REG NMS Functionality - Directed ISO Hon- NASDAO ETF Charge	244,195	\$854,58	\$0.00	\$854,58
Routed Oddiol - ARCA NYSE Charge	60	31.60	\$0.00	\$1.\$0
Rouled Oddiol Charges - NYSE DOTO PRL Charge	1.161	\$11.61	10.00	111.61
Routing Fees For Non-ETFs Executed on the NYSE - Blended Executions Charge	1,043.271	8417.31	\$0.00	5417.31
Routing Fees For Non-ETFs Executed on the	32,380,700	124,285.55	\$0.00	\$24,285.55

Invoke Date 03/10/2008 Account Number 100002371 Invoice Number 0808NTS020486 Payment Terms NET30 Amount Due (\$1,817,179.09)

# Summary by Billing Component

Billing Component Description	County			
NYSE - Liquidity Removed Charge Routing Feet For Non-ETFs Executed on the	220, 200	\$198.18	****	410540
NYSE - Liquiday Removed DOTD Charge	220,200		\$0,00	3198.16
Routing Fees For Non-ETFs Executed on the NYSE - Re-Routed Charge	156,200	3474.60	\$0.00	3474,60
Securides < \$1 - CQ\$ AMEX Listed	2,558,562	52,558.50	\$0.00	\$2,558.58
Securices < 81 - CQS NYSE Listed	210,052	\$210.06	₽0,00	5210.08
Securities < \$1 - Listed AMEX Roufed Charge	952,26 <del>6</del>	\$2,856,64	\$0.00	\$2,858.84
Securious < \$1 - Listed NYSE Routed Charge	45,925	9137.78	60.00	\$137.78
Securities < \$1 - OTC Charge	4,896,440	94,890.43	\$0.00	\$4,685,43
Securides < \$1 - OTC Routed Charge	1,150,381	\$3,451.08	₽0.00	\$3,451.08
ACT/CTCI Fee	1	\$575.00	\$48.16	\$523.16
BRUT Fix Port	1	\$2,800,00	£196.00	\$2,998.00
CTCI Add1 Station	67	<b>33</b> 4,745.45	\$2,432.18	\$37,177.63
CTCI Option I - 56kb Line - MQ	2	\$2,400,00	\$184.50	\$2,564,50
CTCI Station	1	\$200,00	\$14.00	\$214.00
FIX Port	16	59,400.00	<b>8448.00</b>	59,846.00
FIX Port - Other Services	3	\$1,500,00	\$105,00	\$1,805.00
NTF GTC( Circuit	1	\$1,100.00	\$0.00	\$1,100.00
NTF CTCI Test Time	3	\$150.82	\$0.00	\$150.62
NTF FIX Test Time	1	\$6,326.68	60.00	\$6,326.68
QIX Port Pair	3	\$3,800,00	\$252.00	53,852.00
Options DROP Port - Standard Connection	1	\$400.00	\$33.50	\$433.40
Added Liquidity	262	(678.60)	80.00	(\$78,60)
Removed Liquidity	20,592	59,239.40	\$0.00	59,239.40
NASDAQ Exchange Step Out Function	735	421,31	\$1.78	\$23.09
Trade Recording Charge	29,435,450	\$0,00	60.00	\$0.00
ACT - Risk Management Correspondents Monthly Service	4	\$89.00	\$5.76	B74.76
ACT - Risk Management Sides Activity Fee	6,149,520	\$15,010,99	\$1,340.91	\$17,351.00
NASDAQ Workstation TRADER	25	\$11,875,00	6631.25	\$12,706.25
WebLink ACT Trd Rpt, Scan, & RM	35	\$12,835.23	531.41	\$12,865.64
AGT Query (New)	7,160	\$3,533,00	\$300.08	\$3,883,08
NASDAQTrader - 8rokeriDealer Dala Basic Package Fee	4	\$520,00	\$0.00	\$520,00
NASDAGTrader - BrokenDealer Premium Package Fee	6	\$960.00	60.00	\$960,08
NASDAQTrader - SEC 31a Reports Fee	3	\$75.00	80.00	\$75.00
NASDAQTrader -Daity Market Participant Position Report Plus	1	\$150.00	60.00	9159,00
Net Order Imbalance Website Professional (TotalVew)	1	\$70.00	\$0.00	\$70.00
ACT - Canoni-Correct Fee	821	\$205.25	\$17.18	\$222.43
ACT - Canoni-Correct Fee (non-ing fisled)	1,423	\$355,75	<b>\$2</b> 0.79	\$365,54
ACT - Compare/Accept	4,715,342	6079.01	558,68	5735.87
ACT - Compare/Accept (non-ng liaited)	3,485,310	\$502.03	\$42.05	5544.08
ACY - Internalized Trade Reporting (non-nq lated)	2,054,304	\$1,325,02	\$110.98	51,436.00
AGT - Locked in Trade - Qualified Special Representation (QBR) (non-ng lailed)	1,509	50.97	<b>\$0.09</b>	\$1,06
ACT - T+1/T+N	125,253	\$36,072.88	\$3,021.09	139,093,95
ACT - T+1/T+N (non-ng listed)	225,488	\$84,639.63	\$5,438,71	<b>\$70,370,</b> 69
ACT Autolock Automated Give Up (AGU) - non- ng lated	2,880,268	\$1,844.88	3154.51	51,999.39

The NASDAQ Stock Market LLC Pg 83 of 160 Pg 83 of 160 Exhibit D of

Invoice Number Paymeni Terms Amount Due Invoice Date Account Number 08/10/2008 100002371 0808NT\$020466 NET30 (\$1,617,179.09)

Summary by Billing Component

**Grand Total** 

(61,635,807.44)

\$18,628.35

(\$1,617,179.09)

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**ACT Risk Management Correspondent Detail** 

. Comparability described by Comparability A. Skill & Chinal in a resident of Concessor Boy of LHMS 3,365,943 N8LB 41,798 LAYS 2,741,758 LEHB 21

Credit (nvolce - DO NOT PAY

End of Invoice - NASDAQ

# The NASDAQ Stock Market LLC Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 84 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Du
08/10/2008	100002371	0808NTS008253	NET30	(\$2,167,50
-			Amount Enclosed	,
			\$	
1 511144 13 5	DOTHERO (NO		Please make checks payable to	
	ROTHERS INC.		The NASDAQ Stock Market LLC	
<del>-</del> -	: ANDREW SPIROS		Lockbox 10200 / P.O. Box 8500	
	XCESSING SYSTEMS		Ph-ladelphia, PA 19178-0200	
	ROKERAGE			
PO BOX 23			Overnight payments should be sent to	
SECAUCUS	NJ 070 <del>94</del>		Wathovia Bank / The NASDAQ Slock Market L	TC.
			Lockbox #10200 / 401 Market Street	
			Phitadelphia, PA 19106	

### (PLEASE DETACH REMITTANCE ADVICE ABOVE AND BEND IT WITH YOUR PAYMENT)

Email Invoice questions to adqbilling@nasdeqomx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PN8PU53NNYC

Account #: 2000031405177

Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABAS 026012881 Bank Address: New York, NY 10017 Beneficiary: The NASDAQ Stock Market LLC

OBI: Please include your invoice number, and if paying multiple involces, please separate your invoice numbers with a comma-

Invoice Summary					
Invoice Date 08/10/2008	Account Number 100002371	Invoice Number 0808NTS008253	Payment Terms NET30		Amount Due (\$2,167.50)
INET Access - Total		(52,000.00)	(\$167.50)	(\$2,167.50)	
Grand Total for Inv	olce	(\$2,000.00)	(\$187.50)	(\$2,167.50)	

To view additional details for your NASDAQ Executions transactions, please visit http://infocenter.inetats.com, and enter your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user ID and password.

Correspondence: Send all address corrections and billing laquines, via email to: ndqbilling@nasdaqomx.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20350

Invoice Detail

Location Number: 49377 Site Name: INET\_LEHB 745 7th Avenue New York, NY 10019

<b>《阿巴斯森氏的新</b> 森》等是是由于1970年	This was the the Pariod Covered The Control of the	Quantity :	Rate Description	SubTotal	Texas	:: 1 <b>66</b> 1;
FIX Port - Standard Connection	03/01/08 - 03/31/08	1	Per Unit /Per Month	(\$400.00)	(433,50)	(\$433.50)
FIX Peri - Standard Connection	02/01/08 - 02/29/09	1	Varies	(\$400.00)	(\$33.50)	(\$433.50)
1	NET Access - Total:			(\$600.00)	(\$67.00)	(3867.00)
	Total for Location Number: 49377			(8600.00)	(387.00)	(3867.00)

Location Number: 49380 Site Name: INET\_LH\$B 745 7th Avenue New York, NY 10019

- WET ACCOUNTS TO THE STATE OF	などの解析で <mark>中間的 comma</mark> list / 学生開発	Quentity Rule Description	SubTotal	्र <sup>्</sup> ा <b>धः</b> ः	Total '
FDC Port - Standard Connection	03/01/08 - 05/31/08	<ol> <li>Per Unit /Per Month</li> </ol>	(61,200.00)	(\$100.50)	(\$1,300.50)
(NET A	ccess - Total:	-	(\$1,200.00)	(\$100.50)	(81,300.50)

**Total for Location Number: 49380** 

(\$1,200.00) (\$100.50) (\$1,300.50)

**Grand Total** 

(\$2,000.00) (\$167.50) (\$2,157.50)

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
08/10/2008	100002371	0808NTS008253	NET30	(\$2,167.50)

# Summary by Billing Component

FIX Port - Standard Connection 5 (\$2,000.00) (\$167.50) (\$2,167.50)  Grand Total (\$2,000.00) (\$167.50) (\$2,167.50)	Billing Component Description	Quintry	Subtolat	To the state of	To the second
Grand Total (\$2,000.00) (\$167.50) (\$2,167.50)	FIX Port - Standard Connection	5	(\$2,000.00)	(\$167.50)	(\$2,167.50)
Grand Total (82,000.00) (8167.50) (82,167.50)					
	Grand Total		(\$2,000.00)	(\$167.50)	(\$2,167.50)

Credit Involce - DO NOT PAY

End of Invoice - NASDAQ

NASDAD Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 87 of 160

Invoice Date 09/10/2008	Account Number 100002371	Invoice Number 0908NT\$047069	Payment Terms NET30	Amount Due (\$702,104.40
			Amount Enclosed	(0/02,104,10
ATTENTION IMAGE PRO			Please make checks payable to The MASDAO Stock Market LLC Lockbox 10200 / P.O. Box 8500 Phäsdelphia, PA 19178-0200 Overright payments should be sent to Wachovia Bank / The NASDAQ Stock Market Lockbox \$10200 / 401 Market Street Phitadelphia, PA 19106	иc

# ᡊᠯᢣᡊᢣ᠂᠙ᡊ᠄ᡊᡊᡮ᠙ᢥᢙᢣ᠘᠘ᡛᢝᡭᡊᡠᢇᡳ᠅ᡥᡠᡢᡎᡠᠪᢠᡠᡊᡓᢅᢟᡠᡠᡠᡚᢆᡀᡠᠸᢠᢟᡠᡠ᠘᠘᠘᠘᠈᠀ᢢᡎᠵᡠᡓᡊᡠᡊᡠᢇᠥᢇ (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to noqbilling@nasdaqomx.com, call 800-955-3898 or 301-978-4950, or fax 301-978-4910

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYC

Account #: 2000031405177

Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 026012681 Bank Address: New York, NY 10017

Baneficiary: The Nasdaq OMX Group Inc.

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Date 09/10/2008	Account Number 100002371	Invoice Number 0908NTS047069	Payment Terms NET30		Amount Du (\$702,104.40
				1900 B	
ACES - Total		\$5.00	\$0.00	\$5.00	
Exchange Member	ship - Total	3500.00	\$0.00	\$500.00	
NET Access - Tota	11	\$46,456,19	\$3,890.70	\$50,346.89	
NASDAQ Execution	ns - Total	(\$568,504.13)	\$0.00	(\$568,504.13)	
NASDAQTrader.co	m Data Products - Total	\$1,775.00	\$0.00	\$1,775.00	
Network Connectiv	ity - Total	\$64,455.60	34,061.66	\$88,517.26	
Optiona Access - T	olal	\$400.00	\$33.50	\$433.90	
Options Executions	- Total	\$6,459.60	80.00	\$6,459.00	
Other Product - Tot	a'	(\$163,139.01)	\$0.00	(\$163,139.01)	
Post Trade - Total		\$16.27	\$1.35	\$17.63	
Risk Management -	- Total	815,691,66	31,314,18	317,005.82	
SuperMontage - To	elal	(\$2,412.62)	\$0.00	(\$2,412.62)	
Trade Reporting Fa	icility - Total	(8141,865.40)	3663.12	(3141,202.28)	
Trading Application	s - Tolai	\$27,029.50	\$1,064.04	\$29,003,54	
Grand Total for In	volce	(\$713,132.94)	\$11,028.54	(\$702,104.40)	

To view additional details for your NASOAQ Executions transactions, please visit http://infocenter.inetats.com, and enter your firm's existing user and password information. Note: ACT Transaction summary reports can still be obtained on the Nasdaqtrader.com billing page via your existing user IO and pasaword.

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdagonor.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9500 Blackwell Road, 3rd Floor, Rockville, MD 20850

Invoice Detail

Location Number: 9181

MPID: LATS

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Account Number 100002371

Invoice Date 09/10/2008

Payment Terms NET30

Invoice Number 0908NTS047069

Amount Due (\$702,104.40)

745 7ih Avenue New York, NY 10019						
Office Problem To The Revenue Sharing - 2Q 2006	Period Covered :: :		Radi Description (1997)	(\$57,002,59)	ित्रधेरे 20.00	<b>7.7√2√1663</b> ∂ ( <b>157</b> ,002.59)
Other Product - 1		'	YAUG	(657,002.59)	\$0.00	(\$57,002.59)
Trick Reporting Facility For 1-4 (%) (1997)	**************************************	en e character	ころでは、ACC MARKET AC サンドゥ			<del></del>
ACT - Cancel Correct Fee	08/01/08 • 08/31/08	-	a nece uvercojatom s <sub>i</sub> ∞a.e. ⇔.e. - 50.25-Per trade per side	\$2'00 50'00 i ocar 5:25	چچ <u>را</u> مدی 30.17	52.17
ACT - Compare/Accept	06/01/08 - 08/31/08	6,400	\$0.000144-Per share block	\$0.92	\$0.08	\$1.00
ACT - Internalized Trade Reporting (non-rig listed)	08/01/08 - 08/31/08	1,427,338	\$0.029-Per trade	81,111.90	193.12	\$1,205,02
ACT - Locked in Trade - Qualified Special Representation (QSR) (non-rigidised)	08/01/08 - 08/31/08	235,365	90.029-Per side per trade	1163,35	\$15,36	\$198.71
ACT - T+1/T+N	08/01/08 - 08/31/08	16	\$0.268-Per trade	84.61	90.39	\$5.00
ACT Autolock Automated Give Up (AGU) - non-nq lated	08/01/08 - 03/31/08	2	60.029-Per side per trade	\$0.00	\$0,00	60.00
TRF Tape A (MYSE) Revenue Sharing - Q2-2003	04/01/08 - 06/30/03	1	Varies	(165,605.48)	90.00	(\$85,805.46)
TRF Tape 8 (AMEX) Revenue Sharing - Q2-2008	04/01/08 - 06/30/08	1	Varies	(\$9,030.52)	60.00	(60,030,82)
Trade Reporting	Facility - Total:			(173,133.50)	\$109.12	(\$73,224.36)
tit rading Applications of the test of the State of the	Partod Covered	Quantity	Rate Description	Saltonia;	्रीका है	7 <b>2363 (A)</b> (1
ACT Query (New)	08/01/08 - 08/31/03	41	60.50 per scan	\$20.50	61.72	172.22
Trading Applicati	ona - Total:			\$20.50	<b>§1.72</b>	122.22
Total for MPID:	LATS			(\$130,315.59)	\$110.64	(6130,204.75)
745 7th Avenue						
New York, NY 10019		Clumity	Runto Differit pilloni (* 2011)	SubTola()	. Topica	ंड् <sub>रिक्स</sub> ा <b>ंब्रा</b> स
ACES Order Executions		-	Rueb Dissert priority (2012)	்திர்க்கிர் இ <b>SubT∂!வ்</b> (்ர் \$0.10	-54 <b>712</b> 45 60.00	ँडिज़ीला <b>ंका</b> स् 30.10
SACE 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		1	-			
ACES Order Executions	08/01/08 - 08/31/08	1	\$0.1000-per execution	\$0.10	60.00	30.10
ACES Order Executions  ACES Order Executions	08/01/08 - 08/31/08 08/01/08 - 08/31/08	7	\$0.1000-per execution \$0.7000-per execution	\$0.10 64.90 \$5.00	\$0.00 \$0.00	\$0.10 64.90 \$5.00
ACES Order Executions  ACES Order Executions  ACES - Total:	08/01/08 - 08/31/08 08/01/08 - 08/31/08	1 7 Quantity	\$0.1000-per execution \$0.7000-per execution	\$0.10 64.90 \$5.00	\$0.00 \$0.00 \$0.00	\$0.10 64.90 \$5.00
ACES Order Executions  ACES Order Executions  ACES - Total:  MASDAQ Executions  AMEX Listed Securities >= \$1 (Displayed) - ETF	08/01/08 - 08/31/08 02/01/03 - 08/31/08 07 (Period Copenia)	1 7 Quantity 304,954,190	\$0.1000-per execution  \$0.7000-per execution  Plante Description	\$0.10 64.90 \$5.00 (25) 20 (\$\delta\tag{5})	\$0.00 \$0.00 \$0.00	30.10 64.80 15.00
ACES Order Executions  ACES Order Executions  ACES - Total:  MASDIAG Executions  AMEX Listed Securities >= \$1 (Displayed) - ETF  Charge  AMEX Listed Securities >= \$1 (Displayed) - ETF	08/01/08 - 08/31/08 62/01/03 - 08/31/08 (***)Period (*****)Period (*****) 08/01/08 - 08/31/08	1 7 Outsitely 304,954,190 20,655,411	\$0.1000-per execution \$0.7000-per execution  Party Description 12.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.	\$0.10 64.90 \$5.00 \$5.00 \$884,368.98	\$0.00 \$0.00 \$0.00 \$0.00	30.10 64.90 15.00 \$15.00 \$15.00 1884,366.95
ACES Order Executions  ACES Order Executions  ACES - Total:  MARDAO Executions  AMEX Listed Securities >= \$1 (Displayed) - ETF  Charge  AMEX Listed Securities >= \$1 (Displayed) - ETF  Routed Charge  AMEX Listed Securities >= \$1 (Displayed) -	08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/09	1 7 Quantity 304,954,190 20,655,411 203,699,072	\$0.1000-per execution \$0.7000-per execution  Party Description (1.15)   10.0029 per share \$0.0029 per share	\$0.10 64.90 \$5.00 \$5.00 \$884,368.98 \$59,900.71	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$0.10 64.90 \$5.00 \$15.0
ACES Order Executions  ACES Order Executions  ACES - Total:  MABBIAQ Executions  ACES - Total:  MABBIAQ Executions >= \$1 (Displayed) - ETF  Charge  AMEX Listed Securities >= \$1 (Displayed) - ETF  Routed Charge  AMEX Listed Securities >= \$1 (Displayed) - ETF  Routed Charge  AMEX Listed Securities >= \$1 (Displayed) - ETF  ETFRebate  AMEX Listed Securities >= \$1 (Displayed) - Listed	08/01/08 - 08/31/08 08/01/08 - 08/31/08  57 [Period College] (12 08/01/08 - 08/31/08 08/01/08 - 08/31/09 08/01/08 - 08/31/08	1 7 Quantity: 304,954,190 20,655,411 203,699,072 15,700,605	\$0.1000-per execution  \$0.7600-per execution  Rafe Description (1.50) (1	\$0.10 \$4.90 \$5.00 \$5.00 \$884,366.98 \$59,900.71 (\$631,487.14)	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$0.10 64.90 \$5.00 \$4.50************************************
ACES Order Executions  ACES Order Executions  ACES - Total:  MASDIAG Executiona  - S1 (Displayed) - ETF  Charge  AMEX Listed Securities >= \$1 (Displayed) - ETF  Routed Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed  Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed  Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed	08/01/08 - 08/31/08 62/01/03 - 08/31/08 62/01/03 - 08/31/08 68/01/08 - 08/31/08 68/01/08 - 08/31/08 68/01/08 - 08/31/08	1 7 2008-000y 304,954,190 20,655,411 203,699,072 15,700,605 10,873,567	\$0.1000-per execution \$0.7000-per execution \$0.7000-per execution \$0.0029 per share \$0.0029 per share \$-0.0031 per share \$0.0029 per share	\$0.10 \$4.90 \$5.00 \$5.00 \$884,368.98 \$59,900.71 (\$631,487.14) \$45,554.98	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$0.10 64.90 15.00 \$45,7600071 1884,366.98 859,900.71 (\$831,467.14) 845,554.98
ACES Order Executions  ACES Order Executions  ACES - Total:  MASDAQ Executions  = \$1 (Displayed) - ETF  Charge  AMEX Listed Securities >= \$1 (Displayed) - ETF  Routed Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed  Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed  Rebate  AMEX Listed Securities >= \$1 (Displayed) - Listed  Rebate  AMEX Listed Securities >= \$1 (Displayed) - Listed  Rebate	08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08	1 7 2008-000y 304,954,190 20,655,411 203,699,072 15,700,605 10,873,567	\$0.1000-per execution \$0.7000-per execution \$0.7000-per execution \$0.0029 per share	\$30.10 \$4.90 \$5.00 \$5.00 \$884,368.98 \$59,900.71 (\$631,487.14) \$45,554.98 (\$33,708.06)	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$0.10 \$4.90 \$5.00 \$4.366.95 \$59,900.71 (\$831,467.14) \$45,554.98 (\$33,708.09)
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ACES Order Executions  ACES - Total:  MABDIAG Executions >= \$1 (Displayed) - ETF  Charge  AMEX Listed Securities >= \$1 (Displayed) - ETF  Routed Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed  Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed  Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed  Rebate  AMEX Listed Securities >= \$1 (Displayed) - Listed  Routed Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed  Routed Charge  AMEX Specialist Fees and Directed Orders - ETF  Charge  AMEX Specialist Fees and Directed Orders - Listed	08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/09 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08	1 7 304,954,190 20,655,411 203,699,072 15,700,605 10,873,567 4,651,942 845,900 44,600	\$0.1000-per execution  \$0.7000-per execution  \$0.7000-per execution  \$0.0029 per share  \$0.0031 per share  \$0.0035 per share	\$0.10 \$4.90 \$5.00 \$5.00 \$884,368.98 \$59,900.71 (\$631,487.14) \$45,554.98 (\$33,709.06) \$13,490.64 \$1,807.50	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$0.10 64.90 15.00 15.00 1884,366.95 959,900.71 (\$831,467.14) 845,554.90 (\$33,708.09) \$12,490,64 \$1,907.50
ACES Order Executions  ACES - Total:  MABBIAQ Executions >= \$1 (Displayed) - ETF  Charge  AMEX Listed Securities >= \$1 (Displayed) - ETF  Routed Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed  Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed  Charge  AMEX Listed Securities >= \$1 (Displayed) - Listed  Retate  AMEX Listed Securities >= \$1 (Displayed) - Listed  Routed Charge  AMEX Specialist Fees and Directed Orders - ETF  Charge  Nasdaq Listed Securities >= \$1 (Displayed) - OTC	08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08 08/01/08 - 08/31/08	1 7 20wanthy: 304,954,190 20,655,411 203,699,072 15,700,605 10,873,567 4,651,942 845,900 44,600 510,657,789	\$0.1000-per execution  \$0.7000-per execution  \$0.7000-per execution  \$0.7000-per execution  \$0.0029 per share  \$0.0031 per share  \$0.0035 per share  \$0.0035 per share	\$0.10 \$4.90 \$5.00 \$5.00 \$884,368.98 \$59,900.71 (\$631,487.14) \$45,554.98 (\$33,708.06) \$13,490.64 \$1,907.50 \$156.50	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$0.10 64.90 15.00 15.00 1884,366.98 859,900.71 (\$831,467.14) 845,554.98 (\$33,708.08) \$12,490,64 \$1,907.50 6158.80

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Amount Due Invoice Date Account Number Invoice Number Payment Terms 09/10/2008 100002371 0908NTS047089 NET30 3702,104.40) Non-Displayed Liquidity Provided - ETF AMEX 08/01/08 - 08/31/08 4.286.226 \$-0.0015 per share (\$5,429,33) 80.00 (\$9,429.33) Rebate (\$714.19) \$0.00 (\$714.19)Non-Displayed Liquidity Provided - Listed AMEX 08/01/09 - 08/31/03 476,123 \$-0.0015 per share Rebate (\$51,288.00) \$0.00 (351,268,00) 08/01/09 - 06/31/08 Non-Displayed Liquidity Provided - Listed NYSE 34,177,334 S-0.0015 per share Rebate Non-Displayed Liquidity Provided - OTC Rebate (\$34,692,21) \$0,00 (\$34,692.21) 08/01/08 - 08/31/08 23.128.134 \$-0.0015 per share. 11,807,529.32 \$0.00 \$1,007,529,32 08/01/08 - 08/31/08 NYSE Listed Securities >= \$1 [Displayed] - Charge 623,285,975 30,0029 per share (12.892,058.35) \$0.00 (\$2.892,056,05) NYSE Listed Securities >= \$1 (Displayed) - Rebale 08/01/08 - 08/31/08 1,032,877,983 3-0,0028 per share \$274,884,91 NYSE Listed Securities >= \$1 (Displayed) - Routed 06/01/08 - 08/31/08 77,574,102 \$0,0029 per share \$0.00 F224,884.91 Charge \$45,109.91 \$45,109,91 \$0.00 08/01/08 - 08/31/08 90,219,812 \$0,0005 per share Other Charges - Closing Cross Billable 37,809.15 10.00 17,809,15 Other Charges - Opening Cross Ballable 08/01/08 - 08/31/08 15,619,285 \$0,0005 per share 30.41 **50.00** \$0.41 REG NMS Functionality - ARCA Routed NASDAQ 08/01/08 - 08/31/08 82 10,005 per share Charge Odd Lots Directed 15.43 \$0.00 15.43 06/01/08 - 08/31/08 1,553 \$0,0035 per share REG NMS Functionality - Directed ISO AMEX Charge 31,268,37 \$0,00 31,265,37 REG NMS Functionality - Directed ISO NASDAQ 08/01/08 - 08/31/08 361,534 \$0,0035 per share Charge 1100.04 \$0.00 1199.04 REG NMS Functionality - Directed ISO Non-08/01/08 - 03/31/08 \$5,012 \$0,0035 per share NASOAO ETF Charge 3397.74 30.00 3397.74 REG NMS Functionality - Directed ISO NYSE Charge 08/01/03 - 08/31/0a 113,636 \$0,0025 per share \$15.50 \$0.00 \$15.50 Routed Oddfot Charges - NYSE DOTD PRL Charge 08/01/08 - 08/31/08 1,550 30,01 per share 845,00 40.00 \$45.06 Routing Fees For Non-ETFs Executed on the MYSE -08/01/08 - 08/31/08 112,619 \$0,0004 per share Blended Executions Charge \$16,510.48 00.00 116,510,48 06/01/08 - 08/31/08 22,013,900 40,00075 per share Routing Fees For Non-ETFs Executed on the NYSE -Liquiday Removed Charge **6923.08** \$0.00 \$928.08 Routing Fees For Non-ETFs Executed on the NYSE -08/01/08 - 08/31/08 1,031,200 #0,0009 per share Liquidity Removed DOTD Charge \$1,671,60 40.00 **51,671,60** \$57,200 40,003 per share Routing Fees For Non-ETFs Executed on the NYSE -08/01/09 - 08/31/98 Re-Routed Charge \$1,568,54 \$0.00 R1.568.54 1,563,535.01 .1%/ dollar volume Securities < \$1 - COS AMEX Listed 08/01/08 - 08/31/08 **E**0.12 \$0.00 **E**0.12 08/01/08 - 08/31/08 124 .114 dollar volume Securities < \$1 - CQS ETF Listed 18 68 \$0.00 18 68 08/01/08 - 08/01/08 8.561.97 .1%/ dollar volume Securities < \$1 - COS NYSE Listed \$1,503.75 \$0.00 \$1,508.75 \$02,922.23 .3'\( dollar volume Securities < \$1 - Listed AMEX Routed Charge 08/01/03 - 08/31/08 567.19 \$0.00 367.19 08/01/08 - 08/31/08 22,394.5 .3W/ dollar volume Securities < \$1 - Unled NYSE Routed Charge \$2,395.41 \$0.00 B2,395.41 Securities < \$1 - OYC Charge 08/01/08 - 08/01/08 2,395,404,16 ... 197 dollar volume \$1,508.48 80.00 \$1,508.48 538.161.38 ... 3'A' dollar volume Securities < \$1 - OTC Rouled Charge 08/01/08 - 06/31/08 (6585,478.08) 00.00 (\$585,478.00) NASDAO Executions - Total: · Optional Experience 是是是是一个人的主义是是不同的的文字和的对象,但是是一个人的对象的对象的,但是是是一个人的对象的对象的对象的对象的 \$0,00 (5-68-60) (5488,640) 08/01/03 - 06/31/08 295 \$-0.3 per contract Added Liquidity \$5,547.\$0 **E**0.00 \$5,547.50 08/01/08 - 08/31/08 14,550 40,45 per contract Removed Liquiday \$0.68 66.459.00 Optiona Executiona - Total: 56.459.69 (363,612,51) \$0.00 (363,612,51) 1 VARIES 04/01/08 - 08/30/08 Data Revenue Sharing - 20 2008 [\$86,612.51] 80.00 (\$86,612.51) Other Product - Total \$16,27 \$1.38 \$17.63 NASDAQ Exchange Step Out Function 08/01/0a - 08/31/08 561 #0,028 Per side per trade 30.00 \$0.00 \$0.00 08/01/03 - 08/31/08 21,497,711 #0.000-per side Trade Recording Charge Post Trade - Total: \$15.27 61.38 \$17.83

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Invoice Date   09/10/2008	Account Number 100002371	Invoice Nu 0908NTS0		Payment Terms NET30			Amount Due (702,104.40)
AGT - Risk Management Corr Service	respondents Monthly	08/01/08 - 08/31/08	3	\$17.25-Per correspondent per month	<b>851.75</b>	<b>5</b> 4.32	350.07
ACT - Risk Management Bide	as Activity Fee	08/01/08 - 08/31/08	4,474,720	\$0,025-Per trade per correspondent	\$15,639.91	\$1,309.84	316, <del>9</del> 49.75
	Risk Manageme	nt - Total:		·	\$15,691,66	\$1,3 (4.16	\$17,005.82
SUPPRISONER TO TAKE A SEC	1000克,1000克克克克克克克克克克克克克克克克克克克克克克克克克克克克克	Period Covered 7	Quartity	Rate Description	Súb (ótal	ाल <b>क</b> ्	**************************************
Tape B (AMEX) Revenue Sha		04/01/08 - 06/30/08		Varies	(\$2,407,24)	\$0.00	(62,407.24
	SuperMontage -	Total			(\$2,407.24)	60.00	(\$2,407.24)
raide Reporting Facility	estimation of the second	PHANT COMPANY	Overty.	Ruis Description 1990 1997	: <u>_2</u> 4906766u <u>(</u>	o Sei mai	
ACT - Cancel Correct Fee		08/01/08 - 08/31/03	1,038	\$0.25-Per trade per side	6259.50	321.73	6281.23
ACY - Cancel/Correct Fee (no	n-ng listed)	08/01/09 - 08/01/08	263	60.25-Per trade per side	170.75	\$5.93	\$76.88
ACT - Compare/Accept		08/01/08 - 08/31/08	3,197,701	60,000144-Per share block	\$460.47	\$39.56	\$499.03
ACT - Compare/Accept (non-	nq listed)	08/01/08 - 08/01/08	2,650,450	\$0,000144-Per share block	8381.68	331.96	8413.62
ACT - Internatized Trade Rep	orting (non-nq listed)	08/01/08 - 08/31/08	21,215	60,029-Per trade	\$16.53	\$1.38	\$17.91
ACT - Locked in Trade - Qual Representation (QSR) (non-n		08/01/08 - 08/01/08	20,055	\$0.029-Per side per trade	\$15.62	\$1,31	\$16,93
KCT - T+1/T+N		08/01/06 - 08/01/08	2,637	60.288-Per trade	1817.08	<b>38</b> 8.43	8885.49
ACT - T+1/T+N (non-ng listed	0	08/01/08 - 08/31/09	2,252	\$0.268-Per trade	3648,58	654,32	\$702.90
ACT Autolock Automated Give isted	e Up (AGU) - non-nq	08/01/06 - 08/31/08	583,926	\$0,029-Per side per trade	\$439.30	648.79	8476,09
TRF Tape A (NYSE) Revenue	e Sharing - Q2-2008	04/01/08 - 05/30/08	1	Varies	(\$12,357,12)	\$0.00	(\$12,357.12)
(RF Tape B (AMEX) Revenue	e Sharing - 02-2006	04/01/08 - 08/30/08	1	Veries	(11,958.07)	\$0.00	(\$1,958.07)
	Trade Reporting	Facility - Total:			(611,263,72)	\$260.41	(\$10,945.31)
Inching Applications ACT Guery (New)		Part68 C09 arpd		Raine Description 2015	ેં∴ કું કું <b>ઇБ⊤∂કા</b> જે 42,335.00	\$185.50	
<b>, (</b> ,	Trading Applicati	ons - Total:	.,,,,		\$2,335.00	\$195. <b>5</b> 6	\$2,510.56
	Total for MPID:	LEHM			(\$643,195.62)	\$1,771.49	(6641,425.13)
ocation Number: 9181							
MPID: LEHP 745 7th Avenue New York, NY 10019							
745 7(h Avenue New York, MY 10019 Other Problem (***)				Rite Office (production)			
/45 7(h Avenue New York, MY 10019 호바라 Pr해야하다는 등 기기들	9008	04/01/08 - 06/30/08		Rite Cesempton File (1) 1985 Varies	(\$4.62)	50.00	(84.62)
745 7(h Avenue New York, MY 10019 Stheir Proglück (******) (*****) Data Revenue Sharing - 20 2	Other Product	04/01/08 - 06/30/08 Total:	1	VARIES	(\$4.62) (\$4.63)	50.00 \$0.00	(84.62)
145 7th Avenue New York, MY 10019 Other Product (1997) Data Revenue Sharing - 20 2	Other Product	04/01/08 - 06/30/08 Foliat The <b>Project Conserved</b> (2) (5)	1 - Coondly	VARIES  Red Description (1988)	(14.62) (14.61)	50.00 \$0.00 ?∷*ETAX¶E	(84.62) (84.62)
745 7(h Avenue New York, MY 10019 Other Product	Other Product +1	04/01/08 - 06/30/08 Foliat ** P*(floid Coperator) (2) 08/01/08 - 08/01/08	1 - (30) <b>000aidh</b> (1) 1	VARUES  Ratio Desich Floori 1988 Per trade	(\$4.62) (\$4.63) (\$0bT class \$0.28	\$0.00 \$0.00 ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○	(84.62) (84.62) (84.62) 89.31
45 7th Avenue New York, MY 10019 Other Product — 10019 Data Revenue Sharing - 20 2 Tride Reportating Floatiny 100 CCT - T+1/T+N	Other Product +1	04/01/08 - 06/30/08  Total:	1 - (30) <b>000aidh</b> (1) 1	VARIES  Red Description (1988)	(14.62) (14.61)	50.00 \$0.00 ?∷*ETAX¶E	(84.62) (84.62)
145 7(h Avenue New York, MY 10019 Stheir Product - 10019 Data Revenue Sharing - 20 2 Triale Reportating Floring - 100 ACT - T+1/T+N	Other Product • 1 STATE AND THE STATE AND TH	04/01/08 - 06/30/08 Total:	1 - (30) <b>000aidh</b> (1) 1	VARUES  Ratio Desich Floori 1988 Per trade	(\$4.62) (\$4.61) (\$0.00 (\$4.61) \$0.28 (\$665.73) (\$364.94)	\$0.00 \$0.00 P ∴ ET ACK E \$0.02 \$0.00	(84.62) (84.62) (84.62) 80.31 (\$885.23) (\$884.92)
145 7th Avenue New York, MY 10019 Data Revenue Sharing - 20 2 Trials Baraching Facility  ACT - T+1/T+N IRF Tape A (NYSE) Revenue Location Number: 9181 MPID: LHMA 745 7th Avenue	Other Product + 1	04/01/08 - 06/30/08 Total:	1 - (30) <b>000aidh</b> (1) 1	VARUES  Ratio Desich Floori 1988 Per trade	(\$4.62) (\$4.61) (\$0.00 (\$6.28 (\$685.23)	\$0.00 \$0.00 \$0.00 \$0.02 \$0.00 \$0.02	(84.62 (84.62 (84.62) 80.31 (\$885.23) (\$884.92)
New York, MY 10019  Data Revenue Sharing - 20 2  Trials Barcelling Electry  ACT - T+1/T+N  IRF Tape A (NYSE) Revenue  Location Number: 9181  MPID: LHMA  745 7th Avanue  New York, NY 10018	Other Product +1 Standard & Tug (1985)  In Sharing + Q2-2008 Trade Reporting Total for MPID:	04/01/08 - 06/30/08 Total:  ***P\$(1601)**Cq08660(%) 08/01/08 - 08/31/09 04/01/08 - 08/30/08 Facility - Total: LEHP	1 Constitution	VARUES  Ratio Desich Floori 1988 Per trade	(\$4.62) (\$4.61) (\$4.61) \$0.28 (\$85.23) (\$864.94) (\$869.56)	\$0.00 \$0.00 \$0.00 \$0.02 \$0.02 \$0.02	(84.62) (84.62) (84.62) (80.31 (8865.23) (8864.92) (8869.54)
AS 7th Avenue New York, MY 10019 Sther Product State Revenue Sharing - 20 2  Trials Reported Facility  ACT - T+1/T+N  IRF Tape A (NYSE) Revenue  Location Number: 9181  MPID: LHMA  AS 7th Avenue New York, NY 10019  MASSAQ Efficultonia > 2 ×  WHEX Listed Securises >= 31	Other Product • 1 Starting • Q2-2008 Trade Reporting Total for MPID:	04/01/08 - 06/30/08 Total:  Period Consent (2) 08/01/08 - 08/30/08 Facility - Total: LEHP	Quantity:	VARUES  Ratio Description  So 288- Per trade  Varies  Ratio Description	(\$4.62) (\$4.61) (\$4.61) \$0.28 (\$665.73) (\$864.94) (\$869.56)	\$0.00 \$0.00 \$0.00 \$0.00 \$0.02 \$0.02	(84.62) (84.62) (84.62) 80.31 (\$885,23) (\$884.92) (\$889.54)

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Invoice Oate 09/10/2008	Account Number 100002371		Invoice (	Number S047069		Payment Terms NET30			Amount Oue 702,104.40)
ETFRebate									,
AMEX Listed Securities >= Charge	11 (Displayed) - Listed	08/01/03	08/31/08	65,004	\$0.002	) per share	\$166.51	60.00	£188.51
AMEX Listed Securities >= Returie	51 (Displayed) - Listed	08/01/03	08/31/08	8,537	\$-0.003	li per share	(\$28.46)	\$0.00	(\$25.46)
AMEX Listed Securities >= Routed Charge	\$1 (Displayed) - Listed	08/01/08	- 08/31/08	81,681	\$0.0025	per share	1178.82	\$0,00	\$178.82
Nasdaq Listed Securities > Charge	= \$1 (Displayed) - OTC	08/01/08	08/31/08	1,985,759	\$0.0026	9 per share	<b>1</b> 5,7 <b>5</b> 8.71	\$0.00	<b>1</b> 5,7 <b>5</b> 8.71
Nasdaq Listed Securities > Rebate	= \$1 (Displayed) - OTC	08/01/08	08/31/08	1,551,205	9-0.002	8 per share	(\$4,343,33)	\$0,00	(\$4,343,38)
Nasdaq Listed Securities > Routed Charge	= \$1 (Displayed) - OTC	08/01/08	08/51/08	527,300	\$0,0026	9 per sharë	\$1,5 <b>2</b> 9.17	\$0.00	11,529.17
NYSE Listed Securities >=	51 (Displayed) - Charge	08/01/03	08/31/08	2,178,822	\$0.0029	per share	\$0,318.59	\$0.00	\$0,318,38
NYSE Listed Securities >=	91 (Displayed) - Rehate	08/01/08	08/31/08	7,961,763	\$-0.002	8 per share	(\$8,292.94)	\$0.00	(58,292.94)
NYSE Listed Securities >= Charge	\$1 (Displayed) - Routed	08/01/03	08/31/08	1,633,129	\$0,0029	per shere	\$4,738.07	\$0.00	\$4,738.07
Other Chargea - Closing C	ross Billable	08/01/08	08/31/08	8,916,647	\$0.0008	per share	\$4,458.32	\$0.00	\$4,458,37
Other Charges - Opening (	Cross B <b>illable</b>	08/01/03	08/31/08	2,319,883	\$0.0005	per share	\$1,159.94	\$0.00	31,159.94
Routing Fees For Non-ET/ Blended Executions Charg	's Executed on the MYSE - e	08/01/08	08/31/08	7,541	\$0,0004	l per share	\$3.02	\$0.00	13.02
Rousing Fees For Non-ETF Liquidity Removed Charge	s Executed on the NYSE -	03/01/03	08/31/08	1,238,300	\$0,0007	5 per share	1928.73	\$0.00	<b>6928</b> .73
Securities < \$1 - CQS AMI	EX Listed	08/01/08	08/31/08	13,248,37	.1967 do	lar volume	\$13.25	\$0.00	\$13.25
Securities < 51 - OTC Cha	ige	03/01/08	08/31/08	5,318,29	1767 do	tar volume	65,31	\$0,00	\$5,31
Securities < \$1 - OTC Rou	led Charge	08/01/08	08/31/03	1,145.32	.3%/ do	Lu volume	\$3.44	\$0.00	53.44
	MASDAQ Executo	ona - Total:					\$16,973.95	\$0.00	B16,973.95
Oper Moder (S.E. )				-			\$ <b>SubTotal</b> ; (\$34,388,57)	்தே <b>சல்</b> 80,00	(634,366,57)
Data Revenue Sharing - 20		04/01/08 -	06/30/03	1	VARIES	•		\$6.00	(634,366,57)
	Other Product - Te			**** · · · · · · · · · · · · · · · · ·	<del></del>		(\$34,359.57)		, , , , , , , , ,
Post Trade A		08/01/08			R∎18 Q		SubTotal - 10.00	5,2741 YE	80.00
	Post Trade - Total	ŀ					\$0.00	90.00	00.00
SuperMortage : 45		04/01/08			Rath Di	agailtean an t-an an t	் <b>அம்ரவ்</b> (85,38)	-∰ <b>7&amp;</b> ₹5	(65,38)
Yape θ (AMEX) Revenue (	SuperMontage - T		00730400	'	701-		(65.38)	\$0.00	(\$5,38)
recessor account of their			ouer a	restrictive constitution	en de la comp	au <mark>dana</mark> n en er er er er er			
ACT - Cancel Correct Fee	್ಷಾಗ್ ಪ್ರಧಾನಿ ಎಂದು ಪಡೆಡಿದ್ದಾರೆ. -	08/01/08				er trade per side	.: १४/ <b>५०/०(१५८</b> ) \$1.50	10.13	\$1.63
ACT - Cancel Correct Fee	(non-ng listed)	08/01/08 -	08/31/08	25	\$0,25-P	er trade per side	59.25	59.52	59.77
ACT - Compare/Accept		08/01/08	03/31/08	6,673,318	\$0.0001	44-Per share block	1950,96	\$56,48	\$1,041.44
ACT - Compare/Accept (no	n-nq lated)	08/01/08	08/31/08	4,535,255	\$0,0001	44-Per share block	5953.22	<b>954.71</b>	1707.93
ACT - Internalized Trade R	eporting (non-ng listed)	08/01/08	08/31/08	280,480	\$0.029-	Per trade	\$202.90	\$16.99	\$219.89
ACT - T+1/T+N		08/01/08	08/31/08	1,208	10.288-	Per trade	\$347.00	\$29.14	\$377,04
ACT - T+1/T+N (non-ng list	(ed)	08/01/08 -	08/31/08	798	50.268-	Per trade	1212.54	817.80	1230.34
ACT Autolock Automated ( falled	ine Up (AGU) - non-nq	06/01/08	08/31/08	15,040	50.029-	Per side per trade	\$11.72	10.98	512.70
TRF Tape A (NYSE) Reve	nue Sharing - Q2-2008	04/01/08	06/30/08	1	Varies		(840,507.31)	60.00	(840,507.31)
TRF Tape B (AMEX) Reve	nua Sharing - 02-2008	04/01/03 -	05/30/08	1	Varies		(\$16,388,79)	\$0,00	(\$16,386,78)
	Trade Reporting F	acaty - Tota	<u>u-</u>				(654,599,11)	\$200.75	(654,368.36)

Third Application Street 1872 12 1982 10 miles control 1875 ( Including Trad Description 1882) 1886 ( Including Trad 1882) 1886 ( Including Tr

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Trading Rights

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\$500.00

3500.00

3500.00

1 1500-per month / per firm

\$0.00

40.00

80.00

\$500.00

\$500.00

\$500.00

Invoice Date 09/10/2008	Account Number 100002371	Invoice Nur 0906NTS0-	-	Payment Terms NET30			4mount Due 702,104.40)
ACT Query (New)		08/01/08 - 03/31/08	34	50.50 per scan	\$17.00	\$1.42	318.4
	Trading Applicat		•		\$17.00	61.42	\$16.4
	Total for MPID:	LUMA			(171 600 11)	****	(174 777 6
		Crima			(871,980.11)	6202.17	(871,777.9
.oca(lon Number: 916 IPID: LHMB '45 7th Avenue Iew York, NY 19819	1						
-							
risde Reporting Facility: CT - Cancel Correct Fee		(2) <b>Period Covered</b> (3) (4) (4) (4) (4) (4) (4) (4) (4) (4) (4	Chartity:	Ruth Discription (*)  \$0.25-Per trade per side	<b>2.3. ₹3,600 (013)</b> ₹9 <b>5</b> 0,25	50,02 50,02	\$0.2 \$0.2
CT Autolock Automated (	•	08/01/08 - 08/31/08	13	\$0,029-Per side per trade	80.01	50,00	\$0,0
aled	sue ob (vero) s toreid	DG101100 - 9231199	••	to,ozore, see per nabe			•
	Trade Reporting	FeoTity - Total:			£0.28	\$0.01	60.2
	Total for MPIO:	LHM0			\$0.26	\$0.01	\$0.2
ocation Number: 916	ı						
MPID: LHM8 745 7th Avenue							
Yaw York, NY 10019							
<b>WAT PROBLET ALL STORY</b>		En mot coming the	Ţ <b>ġ~oQuany</b> ;	Ratio Description (CSS)	्र <b>अक्रान्त</b> ि	STATE.	
ota Revenue Sharing - 20		04/01/08 - 08/30/08		VARIES	(\$3,152.72)	\$0.00	(\$3,152.7
	Other Product - 1	Totat:			(\$1,152.72)	\$0,00	(\$3,152.7
rade Reporting Facility		Period Covered 🔝	Quantity	Rub Description			
CT - Cancel/Correct Fee		08/01/08 - 08/31/09	4	50.25-Per trade per side	\$1.00	\$0.08	\$1.0
CT - Cancel/Correct Fee	(non-ng listed)	08/01/03 - 08/31/08	53	\$0.25-Per trade per side	15.75	40.48	\$6.2
CT - Compare/Accept		03/01/08 - 08/91/06	148,255	\$0,000144-Per share block	\$21.06	31.76	522.6
(no	on-ing Ealted)	03/01/08 - 08/31/08	59,400	\$0,000144-Per share clock	68.55	\$0.72	39.2
ACT - Internalized Trade R	(balis pn-non) grisses	08/01/09 - 08/31/03	1,488	\$0,029-Per trade	\$1.14	\$0,10	\$1.2
ACY - T+1/T+N		02/01/08 - 08/01/08	13	10.288-Per trade	53.74	\$0.31	\$4.0
ACT - T+1/T+N (non-ng fis	led)	08/01/09 - 08/31/03	65	\$0,268-Per trade	\$18.72	81.57	\$20,2
ACT Autolock Automated ( lated	Sive Up (AGU) - nan-ng	08/01/08 - 08/31/08	1,345,476	\$0.029-Per side per trade	81,048,13	<b>\$</b> 87,78	11,135.9
TRF Tape A (NYSE) Reve	nue Sharing - Q2-2009	04/01/08 - 08/30/09	1	Varies	(\$2,820.74)	\$0.00	(\$2,820.7
rRF Tape B (AMEX) Reve	nue Sharing - 02-2008	04/01/03 - 05/30/08	1	Varies	(\$129.74)	40.00	(\$129.7
	Trade Reporting	Fac≣ty - Tolal.			(\$1,842.39)	692.80	(\$1,749.5
miding Applications : 2	ali dalah Makeri	Pariod Covered 122	Quantity	Rate Description	(a) (TBúbTplái (j)	i jir	्राज्य
ACT Query (New)		06/01/08 - 08/31/08		30.50 per acan	532.00	42.68	\$34.6
	Trading Applicati	ions - Total.			\$32,00	12.68	\$34.5
	Total for MPID:	LHM9			(64,963.11)	\$95.48	(\$4,687.6
	Total for Location	on Number: 91a1			(6851,344.73)	\$2,180.02	(\$849,164.7
Location Number; 987 Site Name: LEHMNY1 DNE METROTECH CE STH FLOOR BROOKLYN, NY 1120	NTER NORTH						

08/01/08 - 08/31/08

Exchange Membership - Total:

Total for Location Humber: 9872

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Invoice Number Payment Terms Invoice Date Account Number Amount Due 09/10/2008 100002371 0908NTS047069 NET30 (\$702,104.40)

Location Number: 9873 8lta Name: LEHMNJ1 101 HUOSON STREET **TECHNOLOGY SERVICES** 32 FLOOR

JERSEY CITY, NJ 07302

NABDACTITUDE CONTROL PRODUCT FOR THE SERVICE CONTROL SERVICE SERVICE PRODUCT FOR THE PRODUCT FOR THE SERVICE S NASDAQTrader - SEC 31a Reports Fee \$25,00 \$9.00 125.00 08/01/08 - 03/31/08 1 525-Per month per user NASDAQTrader.com Data Products - Total: 125.00 50.00 125.00 Affiding Applications (1) 有关的主义的一个Wild Constable 2011年(Desiraty Extra proceeding 1) 其实是一个不同的的对象和广泛中有数据是对数据的 21 5475-per logon per month \$9,975.00 1698,25 \$10,673.25 NASOAO Workstation TRADER 08/01/06 - 03/31/08 Trading Applications - Total: \$9,975.00 \$898.25 B10,673,25 Total (or Location Number: 9673 \$10,000.00 1898.25 810,698.26

 $oldsymbol{u}$  and the probability  $oldsymbol{u}$  ,  $oldsymbol{u}$   $oldsymbol{u}$  oldsy

Location Number: 9874 Site Name: LEHMNY6 Image Processing Systems Equities Brokerage PO Box 2397 Secaucus, NJ 07094

Little Could and 1 - 1 - 2 - 2	<b>. wa</b> jay,	Cate Federihinan	21 boolows		¥*: <b>!!!!</b> (,)
08/01/08 - 06/31/08	2	\$130-per user per month	8260.00	\$9.00	\$260.00
03/01/08 - 08/31/08	5	\$160-per user per month	\$800.00	\$3.00	\$800.00
08/01/08 - 08/31/03	1	525-Per month per user	\$25.00	\$9,00	\$25,00
08/01/06 - 08/31/08	1	\$150-Per month per user	\$150.00	\$0.00	\$150.00
08/01/08 - 08/31/08	1	170-per month per user	670.00	10.00	\$70.00
com Data Producte - Total:			\$1,305.00	\$0.00	\$1,305.00
S Period Covered	Quintity	Flate Description	SELECTORIAL	ŢŖ <b>ŢſĸŦ</b> ĴŢ	
08/01/08 - 08/31/08			\$2,800,00	\$196.00	\$2,998.00
08/01/08 - 08/31/06	16	\$400-per month	\$8,400.00	5448.00	68,848,00
08/01/08 - 06/31/08	3	\$500-per month	51,500.00	\$105.00	\$1,605.00
07/27/08 - 08/28/08	1	Varies	11,185.28	\$9.90	81,185.28
07/27/08 - 06/26/08	1	Varies	84,485.32	\$0,00	\$4,495.32
03/01/08 - 08/11/08	3	\$1200-per month	\$3,500.00	1252.00	\$3,852.00
Nay - Total.			\$19,980.\$0	\$1,001.00	620,981.60
Electric Consequence	Districtly.	184 <b>6 (868 (869</b> (8.28))	Ban John	22 Text	
08/01/09 - 08/31/09			\$1,900.00	\$133.00	\$2,033,00
08/01/08 - 08/31/08	33	\$375-per logon / per month	\$12,375,00	\$0.00	\$12,375.00
ons - T <b>olai</b> :			\$14,275.00	\$133.00	\$14,408.00
n Number: 9874					03,1423,313
	08/01/08 - 06/31/08  08/01/08 - 06/31/08  08/01/08 - 08/31/08  08/01/08 - 08/31/08  08/01/08 - 08/31/08  08/01/08 - 08/31/08  08/01/08 - 08/31/08  08/01/08 - 08/31/08  07/27/08 - 08/26/08  07/27/08 - 08/26/08  03/01/08 - 08/31/08  V27 - Total.  28/01/08 - 08/31/08  08/01/08 - 08/31/08  08/01/08 - 08/31/08	08/01/08 - 06/31/08 5  08/01/08 - 08/31/08 5  08/01/08 - 08/31/08 1  08/01/08 - 08/31/08 1  08/01/08 - 08/31/08 5  08/01/08 - 08/31/08 7  08/01/08 - 08/31/08 16  08/01/08 - 08/31/08 16  07/27/08 - 08/31/08 1  03/01/08 - 08/31/08 3  5/2y - Total.	08/01/08 - 08/31/08	08/01/08 - 08/31/08	C3/01/08 - C6/01/08   S   \$160-per user per month   \$800.00   \$0.00

Location Number: 9876 Bite Name: LEHMNY3

J WORLO FINANCIAL CENTER

**6TH FLOOR** 

**NEW YORK, NY 10048** 

Hetwork Connectivity (1987)	等。 可以Period Coeffee 完成了一个C	Runtity Rule Description	(SubTolal )	· ATO	Total
ACT/CYC! Fee	08/01/08 - 08/31/08	1 \$575-Per month	4575.00	\$48.16	<b>3</b> 823.16
Net	work Connectivity - Total		\$575.00	\$48,16	\$523.16

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Invoice Date 09/10/2008	Account Number 100002371	Involce Number		Payment Terms NET30			vnount Due 702,104.40)
	Total for Locallo	n Number: 9875			1575.00	\$48.16	1923.16
Location Number: 110 Site Name: LEHMNY6 85 10TH AVENUE NEW YORK, NY 10011							
				pe prescription (14,5,7,1,9,5,5)		_	
CTCl Option I = 56th Line	<b>M</b> Q	08/01/06 - 03/31/08		200-per month	\$1,200.00	\$100.50	\$1,300.50
NTF CTCI Circuit		08/01/08 - 03/31/05	1 11	100-per circuit	\$1,100,00	\$0.00	\$1,100.00
	Network Connecti	vrky - Totat			\$2,300.00	\$100.50	12,400.50
	Total for Locatio	n Number: 11060			\$2,300,00	\$100.50	12,400.50
Location Number: 214 Site Name: LEHMNJ3 70 HUOSON STREET 7TH FLOOR JERSEY CITY, NJ 073							
HASOAQ (INDEX.ZONT DAG	Products (CONTROL	Period Covered	Quantity - Rai	b Description	SubTotal	4.4.4 <b>1位约</b>	6772- <b>186</b> 0
NASDAOTrader - Brokenti Fee	lealer Data Basic Package	08/01/08 - 08/01/08	2 31	l0-per user per month	\$280.00	50.00	6260.00
NASDAQTrader - 8rokeriû Fee	tealer Premium Package	08/01/08 - 03/31/08	1 \$1	50-per user per month	\$160,00	\$0.00	\$150,00
NASDAQTrader - SEC 318	Reports Fee	08/01/08 - 08/31/08	1 12	5-Per month per user	\$25.00	\$0,00	\$25.00
	NASDAQTrader,o	om Data Products - Total:			1445,00	\$0.00	\$445,00
	Total for Locatio	n Number: 21480			1445.00	\$0.00	\$445.00
Location Number: 220 Sits Name: Jehranja 2 Peach Tree Rill Rose POO2, 2nd Floor Livingsion, NJ 07039							
Network Collinicality	err varianta ind	Period Covered Colors	Quantity Ru	of Description ( To The Control	Sub) (cui)	on en	
CTCI Add1 Station		08/01/06 - 03/31/08	67 \$6	10-per month	\$40,200.00	\$2,814.00	\$43,014.00
CTC! Option ! 55kb Line	MQ	08/01/08 - 08/31/03	1 \$1	100-per month	\$1,200.00	884.00	\$1,264,00
CTCI Station		06/01/08 - 08/31/08	1 12	XX-per month	\$200,00	\$14.00	1214.00
	Network Connecti	vity - Total			\$41,600.00	\$2,512.00	\$44,612.00
	Total for Locatio	n Number: 22089			\$41,600.00	\$7,912.00	\$44,512.00
Location Number: 404 9its Name: LEHMNY2 625 Madison Avenue 5TH FLOOR NEW YORK, NY 10173							
Trading Applications		Period Covered . **/: ** 08/01/08 - 03/31/09		te Descriptions (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	SúbTotal: \$375,00		78.2 (€ <b>17.01.2</b> ) 1400.41
	Trading Application				\$375.00	\$31.41	1400.41
	Total for Locatio	n Number: 40443			\$275,00	\$31.41	1400.41
Location Number: 493 Site Name: INET_LEH 745 7th Avenue	177						

New York, NY 10019

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**Grend Total** 

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(\$713,532.84) \$15,028.84 (\$702,104.40)

09/10/2008	Account Number 100002371	Invoice Numbo		Payment Terms			Vincunt Due
			ЮВ	NET30		.(\$	702,104.40
	INET Access - T	osa):			\$1,600.00	\$134.00	\$1,734.0
	Total for Locati	on Number: 49377			\$1,500.00	\$134.00	\$1,734.0
ocation Number: 48 lite Name: INET_LER 45 7th Avenue lew York, NY 10019							
RET Access:		06/01/06 - 08/91/08		Pute Description	全医医 <b>电影行成</b> 12,000.00	572741467 3187.50	-
IX Port - Standard Conn		08/01/08 - 08/31/08		\$400-Per Unit /Per Month \$400-Per Unit /Per Morto	\$19,200.00		\$2,167.5 \$14,305.5
CH Port - Standard Con		08/01/08 - 08/31/08		1400-Per Unit /Per Month	\$3,200.00	3288.00	13,488.0
TCH PORT - Standard (		08/01/08 - 08/31/08	_	\$1000-per unit	42,000,00	8167.50	\$2,167.5
ther Charges - Tradelnik		03/01/03 - 06/31/08		495-per unit	\$190,00	515.91	\$205.9
UCH Port - Standard Co	•	08/14/03 - 08/31/08		ass-per unit 3400-Per Unit/Per Month	\$1,142.58	195.71	51,238,5
DCH Port - Standard Co		08/12/08 - 08/31/08		8400-Per Unit/Per Month	81,333,33	\$111.67	\$1,445.0
OUCH Port - Standard Co		08/01/08 - 08/31/03		5400-Per Unit/Per Month	\$19,200.00	81,608.00	\$20,808.0
OCH POR - SIZILIZIO CO	INET Access - To		40	SACO-PA CIRPPA MORIN	\$42,256.19	63,539,79	\$45,805.9
<b>Balancia</b>			onsenten	niis kaasaa aleen soom oo		. ,.	
ptions OROP Port - Stan		08/01/08 - 08/31/09		Rate Oeschotion 3	а.,700,00 <b>5-ир грези</b> ц) 8400,00	हरू हुन्। <b>धर्</b> क्क 833,50	रक्कर प्र <b>का</b> 1433∄
,	Options Access				\$400.00	\$13.50	1433.
	Total for Location	on Number: 49378			842,666.18	\$3,573.29	\$45,239.4
ite Name: INET_LRM 45 7th Avenue	379	on Number: 49378			842,666.18	\$3,573.29	\$48,239,4
its Mame: INET_LKM 45 7th Avenue lew York, NY 10019	379 IA Participant States Services	<b>Transcores</b> = 1880			<i>स</i> र्वे स्ट्रेस्ट स्ट्रेस स्ट्र	<u> </u>	62,231,66
ite Marne: INET_LKM 45 7th Avenue lew York, NY 10019 IET Access 322 C ROP Port - Standard Co	379 IA PORSE (本語) 可要な と言い nnection	2.2.4000	1 ;	\$400-Per Unit /Per Month	<b>ॅड्रिकेट रहे व्यक्ति के के</b> 8400.00	<u>्र</u> ्ड-ग्रह्माः १३५.५०	<b>323,000</b> 1433,6
Its Marne: INET_LKM 45 7th Avenue lew York, NY 10019 ROP Port - Standard Conne IX Port - Standard Conne	A79 IA Market (1997) Innection	08/01/08 - 08/01/08 08/01/08 - 08/01/08	1 4	\$400-Per Unit /Per Month \$400-Per Unit /Per Month	<b>ंट्रिक्ट्रेड्ड्डिड्डिड्डिड्डिड्डिड्डिड्डिड्डिड्डिड</b>	<u>्रिक्शी</u> (ब्रह्मिड्डि ६३३,50 187,00	322.0166 1433.9 1897.0
its Marne: INET_LKM 45 7th Avenue lew York, NY 10019 EP Access 322 CAR ROP Port - Standard Conte IX Port - Standard Conte ther Charges - Tradelinto	MA MA Experience Services Innection ection of or Equaties	08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08	1	\$400-Per Unit /Per Month \$400-Per Unit /Per Month \$95-per unit	<b>できる。1840年の第一</b> 8400.00 8200.00 8100.00	्रेड्डिडिडिड 839,50 887,00 815,91	\$3.750\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
its Marne: INET_LKM 45 7th Avenue lew York, NY 10019 EP Access 322 CAR ROP Port - Standard Conte IX Port - Standard Conte ther Charges - Tradelinto	MA MA Experience Services Innection ection of or Equaties	08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08	1	\$400-Per Unit /Per Month \$400-Per Unit /Per Month	<b>ंट्रिक्ट्रेड्ड्डिड्डिड्डिड्डिड्डिड्डिड्डिड्डिड्डिड</b>	<u>्रिक्शी</u> (ब्रह्मिड्डि ६३३,50 187,00	\$433.5 \$433.5 \$887.0 \$205.6 \$887.0
its Marne: INET_LRM 45 7th Avenue lew York, NY 10019 ROP Port - Standard Contact IX Port - Standard Co	AA  Innection  ofor Equalies  INET Access - To	08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08	1	\$400-Per Unit /Per Month \$400-Per Unit /Per Month \$95-per unit	<b>※受べる (84)6 (76)23</b> で 8400,00 \$200,00 \$190,00 \$2,480,00	\$39,50 \$87,00 \$15,91 \$87,00 \$183,41	3433.5 3433.5 3887.0 1205.8 3887.0 82,373.4
its Martie: INET_LRM 45 7th Avenue lew York, MY 10019 ET Access 1722 122 ROP Port - Standard Contact IX Port - Standard Contact ther Charges - Tradelinto UCH Port - Standard Co	AA	08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08	1	\$400-Per Unit /Per Month \$400-Per Unit /Per Month \$95-per unit	グ <b>ライン (多点) (10) (2) (2)</b> (2) (2) (2) (2) (2) (2) (2) (2) (2) (2)	\$39,50 \$37,00 \$87,00 815,91 \$87,00	\$207.66 \$433.6 \$867.6 \$205.6 \$867.6 \$2,073.6
its Marne: INET_LRM 45 7th Avenue lew York, NY 10019 IET Access 1222 IET Acces	AA	08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08	1	\$400-Per Unit /Per Month \$400-Per Unit /Per Month \$95-per unit	<b>※受べる (84)6 (76)23</b> で 8400,00 \$200,00 \$190,00 \$2,480,00	\$39,50 \$87,00 \$15,91 \$87,00 \$183,41	\$20,106 \$433.9 \$867.0 \$205.8 \$867.0 \$2,073.4
ROP Port - Standard Control IX Port - Standard Control Xher Charges - TradeInfo XUCH Port - Standard Control Cocation Number: 493 Site Name: INET_LHS 45 7th Avenue Iew York, RY 10019	AA	08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 xial.	1	\$400-Per Unit /Per Month \$400-Per Unit /Per Month \$95-per unit \$400-Per Unit/Per Month	\$400,00 \$200,00 \$190,00 \$2,490,00 \$2,490,00	\$32,50 \$87,00 \$15,91 \$87,00 \$183,41 \$183,41	\$433.4 \$433.4 \$867.6 \$205.6 \$2,073.4 \$2,073.4
its Marne: INET_LRM 45 7th Avenue lew York, NY 10019 ROP Port - Standard Contact IX Port - Standard Co	AA  Annection Afor Equation INET Access - To Total for Location B	08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 xial.	1 4 2 4 2 4	\$400-Per Unit /Per Month \$400-Per Unit /Per Month \$95-per unit	\$400,00 \$200,00 \$190,00 \$2,490,00 \$2,490,00	\$32,50 \$87,00 \$15,91 \$87,00 \$183,41 \$183,41	\$433.9 \$433.9 \$867.0 \$205.1 \$2,073.0 \$2,073.0
its Marne: INET_LRM 45 7th Avenue lew York, NY 10019 ROP Port - Standard Contact IX Port - Standard Co	AA  Annection Afor Equation INET Access - To Total for Location B	08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08 08/01/08 - 08/01/08	1 4 2 4 2 4	\$400-Per Unit /Per Month \$400-Per Unit /Per Month \$400-Per Unit /Per Month \$400-Per Unit /Per Month	\$400.00 \$400.00 \$190.00 \$2,490.00 \$7,190.00	\$39,50 \$87,00 \$15,91 \$87,00 \$183,41 \$183,41	\$433.5 \$887.0 \$205.8 \$887.0 \$2,373.4 \$2,373.4

Involce Date	Account Number	Invoice Number	Payment Terms	Amouni Due
09/10/2008	100002371	0908NTS047069	NET30	(\$702,104.40)

# Summary by Billing Component

CES Order Executions	â	85.00	\$9.00	\$5,
ad-ng Rights	1	1500.00	50.00	1500.
ROP Port - Standard Connection	7	12,600.00	\$234.50	13,034.
X Port - Standard Connection	39	\$15,600,00	\$1,305,50	\$16,900.
CH Port - Standard Connection	e	\$3,200,00	\$268,00	13,468.
FCH PORT - Standard Connection	2	12,000.00	\$187,50	\$2,167.
her Charges - Tredeinko for Equities	4	\$380.00	431.82	\$411.
JCH Port - Standard Connection	60	\$22,476.19	11,882.38	524,358.
NEX Usied Securities >= \$1 (Displayed) - ETF large	308,360,664	4888.445.93	\$0.00	3888.445
HEX Listed Securties >= \$1 (Displayed) - ETF xxxxx Charge	20,970,122	<b>18</b> 0,813.37	50.00	\$60,813
(EX Listed Securities >= \$1 (Displayed) - FRebata	203,504,477	(6592,103,89)	\$0.00	( <b>5</b> 832 <u>1</u> 03
IEX Listed Securities >= \$1 (Displayed) - sled Charge	15,773,609	345,743.49	\$0.00	145,743
AEX Listed Securices >= \$1 (Displayed) - ted Rebate	10,852,104	(\$33,734.52)	\$0.00	(133,734
AEX Listed Securities >= \$1 (Displayed) - sted Routed Charge AEX Specialist Fees and Directed Ordera - ETF	4.713,603	\$13,669.46 91,907,\$0	\$0.00 \$0.00	\$13,669 81,907
NEX Specialist Fees and Orected Orders - LET Specialist Fees and Orected Orders -	44.800	\$158,80	\$0.00	\$1,907
ited Charge isday Listed Securities >= \$1 (Displayed) -	612,643.348	31.777.246 29	\$0.00	\$1,777,246
C Charge adag Listed Securities >= \$1 (Displayed)	702,652,400	(\$1,987.428.72)	\$0,00	(\$1.987,42)
'C Rebate usdaq Listed Securities >= \$1 (Displayed) -	47,950,490	\$133,998.37	\$0.00	\$135,99
FC Routed Charge on-Oisplayed Liquiday Provided - ETF AMEX	4,260,220	(\$6,429,33)	\$0.00	(\$6,425
bale n-Diaplayed Liquidky Provided - Listed AMEX bale	476,123	(\$714.19)	60.00	(\$71-
n-Displayed Liquidity Provided - Listed NYSÉ bate	34,177,334	(851,266.00)	\$0,00	(651,266
n-Displayed Liquidity Provided - OTC Rebate	29,129,134	(\$34,692.21)	\$0.00	(\$34,692
/SE Listed Securities >= \$1 (Displayer) - sarge	825,484.797	\$1,813,847.90	\$0.00	\$1.813,847
/SE Listed Securities >= \$1 (Displayed) - bate	1.035.839.746	(\$2,800,351.29)	\$0.00	(\$2,800,351
/SE Listed Securities >= \$1 (Displayed) - wled Charge	79,207,231	3229,700 98	\$0.00	1229.100
her Charges - Closing Cross Billiable	99,138,459	949,558.23	\$0,00	\$49, <b>56</b> 8
ner Charges - Opening Cross Billable	17,938,178	\$6,9 <del>0</del> 9.09	\$0.00	\$0,989
G MMS Functionality - ARCA Routed SDAQ Charge Odd Lols Directed	62	\$0.41	\$0.00	1
G NMS Functionality - Oriented ISO AMEX arge	1,550	65.43	\$0.00	<b>6</b> :
G NMS Functionality - Directed ISO NASDAQ erge	361,534	81,285.37	\$0.00	31,26:
G NMS Functionality - Directed ISO Non- SOAQ ETF Charge	69,012	\$198,04	\$0.00	919
G NMS Functionality - Directed ISO NYSE args	113,636	9397.74 \$15.\$0	\$0.00 \$0.00	939
uked Oddfol Charges - MYSE OOTD PRI. Narge	1,550		50.00 50.00	B41
uting Fees For Non-ETFs Executed on the /SE - Blended Executions Charge	120.160	846.09	\$0.00	64

Invoice Oate 09/10/2008

Account Number 100002371 Payment Terms NET30 Invoice Number 0908NTS<u>047069</u> Amount Oue (\$702,104.40)

### Summary by Billing Component

Billing Comploment Disagription	Owner	Substotul	<b>李子子</b>	1. Jan 100
Routing Fees For Non-ETFs Executed on the NYSE - Liquidity Removed DOTD Charge	1,031,200	\$928.08	\$0.00	\$926.08
Routing Fees For Non-ETFs Executed on the NYSE - Re-Routed Charge	557,200	\$1,671.60	\$0.00	\$1,871.50
Securities < \$1 - COS AMEX Listed	1,581,763	31,581.79	\$0,00	\$1,581.79
Securities < \$1 - COS ETF Listed	124	40.12	\$0.00	\$0.12
Securities < \$1 - CQ\$ NYSE Listed	8,602	1a.60	\$0.00	18.66
Securities < 51 - Lisled AMEX Routed Charge	502,922	\$1,508.75	\$0.00	61,503.75
Securifies < \$1 - Listed NYSE Routed Charge	22,394	\$67.19	\$0.00	\$67.19
Securides < \$1 - OTC Charge	2,409,729	52,400.72	\$0.00	\$2,400.72
Securities < 51 - OTC Routed Charge	537,307	51,611.92	\$0.00	\$1,611.92
ACT/CTC  Fee	1	\$575.00	948.16	<b>\$623.16</b>
BRUT Fix Port	7	\$2,800.00	\$198.00	\$2,936,00
CTCI Addit Station	67	\$40,200.00	\$2,614,00	\$43,014.00
CTCI Opeon I 56kb Line MO	2	\$2,400.00	9194.50	\$2,584.\$0
CTCI Station	1	\$200.00	\$14.00	\$214.00
FIX Port	16	58,400.00	\$448.00	\$0,948.00
FIX Port - Other Services	3	\$1,500.00	5105,00	\$1,005.00
NTF CTCI Circus	1	\$1,100.00	50.00	\$1,100.00
NTF CTCI Tesi Time	1	61,165.28	\$0.00	£1,185.28
NTF FIX Test Time	1	54,495.32	\$0.00	64,495.32
QIX Port Pair	3	\$3,600.00	\$252.00	\$3,852,00
Options OROP Port - Standard Connection	í	3400.00	\$\$3,50	\$433.50
Added Liquidity	295	(\$88,50)	60.00	(188.10)
Removed Liquiday	14.550	56,547.50	\$0.00	\$8,547.50
Data Revenue Sharing - 20 2008	5	(\$153,139.01)	\$0,00	(\$169,139,01)
NASDAO Exchange Step Out Function	361	\$16,27	81.38	517.83
Trade Recording Charge	21,551,448	\$0.00	\$0.00	10.00
ACT - Risk Management Correspondents Monthly Senrice	3	<b>15</b> 1.75	\$4,32	\$58.07
ACT - Risk Management Sides Advity Fee	4,474,720	\$15,639.91	61,309.34	\$16,949.75
Tape B (AMEX) Revenue Sharing - 02 2006	2	(52.412.52)	\$0.00	(\$2,412.62)
NASDAG Workstation TRADER	25	\$11,875.00	\$331.25	\$12,700.25
Weblink ACT Trd Rpt, Scan, & RM	34	\$12,750.00	531.41	\$12,761.41
ACT Query (New)	4,609	\$2,404.50	\$201.38	\$2,605,88
NASDAQTrader - Broker/Dealer Data Basic Package Fee	4	1520.00	50.00	1520.00
NASDAOTrader - Broker/Dealer Promium Pockage Fee	6	\$980.00	\$0.00	4960,00
NASDAQTrader - SEC 31a Reports Fee	3	\$75.00	\$6,00	975.00
NASDAQTrader -Daily Market Participant Poston Report Plus	1	\$150.00	56.00	\$150.00
Net Order Imbalance Webs4e Professional (Tota/View)	1	\$70,00	\$0.00	\$70.00
ACT - Canos/Correct Fee	1,056	\$264.00	\$22.11	5288.11
ACT - Candel-Correct Fee (non-ng fished)	392	\$83.00	\$6.05	189.95
ACT - Compare/Accept	10,023,674	\$1,443,41	5120.58	\$1,564.29
ACT - Compare/Accept (non-nq listed)	7,246,005	\$1,043.43	587.39	\$1,130,82
ACT - Internalized Trade Reporting (non-nq taled)	1,710,481	\$1,332.47	8111,59	\$1,444.00
ACT - Locked In Trade - Qualified Special Representation (QSR) (non-ng fisted)	255,420	\$198.97	<b>3</b> 16,67	\$215,64
ACT - T+1/T+N	4,075	\$1,173.60	#98.23	\$1,271.89
ACT - T+1/T+N (non-ng listed)	3,0\$3	\$879.64	\$73.69	\$959.51
ACT Autolock Automated Give Up (AGU) - non-	1,924,457	\$1,499,16	\$125.55	\$1,624.71

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D

The NASDAQ Stock Market LLC Pg 98 of 160 Page 12 of

Laurada a Danta	A	I	D V	
Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
09/10/2008	( 100002371	0909NTS047069	NET30	(6700 404 40)
D381042000	100002311	09000113047003	NC130	(\$702,104.40)

12

## **Summary by Billing Component**

Blump Component Description	TOWN TO SEE SEE			
TRF Tape A (NYSE) Revenue Sharing - Q2-2008	5	(\$122,275.88)	\$0.00	(\$122,275.88)
TRF Tape 8 (AMEX) Revenue Sharing - Q2-2008	4	(\$27,507,42)	\$0,00	(\$27,507.42)
Grand Total		(3713,132.94)	\$11,028.54	(\$702,104,40)

## ACT Risk Management Correspondent Detail

ECONOMINATION SECONOMINATION SEE ESTABLIS 1,939,822 NO. B 26,976

Credit Invoice - DO NOT PAY

End of Invoice - NASDAQ

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 99 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
06/07/2008	100006909	0608MDD079946	NET15	\$57,993.26
	•		Amount Enclosed	<u> </u>
			\$	
			Please make checks payable to	
LEHMAN BR	OTHERS INC.		The NASDAQ Stock Market LLC	
ATTENTION	: MICHAEL LI		Lockbox 10200 / P.O. Box 3500	
70 HUDSON	STREET		Ph/sdelphia, PA 19178-0200	
10TH FLOOR	R		Overnight payments should be sent to	
JERSEY CIT	Y NJ 07302		Wachovia Bank / The NASDAO Stock I	darket LLC
			Lockbox #10200 / 401 Market Street	
			Philadelphia, PA 19106	

(PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)
Email Invoice questions to notop#ing@nasidagomu.com, call 800-935-3898 or 301-678-4660, or fax 301-978-4910

Please send Wires and ACH payments to: Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUSSNNYC Account #: 2000031405177 Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, NY 10017 Beneficiary: The NASDAQ Stock Markel LLC OBI: Please include your invoice number, and if paying multiple

invoices, pleasa separata your invoice numbers with a comma

All fegal correspondence must be directed to: Nasdaq Office of General Counsel, 9500 64ackwell Road, 3rd Floor, Rockville, MD 20850

Correspondence; Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaqorru.com. Note: Do not include these remail your payment to the tookbox. address, as this will result in a delay in processing your request.

Location Address: 3 WFC - 11TH FL 200 VESSEY STREET **NEW YORK NY 10285** 

ifondige 11728 12							)હરા
Service Mor	th: May-08						
	Lehman - External TotalView Dist Fee	YolaNiew External Distributor	1	\$2,500.00 per month	\$2,500,00	\$209.38	\$2,709.28
Jul-05	Nasdaq Index Dissemination Snc Direct Access Fee	Real Time Index Direct Access	1	<b>8500</b> ,00 per month	\$500.00	\$41,86	\$541.88
Jul-05	Nasdaq Total/New Direct Access Fee	TotalView Direct Access	1	52,000 per month	12,000.00	\$187.50	\$2,167.50
	Nasdaq Index Fee - External	Real Time Index External Distributor Fee	1	\$1,500.00 per month	\$1,500,00	\$0.00	\$1,500.00
Jan-08	Lehman - Daily List	NASDAOTrader - NASDAO Daily List	1	\$500,00/mo	6500.00	841,68	\$541.88
Apr-07	Haudaq OpenView Direct Access Fee	OpenView Direct Access	1	\$1,000 per monči	\$1,000.00	\$83,75	\$1,063.75
Apr-07	Lehrtan - External OpenView Dist Fee	OpenView External Ostributor	1	\$1,250 per month	\$1,250,00	\$104.69	81,354.69
30-nst	NASDAQ Index Weightings and Components	NASDAOTrader - NASDAQ Indices Component and Weighting Daily	1	\$300,007 month	\$300.00	125.13	5325,13
May-06	Lehman Brothers Internal - Level 1	Level 1 Professional	885	620.00 per unit/month	\$17,300.00	\$1,448.68	610,749.08
May-08	Lehman Brothers Internal - Level 2- OpenView	Level 2-OpenView Basic Bundled Pro	21	\$38.00 per unit/month	4755,00	\$63,33	1819.32
Mpy-08	Lehman Brothera Tolef/New	Total/New-Open/New Burntled Professional	290	\$76,00 per uni/month	\$22,040.00	91,845,05	\$23,385.65

08-13555-mg Doc The NASDAQ Stock Market LLC Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Pg 100 of 160

Exhibit D Page 2

Invoice Date 06/07/2008

Account Number 100006909

Invoice Number 0608MDD079946

Payment Terms NET15

Amount Due \$57,993.28

Service Location Subtotal

H9,848.00 H,032,26 853.678.26

Location Address: 25 BANK STREET LONDON E14 5LE

**Current Charges** 

Service Month: May-08

May-08

Lehman-UX66070- Review Level 1 United

Level 1 Professional

130 \$20,00 per uniVmonth 42,600.00

\$2,600.00

Service Location Subtotal

\$2,600.00 \$0.00 \$2,600.00

Location Address: 6-10-1 ROPPONGI 31F ROPPONGI HILLS MORI TOWER MINATQ-KU 106-6131

Kingdom

**Current Charges** 

Service Month: May-08

Lehman- JP19075- Reviers Level 1 Japan May 08

Level 1 Professional

26 \$20,00 per und/month 8560.00

10.00

5560,00

Service Location Subtotal

\$560.00 00.00 \$560.00

**Location Address:** PIAZZA DEL CARMINE, 4 MILANO MI 20121

**Current Charges** 

Service Month: May-08 \$20.00 per \$20.00 528.00 Lehman- IT30128- Reuters Level 1 Italy Level 1 Professional \$0.00 May-08 uni/mosth

Location Address: RATHENAUPLATZ 1-3 FRANKFURT AM MAI 60313

**Current Charges** 

Service Month: May-08

May-08

Germany

Lehman, FD60046- Reuters Level 1

Service Location Subtotal

Level 1 Professional

\$20,00 per un//month 120.00

\$20,00

\$0,00

\$0.00

120.00

\$20.00

Service Location Subtotal

\$20,00 00.00 \$20.00

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55

The NASDAQ Stock Market LLC Pg 101 of 160 Pg 101 of 160

Exhibit D

Invoice Date 06/07/2008

Account Number 100006909

Invoice Number 0608MDD079946 Payment Terms NET15

Amount Oue \$57,993.26

Location Address: WINCHESTER BUILDING OFF HIGH STREET HIRANANDANI BUSINESS PARK POWAI MUMBI 400 076

**Current Charges** 

heiden Logi	with country and a second	on Company	Ž	income in the last	e Popular	103	10.71
Service Mont	h: May-08						
May-08	Lehman- Mumbia- Reuters Level 1	Level 1 Professional	6	\$20,00 per unit/month	\$120.00	\$0.00	\$120,00

Service Location Subtotal

\$120,00 00.00 \$120,00

Location Address: PLATINUM BUILDING 21 HA'ARBA'A STREET **TEL AVIV 64739** 

**Current Charges** 

From Upg Ropoles Service	Month: May-08			kata basahata		720°	Jest
May-08	Lehman - Tel Aviv- Reutera Level 1	Level 1 Professional	1	\$20,00 per uni\/month	\$20.00	\$0,00	\$20.00
	Service Location Subtotal				120.00	\$0.00	\$20.00

Location Address: 27 COMMERCE DRIVE CRANFORD NJ 07018

**Current Charges** 

diamU depart Service	j =  dijorutice:  b.//ne. Month: May-08		erry to two		i juni	Tori
Dec-07	Filler View Fee	FiterView Dataleed	1 \$500 Per Month	4500,00	\$35.00	1515.00
	Service Location Sub	tola)		\$500.00	635.00	\$535.00

Location Address: 2 INTERNATIONA FINANCE CENTRE **8 FINANCE STREET 25 FLOOR** HONG KONG

Meditriza Regit	andres. 1981 - Le Ropoli, de la compansa (1994) de la compansa de la compansa de la compansa de la compansa de la comp									
Service	Month: May-08									
May-08	Lehman Hong Kong Level 1	Level 1 Professional	20 520.00 per มกับกวกติ	\$400.00	\$9.00	\$400,00				
	Service Location Subtotal			3400.00	\$0,00	\$400.00				

Invoice Date Account Number 06/07/2008 100006909

Invoice Number 0608MDD079946 Payment Terms NET15

Amount Due \$57,993.26

**Location Address:** NO. 7, SUNGREN ROAD SHIN-YI DISTRICT TAIPEL 110(TW)

**Current Charges** 

Proprieta (Paper) Report	Constitues to tens	e of the due translation	e ψ y y y y		100	Total Control
Ma <del>y-</del> 08	Lehman Teipei Level 1	Level 1 Professional	2 \$20.00 per uniVmonth	<b>\$</b> 40,00	\$0.00	\$40.00
	Service Location Subtotal			\$40.00	50.00	640,00
	Grand Total			\$53,926.00	\$4,067.26	\$57,893.26

End of Invoice - NASDAQ

The NASDAQ Stock Market LLC Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pa 103 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
07/07/2008	100006909	0708MDD097531	NET15	\$57,760.84
			Amount Enclosed	•
			\$	
	OTHERS INC. MICHAEL LI STREET		Please make checks payable to The NASOAO Stock Market LLC Lockbox 10200 / P.O. Box 8500 Philadelphia, PA 19178-0200	
10TH FLOOF JERSEY CIT	•		Overnight payments should be ser Wachovia Bank / The NASOAQ St Lockbox #10200 / 401 Market Stre Philadelphia, PA (9106	tock Market LLC

# (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to indobting@nasdagomx.com, call 800-955-3698 or 391-978-4960, or lax 301-978-4910

Please send Wires and ACH payments to: SWAFT # PNBPUS3KNYC Bank Name: Wachovia Bank, N.A. Account #: 2000031405177 Fed Wire ABAJ 031201487 Bank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, NY 10017 Beneficiary: The NASDAQ Stock Market LLC OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

All legal correspondence must be directed for Masdag Office of General Courset, 9800 Blackwell Road, 3rd Floor. Rockville, MD 20850

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaqomx.com. Hote: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

Location Address: 3 WFC - 11TH FL 200 VESSEY STREET **NEW YORK NY 10285** 

if allers							(CP)
Service Mor	rth: Jun-08						
	Lehman - External TotaMaw Dist Fee	TotalMew External Distributor	í	\$2,500.00 per month	\$2,500.00	\$209,33	\$2,709.38
Jul-05	Napdag Index Dissentination Svc Direct Access Fee	Real Time Index Direct Access	1	1500.00 per month	\$500.00	\$41.88	6541,88
Jul-05	Naudeq TotalView Direct Access Fee	TotalView Direct Access	1	<b>\$2,000</b> per month	\$2,000.00	8167.50	\$2,167.50
	Nasdaq Index Fee - External	Real Time Index External Distributor Fee	1	51,500.00 per month	\$1,500.00	50.00	\$1,500,00
Jan-08	Lehman - Daily List	NASDAOTrader - NASOAQ Daily List	1	8500.00/mo	1500.00	341,88	4541.68
Apr-07	Nasdaq OpenView Direct Access Fee	OpenView Offect Access	•	\$1,000 per month	\$1,000.00	183.75	\$1,089.75
Ари-07	Lehman - External OpenView Dist Fee	OpenView External Distributor	1	\$1,250 per การกลัก	\$1,250,00	\$104,69	\$1,354.69
Jan-08	NASDAQ Index Weightings and Components	NASDAQTrader - NASDAQ Indices Component and Weighting Daily	í	\$300.00/ month	\$300.00	625.13	\$325.13
Jun-08	Lehman Brothers Internal - Level 1	Level 1 Professional	688	\$20,00 per uniVmonth	\$17,380.00	\$1,450,90	\$19,813,90
Jun-08	Lehman Brothers Internal - Level 2- OpenVsew	Level 2-OpenView Basic Bundled Pro	16	\$38.00 per uniVmonth	\$576,00	\$46.24	\$624.24
Jun-08	Lehman Brothers TotalView	TotalView-OpenView Bundled Prolessional	289	\$76,00 pet unit/month	\$21,964,00	61,839,49	\$23,803.49

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The NASDAQ Stock Market LLC Pg 104 of 160

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Invoice Date 07/07/2008

Account Number 100006909

învoice Number 0708MDD097531 Payment Terms NET15

Amount Due \$57,760.84

Service Location Subtotal

849,450.00 \$4,015.84 \$53,465.84

Location Address: 25 BANK STREET LONDON E14 5LE

Current Charges

raise Legistro	ಗ್ರಾಭಕ್ಕ ರತ್ನಿರಾಕ್ಷರಾಕ್ಷಕ್ಕೆ ನಿರ್ದೇಶ	rings (City) (Su	O. O.	West Trans	n Sagaria	100	10/13
Service	Month: Jun-08						
Jun-68	Lehman-UK66070- Reuters Level 1 United Kingdom	Level 1 Professional	126	\$20.00 per unë/month	\$2,520.00	\$0,00	\$2,520.00
	Service Location Subtotal				12,520.00	60.00	\$2,520.00

Location Address: 6-10-1 ROPPONGI 31F ROPPONGI HILLS MORI TOWER MINATO-KU 106-6131

Current Charges

Frankling.	COMMING TO COME SERVICE	indicated actions.	e Com	Feirung.	a_8900 <b>0</b> 0	# W	10701
3ervice	Month: Jun-98						
Jun-08	Lehman- JP19075- Reuters Level 1 Japan	Level 1 Professional	28	520,00 per uni∀month	3560.00	\$0.00	\$560.00
				0.501.00101			
	Service Location Sublotal				\$560.00	60.00	\$560.00

Location Address: PIAZZA DEL CARMINE, 4 MILANO MI 20121

**Current Charges** 

i de Constantina. Propie	- 18(8) 01 (12 (12 (13 (13 (13 (13 (13 (13 (13 (13 (13 (13	PARTIE NO.	,240000 <i>n</i> 6550	greddy, term	Tir	16,00
Service I	Month: Jun-08					
Jun-08	Lehman- IT30126- Reviers Level 1 (la)y	Level 1 Professional	1 \$20,0 uriVn		\$0.00	120.00
	Service Location Subtotal			\$20,00	\$0.00	\$20.00

Location Address: **RATHENAUPLATZ 1-3** FRANKFURT AM MAI 60313

Favis Units Riposi Service	Month: Jun-08			(Barriera de Carriera		000 1000 0000 1000	1900
Jun-08	Lehman-F060048- Reuters Lavel 1 Germany	Level 1 Professional	1	120.00 per uniVmonUh	\$20.00	\$0.00	\$20.00
	Service Location Subtotal				120.00	\$0.00	\$20,00

The NASDAQ Slock Market LLC

Filed 10/03/08 Entered 10/03/08 15:54:55 Pg 105 of 160

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4

Invoice Date Account Number 07/07/2008 100006909 Invoice Number 0708MDD097531 Payment Terms
NET15

Amount Due \$57.760.84

Location Address: WINCHESTER BUILDING OFF HIGH STREET HIRANANDANI BUSINESS PARK POWAI MUMBI 400 076

#### **Current Charges**

ing U ( Marie	and smith thinks a see		0.7	Riographs	e sancer.	0	)6 <u>(2)</u>
Service	Month: Jun-08						
Jun-08	Lehman-Mumbia-Reutere Level 1	Level 1 Professional	6	520.00 per unit/month	\$120,00	\$0.00	\$120.00
	Service Location Subtotal				5120.00	60.00	6120.00

Location Address: PLATINUM BUILDING 21 HA'ARBA'A STREET TEL AVIV 84739

#### **Current Charges**

Trandica Repail: Service N	io political book and a second				i Programa	yinz	102
Jun-03	Letymen- Tet Aviv- Reuters Level 1	Level 1 Professional	î	\$20.00 per uniVmontn	\$20.00	50.00	\$20.00
	Service Location Subtotal				\$20.00	60.00	\$20,00

Location Address: 27 COMMERCE DRIVE CRANFORD NJ 07016

# Current Charges

Report Service	18 per Manadistra Con Month: Jun-08		(	STEEL ST	e gene	हिंदु
Dec-07	Filler View Fee	FiterView Datafeed	1 \$500 Per Month	6500.00	\$35.00	\$535.00
	Service Location Sub	Kotal		\$500.00	136.00	\$435,00

Location Address: 2 INTERNATIONA FINANCE CENTRE 8 FINANCE STREET 25 FLOOR HONG KONG

ron User Problem Service	Month: Jun-08	Leogic Deprivation (C.)	nata			100€	
Jun-03	Letyman Hong Kong Level 1	Level 1 Professional	20.00 per mismonth	8400.00	\$0.00	5400.00	
	Service Location Subtotal			\$400.00	\$0.00	\$400.00	

The NASDAQ Stock Market LLC 636-4

Pg 106 of 160

Filed 10/03/08 Entered 10/03/08 15:54:55

Exhibit D

Invoice Date Invoice Number Payment Terms Account Number Amount Oue 0706MDD097531 07/07/2008 100006909 \$57,760.84 NET15

Location Address: NO. 7, SUNGREN ROAD SHIN-YI DISTRICT TAIPEL 110(TW)

# Current Charges

						<del></del>
	Service Location Subtotal			\$40,00	\$0,0\$	\$40.00
Jun-03	Lehman Taipel Level 1	Level 1 Professional	520,00 per un4/month	\$40.00	\$0.00	\$49.00
Service	Month: Jun-08					<u> </u>
From Ura Report					ŪC3	16:15

Location Address: LEHMAN BROTHERS DO BRAZIL LTO. AV BRIGADEIRO FARIA SAN PAOLO

# **Current Charges**

ifeiosia Rozu	2 00 m 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		0,15	0.0	in and the	es jarok	(ME)
Service I	Month: Jun-08						
Jun-08	BR42191 - Level 1 - Reuters - Internal	Level 1 Professional	3	520,00 per uniVmonth	\$60.00	\$0.00	\$60.00
	Service Location Sublotal				\$60.00	10.00	160.00
	Grand Total				\$53,710.00	64,060,84	\$57,760.84

End of Invoice - NASDAQ

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D The NASDAQ Stock Market LLC Pg 107 of 160 Pg 107 of 160

Invoice Date	,		Payment Terms	Amount Due
08/07/2008	100006909	0808MDD017864	NET15	\$59,141.63
,,	₹		Amount Enclosed  Please make checks payable to The NASOAQ Stock Market LLC Lockbox 10200 / P.O. Box 8500 Pritadelphia, PA 19178-0200  Overnight payments should be sent to Wachovia Bank / The NASOAQ Stock II Lockbox #10200 / 401 Market Street Philadelphia, PA 19106	Market LLC

#### $x_{ij} = x_{ij} = x$ (PLEASE DETACH REMITTANCE ADVICE ABOVE AND BEND IT WITH YOUR PAYMENT)

Email (mysice questions to notobiliting@nasdagomx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910

Please send Wires and ACH payments to. SYMPT # PNBPUS NNYC Banx Name: Wachovia Bank, N.A. Account #: 2000031405177 Fed Wire ABA# 031201467 Bank Address: New York, NY 10317 ACH ABA# 026012881 Bank Address: New York, NY 10017 Beneficiary: The NASDAQ Stock Market LLC OBI: Please include your involce number, and if paying multiple

invoices, please separate your invoice numbers with a comma-

All legal correspondence must be directed to Nasriag Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockvelle, MD 20850

Correspondence: Send all address corrections and billing inquiries, via email to; nolphilling@nasdagomx.com. Note: Op not include these items with your payment to the lockbox address, as this will result in a detay in processing your request.

Location Address: 3 WFC - 11TH FL 200 VESSEY STREET **NEW YORK NY 10285** 

Service Mor	hours and other than the second		27	STORES OF		(L) is	leagr.
Selaice wo	Lehman - External Total Yew Dist Fee	ToteMew External Distributor	1	\$2,500.00 per month	\$2,500.00	\$209,38	\$2,709.33
Jul-05	Nasdaq Index Dissemination Svc Direct Access Fee	Real Time Index Direct Access	1	8500.00 per month	\$500.00	\$41.88	\$541.88
1ul-05	Haadaq TotaMew Direct Access Fee	TotalView Oired Access	1	\$2,000 per month	12,000,03	\$167,50	\$2,167.50
	Naszlag Index Fee - External	Real Time Index External Distributor Fee	ı	\$1,500,00 per month	\$1,500.00	\$0.00	\$1,500,00
Jan-08	Lehman - Daily List	NASDAQTrader - NASDAQ Qally List	í	\$500.00/mo	8500,00	\$41.88	\$541.88
Apr-07	Nasdaq OpenView Direct Access Fee	OpenView Direct Access	í	\$1,000 per month	\$1,000,00	\$83.75	\$1,083.75
Apr-07	Lehman - External OpenView Dist Fee	OpenView External Distributor	1	\$1,250 per month	\$1,250.00	\$104,69	\$1,354,69
Jan-08	NASDAQ Index Weightings and Components	NASDAQTrader - NASDAQ Indices Component and Weighting Cally	1	\$300.00/ month	\$300.00	\$25,13	\$325,13
\$0 <b>-</b> [0].	Historica) (TCH	NASDAQTrader - Historical ITCH Data Product	1	\$1000.00,94onth	\$1,000.00	183.75	\$1,083,75
Jul-08	Lehman Brothers Internal - Level 1	Level 1 Professional	888	\$20,00 per unit/month	£17,320.00	81,450.55	\$18,770,55
\$0-LU\$	Lehman Brothers Internal - Level 2- OpenView	Level 2-OpenView Basic Bundled Pro	15	\$38.00 per unäl/month	\$848,00	454,27	\$702.27

The NASDA 08 13555 mg to CK Market DC 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55

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Exhibit D

Invoice Date 08/07/2008

Account Number 100006909

Invoice Number 0808MDD017864

Payment Terms NET<sub>15</sub>

Amount Due \$59,141.63

Jul-06

Lehman Brothers ToleMew

TotalView-OpenView Bundled Professional

290 \$76.00 per un//month

\$22,040,00 \$1,845.85 123,885,85

Service Location Subtotal

150,659.00 \$4,108.63

Location Address: 25 BANK STREET LONDON E14 5LE

**Current Charges** 

Service Month: Jul-08

Jul-03

Lehman-UK66070- Reuters Level 1 United Kingdom

Level 1 Professional

139 \$20.00 per uni/month 12,760.00

\$0.00

12,760.00

154,666,63

Service Location Subtotal

\$2,780,00 10.00

\$2,780.00

Location Address: 6-10-1 ROPPONGI 31F ROPPONG! HILLS MORI TOWER MINATO-KU 106-6131

**Current Charges** 

RODER - TAKE ROSSINING Service Month: Jul-08

Ju4-08 Lehman- JP 19075- Reuters Level 1 Japan

Level 1 Professional

\$20,00 per uniVmonth.

8560,00

10 00

00.00

8560.00

Service Location Subtolal

1560.00

\$560,00

520.00

Location Address: RATHENAUPLATZ 1-3 FRANKFURT AM MAI 60313

**Current Charges** 

ing a land the second s

Service Month: Jul-08

80-luL

Lehman- FD60048- Reuters Level 1

Level 1 Professional

\$20.00 per uni/month.

\$20,00

\$0.00

Service Location Subtotal

\$20.00 00.02 \$20.00

**Location Address:** WINGHESTER BUILDING OFF HIGH STREET HIRANANDANI BUSINESS PARK POWALMUMBI 400 076

Germany

**Current Charges** 

Jul-08

Service Month: Jul-08

Lehman- Mumbia- Reuters Level 1

Level 1 Professional

\$20,00 per นกวิวัตอกให \$50.00

\$60,00

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Filed 10/03/08 Entered 10/03/08 15:54:55

Exhibit D Page 3

Invoice Date 08/07/2008

Account Number 100006909

Invoice Number 0808MDD017884

Payment Terms NET15

Amount Due \$59,141.63

Service Location Subtotal

160.00 \$0.00 \$60.00

**Location Address:** PLATINUM BUILDING 21 HA'ARBA'A STREET **TEL AVIV 64739** 

#### **Current Charges**

Securities   Chemical Security   Securities   Securities		Cour interest of	egiláti "	ŤŒ	TOD C
Service Month: Jul-08					
Juf-08 Lehman- Tel Aviv- Reuters Level 1	Lavel I Professional	1 \$20.00 per un#/month	\$20.00	60.00	\$20,00
Service Location Subtotal			120.00	\$0.00	620.00

Location Address: 27 COMMERCE DRIVE CRANFORD NJ 07016

#### **Current Charges**

George Commence of the Commenc	į
44 41 4 4 4 4	

Service Month: Jul-08

Dec-07 Filter View Fee FillerView Datafeed

1 4500 Per Month

\$500.00

\$35,00

5535,00

Service Location Subtotal

\$500.00 \$35.00 1515.00

Location Address: 2 INTERNATIONA FINANCE CENTRE **B FINANCE STREET 25 FLOOR** HONG KONG

#### **Current Charges**

From U.S. IV. Service	Month: Jul-08	Pacola Pote (1970)	e Carrilly			₹ <b>1</b> 0 (1	en
Jul-03	Lehman Hong Kong Level (	Level 1 Professional	20	120.00 per uni∜mon€n	\$400.00	\$0.00	5400.00
	Service Location Sublota)				9400.00	\$0.00	\$409.00

Location Address: NO. 7, SUNGREN ROAD SHIN-YI DISTRICT TAIPEL 110(TW)

Current C	harges					
Report P	Report to the control of the control		Compression and		i sine	
Jul-08	Lehman Taipei Levei 1	Level 1 Professional	2 520.00 per unit/month	\$40,00	\$0.00	\$40.00
	Service Location Subtotal	l		£40.00	10.00	\$40.00

Pg 110 of 160

Invoice Date Payment Terms Amount Que Invoice Number Account Number 08/07/2008 0808MDD017864 \$59,141.63 100006909 NET15

Location Address: LEHMAN BROTHERS DO BRAZIL LTD. AV BRIGADEIRO FARIA SAN PAOLO

#### Current Charges

	Month: Jul-08		Com	evering.	1 1800E.	New York	160 <u>j</u>
60-h)L	BR42191 - Level 1 - Reviers - Internal	Level I Protessional	3	\$20.00 per uni Vmonth	\$80.00	\$0.00	\$60.00
	Service Location Subtotal				\$60,00	\$0.00	\$50.00
	Grand Total				\$54,998.00	\$4,143.63	\$59,141.63

End of Invoice - NASDAQ



NASDAD Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 111 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due		
09/07/2008	100006909	0908MDD039608	NET15	\$58,233.57		
<del></del>		<u> </u>	Amount Enclosed			
			\$			
			Please make checks payable to			
LEHMAN BE	ROTHERS INC.		The NASDAQ Stock Market LLC			
	: MICHAEL LI		Lockbox 10200 / P.Q. Bex 6500			
70 HUDSON			Philadelphia, PA 19178-0200			
10TH FLOO	R		Overnight payments should be sent to			
JERSEY CIT	Y NJ 07302		Wachovia Bank / The NASDAQ Stock &	Aarket LLC		
			Lockbox #10200 / 401 Market Street			
			Philadelphia, PA 19106			

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(PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to indobiling@nasdagomx.com, call 800-955-3898 or 301-976-4960, or fax 301-976-4910

Please send Wices and ACH payments to: SWIFT # PN8PUS3NNYC Bank Name: Wechovia Bank, N.A. Account #: 2000031405177 Fed Wkg ABA# 031201467 Bank Address: New York, NY 10017 ACH ASAJ 026012681 Bank Address: New York, NY 10017 Seneficiary: The Nasdaq OMX Group Inc. OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

All legal correspondence must be directed to: Nazdaq Office of General Courset, 9800 Blackwell Road, 3rd Floor, Rockylle, MD 20850

Correspondence: Bend all address corrections and billing inquiries, via email to: ndobiling@nasdagomx.com. Note: Do not include these items with your payment to the lockbox address, as this will result to a detay in processing your request.

**Location Address:** 3 WFC - 11TH FL 200 VESSEY STREET **NEW YORK NY 10285** 

#### Current Charges

Service Mon		Company of the Company				es nes	্যিক ≓
Service Mon	Lehman - External TotalView Dist Fee	TotalView Externel Distributor	1	\$2,600.00 per month	\$2,500.00	\$209.38	12,709.36
Jul-05	Nasdaq Index Disservination Svc Direct Access Fee	Real Time Index Direct Access	1	\$500.00 per mosth	\$500.00	141.88	\$541,63
Jul-05	Nasdaq Tolp/View Direct Access Fee	TotatView Direct Access	1	52,000 per month	12,000.00	\$167.50	\$2,167.50
	Nasdaq Index Fee - External	Real Time Index External Distributor Fee	1	51,500,00 per month	\$1,500.00	1125.63	\$1,625.63
Jan-03	Lehman - Oaily List	MASDAQTrader - NASDAQ Daily List	1	\$500.00/mo	1500.00	E41.88	\$541.88
Apr-07	Nasdaq OpenView Direct Access Fee	OpenView Direct Access	1	\$1,000 per month	\$1,000.00	183.76	\$1,089.75
Apr-07	Lehman - External OpenView Dist Fee	OpenWew External Distributor	١	\$1,250 per month	\$1,250.00	\$104.69	\$1,354.69
Jan-08	NASDAO Index Weightings and Components	NASDAQTrader - KASDAQ Indices Component and Weighting Davly	١	\$300,00V month	\$300.00	\$25.13	5325.13
1n <del> -</del> 08	Historical ITCH	NASDAQTrader - Historical ITCH Data Product	1	31000,005Month	\$1,000.00	\$63.75	\$1,083.75
Aug-08	Lehman Brothers Internal - Level 1	Level ) Professional	824	\$20.00 per uni?month	518,480.00	31,380.20	\$17,960.20
Aug-08	Lehman Brothers Internal - Level 2- OpenView	Level 2-OpenMew Basic Bundled Pro	19	<b>138.00 per</b> ยกไ <b>Vman</b> ฮา	\$684.30	857.29	5741 29

NASDÃO DAX Doc 636-4

Filed 10/03/08 Entered 10/03/08 15:54:55 Pg 112 of 160

Exhibit D

Account Number Invoice Date Invoice Number Payment Terms Amount Due 0908MDD039608 09/07/2008 100006909 NET15 \$50,233.57 Aug-05 Lehman Brothers ToleMew Total View-Open View Bundled

Professional

289 876,00 per untinonih

\$21,984.00 \$1,839,48 \$23,603,48

Service Location Subtotal

653,838,67 \$49,678.00 \$4,160.67

Location Address: 25 BANK STREET **LONDON E14 5LE** 

**Current Charges** 

Service Month: Aug-08 Lehman-UX66070- Reuters Level 1 United Level i Professional \$2,680.00 Aug-08 134 520.00 per \$2,880.00 Kingdom uni/morth

Service Location Subtotal

\$2,680,10 30.00 62,680.00

Location Address: 6-10-1 ROPPONGI 31F ROPPONGLHILLS MORI TOWER MINATO-KU 108-6131

**Current Charges** 

Report - Visit independence in the -Tier 70000Service Month: Aug-08 420.00 per Lehman- JP19075- Reviers Level 1 Japan Level I Professional 23 8550.00 \$0.00 3560.00 Aug-08 und/month Service Location Subtotal 3580.00 90,02 1560.00

Location Address: RATHENAUPLATZ 1-3 FRANKFURT AM MAI 60313

**Current Charges** 

್ಷಣ Service Month: Aug-08 Lehman- FD60048- Reviers Level 1 Level 1 Professional \$20.00 per \$20,00 \$0.00 \$20.00 Aug-08 иеу/шопъ Germany Service Location Subtotal 620.00 \$0.00 \$20.00

Location Address: WINCHESTER BUILDING OFF HIGH STREET HIRANANDANI BUSINESS PARK POWALMUMBI 400 076

**Current Charges** 

Aug-08

Service Month: Aug-08

Lehman- Mumbia- Reuters Level 1

Level 1 Professional

3 120,00 per uni/month \$60.00

\$0.00

\$60.00

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Filed 10/03/08 Entered 10/03/08 15:54:55

Exhibit D Page 3

4

Invoice Date

Account Number Invoice Number 100006909 0908MDD039608

Payment Temis NET15

Amount Due \$58,233.57

of

Service Location Subtotal

\$60.00 \$0,00 160.00

Location Address: PLATINUM BUILDING 21 HA'ARBA'A STREET TEL AVIV 64739

**Current Charges** 

09/07/2008

Opinant On	in Aca						
ingere.	· named and			uno Lieuta.		W. W.	icit
Service	Month: Aug-08						
Aug-06	Lehman- Tol Aviv- Reviers Level 1	Level 1 Professional	1	\$20,00 per unit/month	\$20.00	60.00	\$20,00
	Service Location Subtotal				\$20.00	\$0.00	\$20,00

Location Address: 27 COMMERCE DRIVE CRANFORD NJ 07016

**Current Charges** 

Tempo Report	e de la constant				TEX.	10.0
Service l	Month: Aug-08					
Dec-07	Filter View Fee	FiterView Datalead	1 \$500 Per Month	\$500.00	\$35.00	\$535,00

Service Location Subtotal

6500.00 6535.00 135.00

Location Address: 2 INTERNATIONA FINANCE CENTRE 8 FINANCE STREET 25 FLOOR HONG KONG

**Current Charges** 

Fic. (C) (C) Service	Month: Aug-08						1G.7
Aug-03	Lehman Hong Kong Level 1	Level ) Professional	30	120.00 per uniVman8i	6400,00	10.00	\$400.00
	Service Location Subtotal				\$400.00	\$0.00	\$400.00

Location Address: NO. 7, SUNGREN ROAO SHIN-YI DISTRICT TAIPEL 110(TW)

Current Charges

Gervice	Report 200 License	Professional Profession	Acous undirec		ž šim.	A TOP II
Aug-03	tehman Teipei Level 1	Level 1 Professional	2 \$20,00 per unit/month	540.00	<b>FO 00</b>	\$40.00
	Service Location Subtotal			\$40,00	\$0.00	£40.00

## NASDAD Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 114 of 160

Invoice Date Account Number Invoice Number Payment Terms Amount Due 09/07/2008 100006909 0908MDD039608 NET15 \$58,233.57

Location Address: LEHMAN BROTHERS DO BRAZIL LTD. AV 8RIGADEIRO FARIA SAN PAOLO

**Current Charges** 

Repuil:	ROMANIA SERVICE DESCRIPTION	S 1000 a December of the San	9.00	LL DE LE		The.	Negli
Au <b>g</b> -08	BR42191 - Level 1 - Reviers - Internal	Level 1 Professionar	3	\$20,00 per uniVmonth	\$60,00	\$0.00	\$60.00
	Service Location Subtotal				\$50.00	10.00	150.00

Location Address: 5 TEMASEK BOULEVARD #11-01 SUNTEC TOWER \$ SINGAPORE 038985

#### **Current Charges**

record to the state of the stat							
Service I	Month: Aug-08						
Aug-03	Lehman Level 1 Singapore	Level 1 Professional	1	\$20.00 per uniVmonth	120.00	\$0.00	<b>32</b> 0.00
	Service Location Subtotal				00.05	19.60	\$20.00
	Grand Total				654,038.00	\$4,195.57	\$58,233.57

End of invoice - NASDAQ



#### Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 115 of 160

		1	1		
Invoice Date	Account Number	Invoice Number	Payment Terms		Amount Due
03/10/2008	100071129	_0808PHLX022698	NET30		\$28,656.12
		•		Amount I	Enclosed
				\$	
LERMAN BROTKERS INC. ATTENTION TO: ROBERT PHILLIP 70 HUDBON STREET, 7TH FLOOR, FIRM BALANCING DEPARTMENT JERBEY CITY NJ 07302				Philadelphia St Lockbox 20700 P.O. Box 8500 Philadelphia, P Overnight payr	o A 19178-0700 nents should be sent to k / Philisdelphia Stock est oo

### (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to indiplifting@nasdagorux.com, call 800-955-3698 or 301-978-4960, or fax 301-978-4910

\*\*Wire Originator to Pay All Bank Fees\*\*

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYC

Account #: 2000031405177

Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, NY 10017

Beneficiary: Philadelphia Stock Exchange

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
08/10/2008	100071129	0808PHLX022698	NET30	\$28,656.12
Reference PHLX Accou	int Number: 173			
Item/Description		Period Covered	Quantity	Amount
Real Time Risk Mgml Fed	eđ	7/1/08 - 7/31/08	57374	3143.44
Option Transaction Char	ge	7/1/08 - 7/31/08	57374	\$10,901.06
Option Comparison Chg-	Opt Fir	7/1/08 - 7/31/08	57374	\$1,721.22
PFOF Rebate		7/1/08 - 7/31/08	1	(\$477.75
Payment for Order Flow I	ree .	7/1/08 - 7/31/08	35850	\$15,368.15
Grand Total				\$28,656.12

#### ALL FEES ARE PAYABLE IN U.S. DOLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

To view additional details for your NASOAO execution (ranaactions, please visit http://myphbt.com, and enter your firm's existing user and password information.

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaqomx.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasday Office of General Counsel, 9800 Bfackwell Road, 3rd Floor, Rockville, MO 20850

End of Invoice-NASDAQ



#### Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 116 of 160

Invoice Date 09/10/2008	Account Number 100071129	Invoice Number 0908PHLX049919	Payment Terms NET30		Amount Due \$19,217.47
				Amount I	Enclosed
LEHMAN BROTHERS INC. ATTENTION TO: ROBERT PHILLIP 70 HUDSON STREET, 7TH FLOOR, FIRM BALANCING DEPARTMENT JERSEY CITY NJ 07302		BALANCING DEPARTMENT		<b>\$</b>	

## (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email (nyoice questions to ndqbifking@nasdagomx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910

Invoice Oate 09/10/2008	Account Number 100071129	(nyoice Number 0908PHLX049919	Payment Terms NE <u>T</u> 30	Amount Due \$19,217.47
Reference PHLX Acco Clearing Firm Reference				
item/Deacription Option Comparison Chg	-Opt Fir	Period Covered 8/1/08 - 8/31/08	Quantity 35383	Amount \$1,061.49
Option Transaction Cha	rge	8/1/06 - 8/31/08	35383	\$8,722.77
PFOF Rebate		7/1/08 - 7/31/08	1	(\$407.60
Payment fer Order Flow	Fee	8/1/08 - 8/31/08	24693	\$11,752.35
Real Time Risk Mgmt Fo	eed	8/1/08 - 8/31/08	35380	388.46
Grand Total	រា			\$ (9,217.47

#### ALL FEES ARE PAYABLE IN U.S. DOLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

To view additional details for your NASDAO execution transactions, please visit http://myphix.com, and enter your firm's existing user and password information.

Correspondence: Send all address corrections and billing inquiries, via entail to: ndqbilling@nasdaqomx.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850

DO NOT REMIT PAYMENT, YOUR CLEARING ACCOUNT WILL BE CHARGED.

End of Invoice-NASOAQ



#### Doc.636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 117 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
08/10/2008	100071130	0808PHLX022699	NET30	\$986.584.97
		<u> </u>		Amount Enclosed
		_	\$_	
			Plex	ese make checks payable to
			Phil	adelphia Slock Exchange
				kbox 20700
	IDTHERS INC.			. Box 8500 adelohia, PA 19176-0700
	TO: ROBERT PHILLIP		"*	auctoria, FA 13110-0100
	STREET, 7TH FLOOR, FIRM E	MALANCING DEPARTMENT	Ove	might payments should be sent to
JERSEY CIT	Y NJ 07302			chovia Bank / Philadelphia Stock
				hange
				Market Street kbox # 20700
				adelphia, PA 19106

## (PLEASE OFTACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Email Invoice questions to adqbilling@nasdaqonur.com, call 800-955-3898 or 301-978-4980, or fax 301-978-4910.

"Wife Originator to Pay All Bank Fees":

Please send Wires and ACH payments to:

Bank Name: Wachovia Bank, N.A. SWIFT # PNBPU\$3NNYC

Account #: 2000031405177

Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 025012881 Bank Address: New York, NY 10017

Beneficiary : Philadelphia Stock Exchange

OBI: Please include your invoice number, and if paying multiple involces, please separate your invoice numbers with a comma-

Invoice Date 08/10/2008	Account Number 100 <u>0</u> 71130	Invoice Number 0808PHLX022699	Payment Terms NET30	Amount Due \$986,584.97
Reference PHLX Accou	rnt Number: 174			
Item/Description Equity Cross Trades Cap	Credit	Period Covered 7/1/08 - 7/31/08	Quan(Ity	Amount (\$26,546.03
Option Firm Trade Cap D	iscount	7/1/08 - 7/31/08	668937	(\$126,594.46
Port Fee Credit		7/1/08 - 7/3 (/08	í	(\$20,250.00
Real Time Risk Mgmt Fe	ed	7/1/08 - 7/51/08	3393740	\$8,484.35
Option Russell (Share Lic	Fee	7/1/08 - 7/31/08	(039	\$155.85
Option Transaction Charg	ge	7/1/08 - 7/31/08	2663263	\$462,679.81
Equity Trans Charge		7/1/08 - 7/31/08	1	\$37,743.90
KBW License Fee		7/1/08 - 7/31/08	4000	<b>\$</b> 400.00
Index Option Comparisor	n Chg	7/1/08 - 7/31/08	8233	\$329.32
Option Comparison Chg-	Opt Fir	7/1/08 - 7/31/08	2663263	\$89,848.6
Covered Sale Fee		7/1/08 - 7/31/08	1	\$1,282.20
WCO Transaction Charg	e	7/1/08 - 7/3 1/08	70	\$28.0
Broker-Dealer Fee		7/1/08 - 7/31/08	1	314,452.20
Index Option Transaction	Chg	7/1/08 - 7/31/08	8233	\$2,365.20
Port Fee		7/1/08 - 7/31/08	1	\$20,250.0
OPT NASO Full Lisence	Fee	7/1/08 - 7/31/08	45	84.5
PFOF Rebate		7/1/08 - 7/31/08	t	(\$13,315.3
RSQT Permit Credit		7/1/03 - 7/31/08	1	(\$1,200.0
Account Charge		7/1/08 - 7/31/08	1	\$100.0



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2

Invoke Date 08/1 <u>0/2008</u>	Account Number 100071130	Invoice Number 0808PHLX022699	Payment Terms NET30		Amount Due \$986,584.97
Trading Permits RSQT		7/1/08 - 7/31/08		1	\$1,200.00
Option Direct Wire		7/1/08 - 7/31/08		1	8120.00
Option Trading Post Ren	tal	7/1/08 - 7/31/08		1	8250.00
Option Trading Post Ren	tal	7/1/08 - 7/31/08		1	8250.00
Option Trading Post Ren	tel	7/1/08 - 7/31/08		1	8250.00
Option Trading Post Ren	tal	7/1/08 - 7/51/08		1	\$250.00
RSQT Fee - Category VI		7/1/08 - 7/31/08		1	\$9,200.00
CTCl Port Fee		7/1/08 - 7/31/08		1	\$1,800.00
Option Controller Space		7/1/08 - 7/31/08		1	\$250.00
Payment for Order Flow	Fee	7/1/08 - 7/31/08		1054372	\$522,798.85
Grand Tola	I				\$985,584.97

ALL FEES ARE PAYABLE IN U.S. DOLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

To view additional details for your NASDAQ execution transactions, please visit http://myphbt.com, and enter your firm's existing user and password Information.

Correspondence: Send all address corrections and billing inquiries, via email to; ndqbilling@nasdaqornx.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850

End of Invoice-NASDAQ



ng Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 119 of 160

Invoice Oate 09/10/2008	Account Number 100071130	Invoice Number 0908PHLX049920	Payment Terms NET30	Amount	Amount Due 688,682.76
LEHMAN BROTHERS INC. ATTENTION TO: ROBERT PHILLIP 70 HUDSON STREET, 7TH FLOOR, FIRM BALANCING DEPARTMENT JERSEY CITY NJ 07302				\$	-

## (PLEASE OFTACH REMITTANCE ADVICE ABOVE AND SENO IT WITH YOUR PAYMENT)

Email Invoice questions to adoptilling@nasdagomx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910

Invoice Date 09/10/2008	Account Number 100071130	Invoice Number 0908PHLX049920	Payment Terms NET30	Amount Du \$688,682.70
Reference PHLX Accou Clearing Firm Reference				
ttem/Description Account Charge		Period Covered 8/1/08 - 8/31/08	Quantity 2	Amour \$100.0
Broker-Dealer Fee		8/1/08 - 8/31/08	1	\$10,405.5
CTCI Port Fee		8/1/08 - 8/31/08	18	\$1,800.0
Covered Sale Fee		8/1/08 - 8/31/08	1	\$1.886.8
Equity Cross Trades Cap	Credit	8/1/08 - 8/31/08	1	(\$29,039.1
Equity Trans Charge		8/1/08 - 8/31/08	1	\$36,205.2
Index Option Companisor	1 Chg	6/1/08 - 6/31/08	25052	\$1,002.0
ndex Option Transaction	Chg	8/1/08 - 8/31/08	25052	\$9,088.8
Option Comparison Chg-	Opt Fir	8/1/08 - 8/31/08	1834502	\$62,344.
Option Controller Space		8/1/08 - 8/31/08	1	\$250,
Option Oirect Wire		8/1/08 - 8/31/08	6	\$120.
Option Firm Trade Cap D	iscount	8/1/08 - 8/31/08	436683	(\$82,256.
Option Russell (Share Lic	:Feo	8/1/08 - 8/31/08	821	\$130.
Option Trading Post Ren	lei .	8/1/08 - 8/31/08	4	\$1,000.
Option Transaction Char	je	8/1/08 - 8/31/08	1834502	\$321,570.
PFOF Rebate		7/1/08 - 7/31/08	1	(\$21,650.
Payment for Order Flow (	Fee	8/1/08 - 8/31/08	720472	\$360,860.
Роп F <del>ee</del>		8/1/08 - 8/31/08	1	\$20,250.
Port Fee Credit		6/1/08 - 6/31/08	1	(\$20,250.
RSOT Fee - Category VI		6/1/08 - 6/31/08	1	\$9,200.0
RSQT Permit Credit		8/1/08 - 8/31/08	I	(\$1,200.0
Real Time Risk Mgmt Fe	<del>e</del> d	8/1/08 - 8/31/08	2242443	\$5,606.
Frading Permits RSQT		8/1/08 - 8/31/08	1	\$1,200.
ACO Transaction Charge	3	8/1/08 - 8/31/08	128	\$51.
Grand Total				1688,662.



## Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 120 of 160

Page 2 of

2

Invoice Date	Account Number	Invoice Number	Payment Terms	Amount Due
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09/10/2008	100071130	0908PHLX049920	NET30	4600 000 70
06810/2008	1 100071130	USUSPICIENT UNBERG	LIGHT	\$688,682,76

To view additional details for your NASDAQ execution transactions, please visit http://myphtx.com. and enter your firm's existing user and password information.

Correspondence: Send all address corrections and billing inquiries, via email to: ndqbilling@nasdaqonx.com. Note: Do not include these items with your payment to the lockbox address, as this will result in a delay in processing your request.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9500 Blackwell Road, 3rd Floor, Rockville, MD 20850

OO NOT REMIT PAYMENT. YOUR CLEARING ACCOUNT WILL BE CHARGED.

End of Invoice-MASDAQ





Involce #: EXSLEHM0805 Period: 04/28/08 - 05/27/08

Date: 06/10/08 Account #: 100045976

Please help us ensure that your firm's contact information is current. If your firm has moved, changed personnel, or changed phone numbers and/or email addresses, please contact Subscriber Services at 600-620-6410 or email subscriber@nasdaq.com,

The NASDAQ Customer Response Team is available to assist you with your inquiries. The team can also answer questions regarding open account balances. We can be reached at (800) 955-3895, (301) 978-4960 or by sending an email to ndqbiting@nasdaq.com.

Sales Fee Summary	
Sales Fee (Exchange):	\$377,926.70
Sales Fee (Routed):	\$21.748.97
Sales Fee Stepoul:	(\$16.55)
Total:	\$399,659.12

#### This is not a bill. No payment is required at this time.

Sates Fees (or transactions executed by the clearing member's correspondents will be collected through the National Securities Clearing Corporation ("NSCC"). No payment is required at this time; this amount will be automatically debited from the clearing member's account on the 10th of each month, or on the following business day. For your convenience, a hyperlink to the trade detail for all such transactions (organized by correspondent, stock symbol and exchange), is included within this invoice.

NASDAQ is required by the federal securities laws to eithere to a service termination policy for members, issuers, and other market participants that are delinquent in their payments to NASDAQ for any services, including transaction, dete, listing, or other services. Under the policy, NASDAQ will terminate services for any firm that is more than 90 days delinquent in its payments to NASDAQ. The 90-day period is measured from the date of the invoice.

Lehman Brothers Inc. Atm: Ann Pepe 70 Hudson Street 7th Floor Jersey City, NJ 07302

Your trade detail can be retrieved in electronic format at the following address:

http://billing.inetats.com/detail/3594CDB8/831200805.exe

The password for this file is: T7yRbWYF8Q





Invoice & EXSLEHM0805 Period: 04/28/08 - 05/27/08

Date: 06/10/08 Account #: 100045976

#### Where is my Trade Detail?

In order to better serve you, we will be providing your Trade Detail In electronic format. This format offers many advantages over a paper printout, including the ability to easily store, search and sort the data. You can retrieve the detail file for this invoice at the web address listed on the front page of this bill.

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The layout of the fields in the file is as follows:

Date The date of the trade.

Time. The time of the trade in milliseconds.

Clearing This field reflects the Firm Clearing Number. Number

Firm. This held reflects the reported firm.

Source This field ledicates the source whereby this order was delivered. This may be the identifier of one of your connection opris or a special code.

Match. This field reflects the unique identifier of the trade.

Order ID. This field reflects the subscriber reported Order ID.

BuySell. This one-letter field indicates whether the order was a buy (B) or sell (S).

Shares This field reports the number of shares executed. It may be less than the number of shares entered in the case of a partial execution.

Symbol. This field indicates the stock symbol of the order.

Price This field indicates the price of the execution. The field has a six-digit whole number followed by a four-digit decimal portion.

Market This field indicates the Exchange or Market where the transaction took place. Values are as folidws: INET (Nasdaq Exchange), RTD (Routed to a destination outside of NASDAQ).

Contra Clearing Number This displays the Contra Clearing Number (For Sales Fee transfers and Stepouts only).

Contra Clearing This displays the Contra MPID (For Sales Fee transfers and Stepouts only).

If you have any problems with this file, please call Sabscriber Services at 800-620-6410.





Invoice #: EX\$LEHM0805 Period: 04/28/08 - 05/27/08

Date: 06/10/08 Account #: 100045976

Detail Correspondent		TDONG(VO)Limes	Döllar Vo((lime	DV Sales Fee	DV Stepour	
Instinct Clearing Services Inc.	0067	\$0.00	\$0.00	\$0.00	\$450,377.84	\$2.52
Merail Lynch	0161	\$0.00	\$0.00	\$0.00	(\$1,964,872.28)	(\$11.00)
Bear Steams Securities	0352	\$0.00	\$0.00	\$0.00	(\$368,100.58)	(\$2.06)
Pershing Division of D.L.J. Securities Corp.	0443	\$0.00	\$0.00	\$0.00	(\$1,072,223.76)	(\$6.00)
LEHMAN BROTHERS INC.	LEHM	\$64,931,956,199.87	\$3,656,899,755.10	\$0.00	\$0.00	\$385,217.60
LEHMAN BROTHERS INC.	LHMA	\$2,554,955,648.91	\$26,842,858.21	\$0.00	\$0.00	\$14,458.07



## INVOICE Due Upon Receipt

#### The NASDAQ Stock Market LLC

Invoice #: EXSLEHM0806 Period: 05/28/08 - 06/25/08

Date: 07/10/08 Account #: 100045978

Please help us ensure that your firm's contact information is current. If your firm has moved, changed personnel, or changed phone numbers and/or email addresses, please contact Subscriber Services at 800-620-6410 or email <u>subscriber@nasdag.com</u>.

The NASDAQ Customer Response Team is available to assist you with your inquiries. The team can also answer questions regarding open account balances. We can be reached at (800) 855-3898, (301) 978-4960 or by sending an email to ndqbilling@nasdaq.com.

Sales Fee Summary	
Sales Fee (Exchange):	\$403,044.49
Sales Fee (Routed):	\$21,088.25
Sales Fee Stepout:	(\$33.57)
Total:	\$424,099.17

This is not a bill. No payment is required at this time.

Sales Fees for transactions executed by the clearing member's correspondents will be collected through the National Securities Clearing Corporation ("NSCC"). No payment is required at this time; this amount will be automatically debited from the clearing member's account on the 10th of each month, or on the following besiness day. For your convenience, a hyperlink to the trade detail for all such transactions (organized by correspondent, stock symbol and exchange), is included within this invoice.

NASDAQ is required by the federal securities laws to adhere to a service termination policy for members, issuers, and other market participants that are definquent in their payments to NASDAQ for any services, including transaction, data, listing, or other services. Under the policy, NASDAQ will terminate services for any firm that is more than 90 days delinquent in its payments to NASDAQ. The 90-day period is measured from the date of the invoice.

Lehman Brothers Inc. Artn: Ann Pepe 70 Hudson Street 7th Floor Jersey City, NJ 07302

Your trade detail can be retrieved in electronic format at the following address:

http://billing.inetats.com/detail/359(CDB8/S31200806.exe

The password for this file is: F1C4 AvMINIC





Involce #: EXSLEHM0806 Period: 05/28/08 - 08/25/08

07/10/03 Date: Account #: 100045976

#### Where is my Trade Detail?

In order to better serve you, we will be providing your Trade Detail in electronic format. This format offers many advantages over a paper printout, including the ability to easily store, search and sort the data. You can reloeve the detail file for this invoice at the web address listed on the front page of this bit.

After typing the address into your web browser and pressing Enter, choose the option to save the file to disk. When the file is finished downloading, open the tolder in which you saved the file. If you are using Microsoft Windows, you can double-click the file and it will automatically decompress. If you are using Unix or any other non-Windows platform, you can decompress the file by using an UNZIP utility that works on your operating system. While decompressing, the program will prompt you for a pessword. Please enter the password displayed on the front page of this bill. The password is case-sensitive.

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The layout of the fields in the file is as follows:

Date. The date of the trade.

Time. The time of the trade in miliseconds.

Clearing This field reflects the Firm Clearing Number.

Firm. This field reflects the reported firm.

Source. This field indicates the source whereby this order was delivered. This may be the identifier of one of your connection ports or a special code.

Match. This field reflects the unique identifier of the trade.

Order ID. This field reflects the subscriber reported Order ID.

BuySell. This one-letter field indicates whether the order was a buy (8) or set (8).

Shares. This field reports the number of shares executed. It may be less than the number of shares entered in the case of a partial execution.

Symbol This field indicates the stock symbol of the order.

Price This field indicates the price of the execution. The field has a six-figit whele number followed by a four-digit decimal portion.

Market. This field indicates the Exchange or Market where the transaction took place. Values are as follows: INET (Nasdaq Exchange), RTD (Routed to a destination outside of NASDAQ).

Contra Clearing This displays the Contra Clearing Number (For Sales Fee transfers and Stapouts only).

Contra Clearing This displays the Contra MPID (For Sales Fee transfers and Stepouts only).

If you have any problems with this file, please call Subscriber Services at 800-620-6410.

## NASDAQ

The NASDAQ Stock Market LLC



Invoice # EX\$LEHM0806

Period: 06/28/08 - 06/25/08 Date: 07/10/08

Date: 07/10/08 Account #: 100045978

Detail						
Gorrespondent			Dollar Volume) (Routed)	DV Sales Fee	DV Stepout	Sales Feet total
Morgan Startley OW Inc.	0015	\$0.00	\$0.00	\$0.00		
Instinct Cleaning Services Inc.	(0067	\$0.00	\$0.00	\$0.00	\$20,846.67	\$0.12
BNY Brokerage, Inc.	0100	\$0.00	\$0.00	\$0.00	(\$1,831,719.75)	(\$10.26)
First Clearing Corp.	0141	\$0.00	\$0.00	\$0.00	(\$107,906.58)	(\$0.60)
Memil Lynch	0161	\$0.00	\$0.00	\$0.00	(\$5,450.94)	(\$0.03)
Paine Webber	0221	\$0.00	\$0.00	\$0.00	(\$2,112,660.19)	(\$11.83)
Bear Steams Securities	0352	\$0.00	\$0.00	\$0.00	(\$1,874,316.22)	(\$10.50)
Goldman Sachs Execution & Clearing, L.P.	0501	\$0.00	\$0.00	\$0.00	\$59,977.08	\$0.34
LEHMAN BROTHERS INC.	LEHM	\$70,060,854,535.35	\$3,749,968,765.14	\$0.00	\$0.00	\$413,340.63
LEHMAN BROTHERS INC.	LHMA	\$1,911,375,083.43	\$15,789,314.98	\$0.00	\$0.00	\$10,792.11



#### INVOICE One Upon Receipt

#### The NASDAQ Stock Market LLC

Invoice #: EXSLEHM0807 Period: 08/26/08 - 07/28/08

Date: 08/08/08 Account #: 100045976

Please help us ensure that your firm's contact information is current. If your firm has moved, changed personnel, or changed phone numbers and/or email addresses, please contact Subscriber Services at 800-820-6410 or email subscriber@nasdaq.com.

The NASDAQ Customer Response Team is available to assist you with your inquiries. The learn can also answer questions regarding open account balances. We can be reached at (800) 955-3898, (301) 978-4960 or by sending an email to <a href="https://doi.org/10.2016/nasdaq.com">https://doi.org/10.2016/nasdaq.com</a>.

Sales Fee Summary	
Sales Fee (Exchange):	\$519,930.97
Sales Fee (Routed):	\$26,414.69
Sales Fee Stepout:	(\$56.43)
Total:	\$546,289.23

#### This is not a bill. No payment is required at this time.

Sales Fees for transactions executed by the clearing member's correspondents will be collected through the National Securities Clearing Corporation ("NSCC"). No payment is required at this time; this amount will be automatically debited from the clearing member's account on the 10th of each month, or on the following business day. For your convenience, a hyperlink to the trade detail for all such transactions (organized by correspondent, stock symbol and exchange), is included within this invoice.

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Lehman Brothers Inc. Alln: Ann Pepe 70 Hudson Street 7th Floor Jersey City, NJ 07302

Your trade detail can be retrieved in electronic format at the following address:

http://billing.inetatg.com/detail/3594CDB8/S31200807.exe

The password for this file is: 4t49hx4Ly2





Invoice #: EXSLEHM0807 Period: 08/28/08 - 07/28/08

Oate: 08/08/08 Account **5**: 100045976

#### Where is my Trade Detail?

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Source This field indicates the source whereby this order was delivered. This may be the identifier of one of your connection ports or a special code.

Match. This field reflects the unique Identifier of the trade.

Order (O. This field reflects the subscriber reported Order ID.

BuyBell. This one-letter field indicates whether the order was a buy (B) or sell (S).

Shares. This field reports the number of shares executed. It may be less than the number of shares entered in the case of a partial execution.

Symbol. This field indicates the stock symbol of the order.

Price This field indicates the price of the execution. The field has a six-digit whole number followed by a four-digit decimal portion.

Market This field indicates the Exchange or Market where the transaction took place. Values are as follows; INET (Nasdeq Exchange), RTD (Routed to a destination outside of NASDAQ).

Contra Clearing Mumber This displays (be Contra Clearing Number (For Sales Fee transfers and Stepouts only).

Contra Clearing
This displays the Contra MPID (For Sales Fee transfers and Stepouts only).

If you have any problems with this file, please call Subscriber Services at 800-520-6410.





Invoice # EXSLEHM0807
Period: 06/26/08 - 07/28/08

Date: 08/08/08 Account #: 100045978

Detail						
Correspondent	Flim ID	Dollar Volume (Exchange)	Dollar Volume (Routed)	DV Sales Fee Transfer	DV Stepout-	Sales Fee Total
Morgan Stanley DW Inc.	0015	\$0.00	\$0.00	\$0.00	(\$2,667,458.74)	(\$14.94)
BNY Brokerage, Inc.	0100	\$0.00	\$0.00	\$0.00	(\$47,102.82)	(\$0.26)
Memili Lynch, Pierce, Fenner & Smith Incorporated	0161	\$0.00	\$0.00	\$0.00	(\$2,599,867.62)	(\$14.56)
American Enterprise Investment Services Inc.	0218	\$0.00	\$0.00	\$0.00	(\$1,529,927.75)	(\$8.57)
Paine Webber	0221	\$0.00	\$0.00	\$0.00	(\$394,269.31)	(\$2.21)
Bear Steams Securities	0352	\$0.00	\$0.00	\$0.00	(\$637,777.56)	(\$3.57)
Pershing Division of D.L.J. Securities Corp.	0443	\$0.00	\$0.00	\$0.00	(\$2,140,216.02)	(\$11.99)
Morgan, Keegan & Company, Inc.	0780	\$0.00	\$0. <b>00</b>	\$0.00	(\$59,871.85)	(\$0.34)
LEHMAN BROTHERS INC.	LEHM	\$90,953,261,228.85	\$4,682,279,182.86	\$0.00	\$0.00	\$535,559.02
LEHMAN BROTHERS INC.	LHMA	\$1,891,585,355.09	\$34,630,408.85	\$0.00	\$0.00	\$10,786.64





Invoice ★ EXSLEHM0808 Period: 07/29/08 - 08/26/08

Date: 09/10/08 Account #: 100045976

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Sales Fcc Summary	
Sales Fee (Exchange):	\$434,642.08
Sales Fee (Routed):	\$20,706.02
Sales Fee Stepaut:	\$71.08
Total:	\$455,419.52

This is not a bill. No payment is required at this time.

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Lehman Brothers Inc. Alln: Ann Pepe 70 Hadson Street 7th Floor Jersey City, NJ 07302

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http://billing.inetats.com/dctail/J594CD38/S31200808.exe

The password for this file is: #4 tykAmTbB





Invoice #: EXSLEHM0808 07/29/08 - 08/26/08 Period-

Date: 09/10/08 Account #: 100045976

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Order ID. This field reflects the subscriber reported Order ID.

BuySelf. This one-letter field indicates whether the order was a buy (B) or self (S).

Shares. This field reports the number of shares executed. It may be less than the number of shares. entered in the case of a partial execution.

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Price This field indicates the price of the execution. The field has a six-digit whole number followed by a four-digit decimal portion.

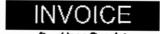
Market This field indicates the Exchange or Market where the transaction look place. Valdes are as follows: INET (Nasdao Exchange), RTD (Routed to a destination outside of NASDAO).

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If you have any problems with this file, please call Subscribor Services at 800-820-6410.





Due Upon Receipt

Invoice #: EXSLEHM0808 Period: 07/29/08 - 08/28/08

Date: 09/10/08 Account #: 100045978

Detail		<u> </u>				
Correspondent	Firm ID	Dollar Volume (Exchange)	Dollar Volume (Routed)	DV Sajes Fee Transfer	DV Stepout	Sales Fee Total
Morgan Stanley OW Inc.	0015	\$0.00	\$0.00	\$0.00	(\$1,771,030.86)	(\$9.92)
First Clearing Corp.	0141	\$0.00	\$0.00	\$0.00	(\$21,185.12)	(\$0.12)
Pershing Division of D.L.J. Securitles Corp.	0443	\$0.00	\$0.00	\$0.00	(\$115,771.68)	(\$0.65)
Goldman Sachs Execution & Clearing, L.P.	0501	\$0.00	\$0.00	\$0.00	\$14,600,425.76	\$81.76
Lehman Brothers Inc.	LEHB	\$37,798.00	\$0.00	\$0.00	\$0.00	\$0.21
LEHMAN BROTHERS INC.	LEHM	\$77,028,483,487.38	\$3,613,801,106.94	\$0.00	\$0.00	\$451,596.84
LEHMAN BROTHERS INC.	LHMA	\$586,135,247.43	\$83,753,749.29	\$0.00	\$0.00	\$3,751.39

## A NASOAQ INSTITUTIONAL MARKET

Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 133 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms Due Upon Receipt	Amount Due
07/10/2008	190061690	214443563		\$2,000.00
ATTENTION	ROTHERS INC. I TO: ANDREW TILGHMAN VE., 8TH FL. I NY 10019			Amount Enclosed  \$

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Your PORTAL Application ID is 214443563.

"Wire Originator to Pay All Bank Fees"

Please send Wires and ACH payments to: Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYC Account #: 2000031405177 Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, NY 10017 Beneficiary: The NASDAQ Slock Market, LLC

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Date 07/10/2008	Account Number 100061690	Invoice Number 214443563	Payment Terms Oue Upon Receipt	Amount Due \$2,00 <u>0.00</u>
PORTAL APPLICA Lehman Brothera E	TION FEE - APPROVED quity Finance S.A		Am	52,000.00
Total				\$2,000.00

ALL FEES ARE PAYABLE IN U.S. DOLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

Send address updates and billing inquiries to notphilling@nasdagomx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910.

All legal correspondence must be directed to: Nasdaq Office of General Coursel, 9800 Blackwell Road, 3rd Floor, Rockville, MD 20850.

End of Invoice-NASDAQ



Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D

Pg 134 of 160

A NASDAG INSTITUTIONAL MARKET Invoice Date Account Number Invoice Number Payment Terms Amount Due 03/10/2008 100061703 214443079 Due Upon Receipt \$2,000.00 Amount Enclosed Please make checks gayable to The NASDAQ Slock Harket, Inc. c/o Metion Bank P.O. Box 7777-W7765 LEHMAN BROTHERS INC.

1301 AVENUE OF THE AMERICAS **NEW YORK NY 10019** 

Philadelphia, PA 19175-7765 Overnight payments should be sent to The NASOAQ Stock Market, Inc. c/o Mellon Bank (W7765) 701 Martiel Street, Room 3490

Philadelphia, PA 19106

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Your PORTAL Application ID is 214443079.

"Wire Originator to Pay All Bank Fees"

Please send Wires and ACH payments to: Bank Name: PNC Bank SWIFT# PNCCUS33 Account # 5300401669

Fed Wite ABA# 031000053 Bank Address: Philadelphia PA USA ACH ABA # 054000030 Bank Address; Washington OC USA

Baneficiary: The NASOAQ Stock Market, Inc.

\*\* Please include Invoice number on your remittance \*\*

nvoice Date 03/10/2008	Account Number 100061703	Invoice Number 214443079	Payment Terms  Due Upon Receipt	Amount Du \$2,000.00
PORTAL APPLICA MASTERS Trust S	ITION FEE - APPROVEO eries 2008-1		Am	\$2,000.00
Total				\$2,000 <u>.00</u>

ALL FEES ARE PAYABLE IN U.S. DOLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

For questions regarding payment please email to ndqbilling@nasdaq.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910. For all other questions please email portal@nasdaq.com or call 301-976-8425.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9800 Blackwell Road, 3rd Floor, Rockville, MD 20850.

End of Invoice-NASDAO



#### Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 135 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms		Amount Due
04/16/2008	100061704	214441938RF	Due Upon Receipt		\$2,000.00
LEHMAN BROTH	HERS INC. MICHAEL PRESTOLINO TH FL	( 214441938RF	Oue Upon Receipt	Please make The NASDAO do Melion Ba P.O. Box 777 Philadelphia, Overnight par The NASOAO cro Melion Ba 701 Market S	Enclosed  checks payable to 1 Spect Market, Inc. nk 7-W7765 PA 19175-7765 ments should be sent to 1 Stock Market, Inc. nk (W7765) breel, Room 3490
				Philadelphia,	PA 19106

## (PLEASE OETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Your PORTAL Application ID is 214441938RF.

""Mre Originator to Pay All Bank Fees"

Please send Wires and ACH payments to: Bank Name: PNC Bank SWIFT# PNCCUS33

Account # 5300401869

Fed Wire ABA# 031000053 Bank Address: Philadelphia PA USA ACH ABA# 054000030 Bank Address: Washington DC USA

Baneficiary: The NASDAO Stock Market, Inc.

\*\* Please include involce number on your remittance \*\*

nvoice Date 4/16/2008	Account Number 100061704	Invoice Number 214441938RF	Payment Terms Oue Upon Receipt	Amount Due \$2,000.00
REFUND VARIES			Am	s2,000.00
Total				\$2,000.00

ALL FEES ARE PAYABLE IN U.S. DOLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

Send address updates and billing inquiries to adqbigling@nasdaq.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9500 Blackwell Roud, 3rd Floor, Rockville, MD 20850.

End of invoice-NASDAQ



13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 136 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms		Amount Due
04/16/2008	100061704	214441938P1	Oue Upon Receipt		(\$2,000.00)
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nen rom	((T 10013			The MASDAQ Stor c'o Mellon Bank (V 701 Market Street, Philadelohia, PA 1	ck Market, Inc. M7765) , Room 9490

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Your PORTAL Application IO is 214441938P1.

"Mire Originator to Pay All Bank Fees"

Please send Wires and ACH payments to: Bank Name: PNC Bank SWIFT# PNCCUS33 Account # 5300401569

Fed Wire ABA# 001000053 Bank Address: Philadelphia PA USA ACH ABA # 054000030 Bank Address: Washington DC USA

Beneficiary: The NASDAO Stock Market, Inc. Please include invoice number on your remittance \*\*.

D4/16/2008	100061704	21 <u>4441938P1</u>	Due Upon Receipt	(\$2,000.00
PORTAL APPLICATION	I FEE PAYMENT		Am	(\$2,000.00) (\$2,000.00)

ALL FEES ARE PAYABLE IN U.S. DOLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

Send andress updates and billing inquiries to adaptiting@nasdag.com, call 800-955-3898 or 301-978-4980, or fax 301-978-4910.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9500 Blackwell Road, 3rd Floor, Rockville, MD 20850.

Credit Invoice - DO NOT PAY

End of Invoice NASDAQ



#### Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 137 of 160

Invoice Date Account Number Invoice Number Payment Terms Amount Due 03/10/2008 100065830 214443070 Due Upon Receipt \$0.00 Amount Enclosed Please make checks payable to The NASDAQ Stock Market, Inc. c'o Mellon Bank LEHMAN BROTHERS INC. P.O. Box 7777-W7785 ATTENTION TO: JORGE CHANG Phrtadelphia, PA 19175-7765 1301 AVENUE OF THE AMERICAS Overright payments should be sent to The NASDAQ Stock Martiel, Inc. **NEW YORK MY 10018** c/o Melion Bank (W7765) 701 Market Street, Room 3490 Philadelphia, PA 19106

## (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Your PORTAL Application ID is 214443070.

"Yrice Originator to Pay All Bank Fees\*\*

Please send Wires and ACH payments to:
Bank Name: PNC Bank SWIFT# PNCCUS33
Account # 5300401669
Fed Wire ABA# 031000053 Bank Address: Philadelphia PA USA
ACH ABA# 054000030 Bank Address: Washington DC USA
Beneficiary: The NASDAQ Stock Market, Inc.

\*\* Plasse include invoice number on your remittance \*\*

Invoice Date 03/10/2008	Account Number 100065830	Invoice Number 214443070	Payment Terms Due Upon Receipt	Amount Du-
PORTAL APPLICA Gaiaxy X CLO Ltd	ATION FEE - APPRQVED		Am	92,000.00
•	ATION FEE PAYMENT			(\$2,000.00)
Total				\$0.00

ALUFEES ARE PAYABLE IN U.S. DOLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

For questions regarding payment please email to ndqbilling@nasdaq.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910. For all other questions please email portal@nasdaq.com or call 301-978-8425.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9500 Blackwell Road, 3rd Floor, Rockville, MO 20850,

DO NOT PAY

End of Invoke-HASDAQ

# PORTAL DIA NASDAG INSTITUTIONAL MARKET

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 138 of 160

Invoice Date 03/25/2008	Account Number 100065830	Involce Number 214443070PRF	Payment Terms Due Upon Receipt		Amount Due \$0.00
	): JORGE CHANG OF THE AMERICAS			Amount Enclos  Please make thecks; The MASDAQ Stock is do Mellon Bank P.O. Box 7777-W776; Philadelphia, PA 1817  Overnight payments a The MASDAQ Stock is do Mellon Bank (W77 701 Market Street, Ro Philadelphia, PA 1810	payable to Market, inc. 3 75-7765 Should be sent to Markel, inc. 165) Som 3480

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(PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Your PORTAL Application IO is 214443070PRF.

""Mire Originator to Pay All Bank Fees"

Please send Wires and ACH payments to: Bank Name: PNC Bank SWFT# PNCCUS33

Account # 5300401669

Fed Wire ABA# 031000053 Bank Address: Philadelphia PA USA ACH ABA # 054000030 Bank Address: Washington DC USA

Baneficiary: The NASDAQ Stock Market, Inc.

\*\* Please include invoice number on your remittance \*\*

nvoice Date 03/25/2008	Account Number 100065830	Invoke Number 214443070PRF	Payment Terms Due Upon Receipt	Amount Due \$0.00
REFUND VARIES			Am	82,000.00
PORTAL APPLICAT	ION FEE PAYMENT			(\$2,000.00)
Tolai				\$0.00

ALL FEES ARE PAYABLE IN U.S. COLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

Send address updates and biting inquiries to indqbilting@nasdaq.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850.

DO NOT PAY

End of Invoice-NASDAO



13555 mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 139 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms		Amount Due
06/10/2008	100068584	214443435	Due Upon Receipt		\$2,000.00
LEHMAN BRO' ATTENTION TO 1301 6TH AVEX NEW YORK NY	D: ALFRED KIM NUE			Amount Enclosed  5  Please make checks pay The NASDAC Stock Mail Ecotox 70200 P.O. Box 8600 Philadelphia, PA 191784  Overnight payments sho Wachoria Bank / The NA LLC 401 Market Street Lockbox # 70200 Philadelphia, PA 19106	roble to rket. LLC 9200 Uso be sent to

#### (PLEASE DETACH REMITTARCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Your PORTAL Application ID is 214443435.

""Wire Originator to Pay All Bank Fees"

Please send Wires and ACH payments to: Bank Name: Wachovia Bank, N.A. SWIFT # PNBPUS3NNYC Account #: 2000031405177

Fed Wire ABA# 031201467 Bank Address: New York, NY 10017 ACH ABA# 026012881 Bank Address: New York, MY 10017 Beneficiary: The NASDAQ Stock Market, LLC

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

nvoice Date 06/10/2008	Account Number 100068684	Invoice Number 214443435	Payment Terms Due Upon Receipt	Amount Due \$2,000.00
PORTAL APPLICA Lehman Brothers H	TION FEE - APPROVED loidings			\$2,000.00
Total				\$2,000.00

ALL FEES ARE PAYABLE IN U.S. DOLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

Send address updates and billing inquiries to ndqbilling@nasdaqomx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910.

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9600 Blackwell Road, 3rd Floor, Rockville, MD 20850.

End of Invoice-NASDAQ

Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 140 of 160

Invoice Date	Account Number	Invoice Number	Payment Terms		Amount Due
06/10/2008	100068684	214443480	Due Upon Receipt		\$2,400.00
		7 - 1 - 1		Amount En	closed
				\$	
LEHMAN BROTE	: ALFRED KIM			Please make the The NASDAQ St Lectbox 70200 P.O. Box 8500 Philadelphia, PA	ock Market LLC
1301 STH AVEN NEW YORK NY					

#### (PLEASE DETACH REMITTANCE ADVICE ABOVE AND SEND IT WITH YOUR PAYMENT)

Your PORTAL Application ID is 214443480.

\*\*Wire Originator to Pay All Bank Fees\*\*

Please send Wires and ACH payments to: SWIFT # PNBPUS3NNYC Bank Name: Wachovia Bank, N.A. Account #: 2000031405177

Fed Wire ABA# 031201457 Bank Address: New York, NY 10017 025012881 Bank Address: New York, NY 10017 ACH ABA#

Beneficiary: The NASDAQ Stock Market, LLC

OBI: Please include your invoice number, and if paying multiple invoices, please separate your invoice numbers with a comma-

Invoice Date 06/10/2008	Account Number 100058684	Invoice Number 214443480	Payment Terms Due Upon Recei <u>ol</u>	Amount Due \$2,400.00
PORTAL APPLICAT Lehman Brothers Eq	ION FEE - APPROVEO juity Finance S.A.		Am	52,400.00
Total				\$2,400,00

ALL FEES ARE PAYABLE IN U.S. DOLLARS

NOTE: THE TURNOVER TAX ON THIS INVOICE MUST BE PAID BY THE USER HIMSELF TO THE COMPETENT TAX OFFICE.

Send address updates and billing inquiries to adqbilling@nasdaqomx.com, call 800-955-3898 or 301-978-4960, or fax 301-978-4910.

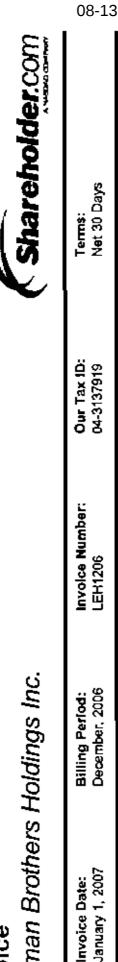
All legal correspondence must be directed to: Nasdaq Office of General Counsel, 9500 Blackwell Road, 3rd Floor, Rockville, MD 20650.

End of Invoice-NASDAQ

#### 10/03/08 Entered 10/03/08 15:54:55 Pg 141 of 160 Doc 636-4 Filed 10/03/08 08-13555-mg Exhibit D

In addition to our now logo, we vo introduced our email involcing process.

# Lehman Brothers Holdings Inc. Invoice



IR Communication Center	Details	Charge	Previous
SimplifySuita Quarterly Subscription Fee	. January - March	\$3,885.00	Payments Balance
WebCentor Hosking Fees		\$25.00	Current C
Webcast Live and Archived WebCasts CA 2006 WebCast	1 Wobcast(s)	\$795,00	Total B
			() ()
Current Charges		\$3,910.00	1663 1633

Previous Balance and Payments Previous Balance	during Billing Period ध	Current Charges \$3,910.00	Total Balance Due	Our new look for 2007!
Charge	\$3,885.00	\$25.00	\$795,00	\$3,910.00
	March		(8)	

clo Lehman Brothers Accounts Payable Attn: Publications and Subscriptions Lehman Brothers Holdings Inc. Image Processing Systems Secaucus NJ 07094 PO Box 2097

Your Client Account Manager: Toll-Free: 800-990-6397 Fax: 1-978-897-3739 Kathy Symes

Email: symes@shareholder.com

Please remit to: P.O. Box 757502 Shareholder.com

Philadelphia, PA 19175-7502

Thank-you for your business!

Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D
Pg 142 of 160
NewsCenter Broadcast Detail Report
Lehman Brothers Holdings Inc.
December, 2006 08-13555-mg

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WebCenter Detail Report
Lebonau Brothers Holdings Inc.
December, 2006

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#### WebCast Detail Report Lehman Brothers Holdings Inc. December, 2006

Event Title	Lehman Brothers Holdings Fourth Quarter 2006 and Full Year Results Conference Call
Date	14 Dec 06
Турс	Audio Plus Webcaşt
Event Fee	\$795.00
SubTotal	\$795.00

TAL \$795.00
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## Lehman Brothers Holdings Inc. Invoice



Charge

Details

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Quartorly Subscription Fee

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**Shareholder.**com

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\$7,825.00

Lehman Brothers Holdings Inc. Image Processing Systems Secaucus NJ 07096 Jared Nathanson PO Box 2339

Shareholder.com Finance Department Email: <u>finance@shareholder.com</u> Philadelphia, PA 19175-7502 Toll-Free: 800-990-6397 Fax: 978-897-3739 Shareholder.com P.O. Box 757502 Please remit to:

Bank Addr. Philadelphia, PA USA Bank: PNC, Account: 5300401669 Fed Wire ABA# 031000053; ACH ABA# 054000030; Electronic Payment:

Bank Addr; Washington DC, USA Swift PNCCUS33 (Intl Wires) Beneficiary: Shareholder.com

# Thenk-you for your business!

08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 147 of 160

#### NewsCenter Broadcast Detail Report Lehman Brothers Holdings Inc. March, 2008

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08-13555-mg Doc 636-4 Filed 10/03/08 Entered 10/03/08 15:54:55 Exhibit D Pg 150 of 160

#### WebCenter Detail Report Lehman Brothers Holdings Inc. March, 2008

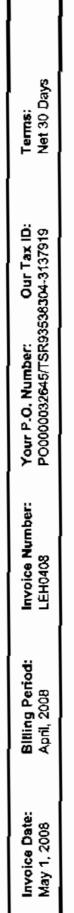
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### WebCast Detail Report Lehman Brothers Holdings Inc. March, 2008

Event Title	Lehman Brothers Holdings First Quarter 2008 Earnings Conference Call
Date	18 Mar 08
Type	Audio Plus Webcast
Event Fee	\$795.00
Addl Participants	\$3,915.00
SubTotal	\$4,710.00

TOTAL	\$4,710.00

## Invoice Lehman Brothers Holdings Inc.



Charge

Details

R Communication Center

\$760.00

Quanorly Subscription Adjustment: May June

Simplify Bulto

Current Charges

**Shareholder.com** 

Does the SEC "Notice & Access" rule have you confused?

Askyour Chem Account Manager for our Best Practice recommendation of Engling your Beneficial and Registered Shareowners to the correct "proxy path."

\$760.00

Lehman Brothers Holdings Inc. Jared Nathanson Image Processing Systems PO Box 2339 Secaucus NJ 07096

Shareholder.com Finance Department
Toll-Free: 800-990-6397
Fax: 978-897-3739
Email: finance@shareholder.com
Please remit to:
Shareholder.com
P.O. Box 757502
Philadelphia, PA 19175-7502

Electronic Payment:
Bank: PNC, Account: 5300401669
Fed Wire ABA# 031000053;
Bank Addr: Philadelphia, PA USA
ACH ABA# 054000030;
Bank Addr: Washington DC, USA
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Thank-you for your business!

### NewsCenter Broadcast Detail Report Lehman Brothers Holdings FacApril, 2008

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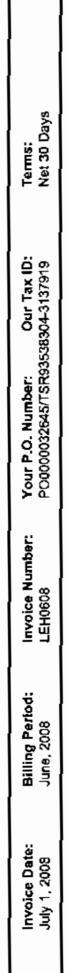
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WebCenter Detail Report Lehman Brothers Holdings Inc. April, 2008

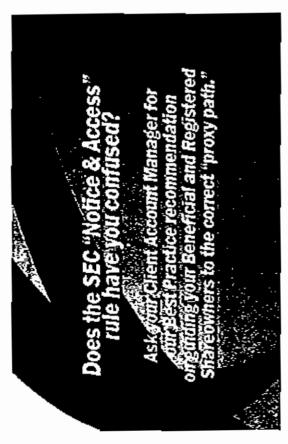
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## Lehman Brothers Holdings Inc. Invoice



**Shareholder**.con

IR Communication Center	Details	Charge
SimplifySulta Quarterly Subscription Fog	July - September	\$5,025.00
WetsCenter Hosting Foes		\$50.00
Wobcast Live and Archived WobCasts Discount on Additional Q2 2008 WebCast	2 Webcast(s)	\$10,455,00 (\$120.00)
Current Charges		\$15,410.00



Lehman Brothers Holdings Inc. Image Processing Systems Secaucus NJ 07096 Jared Nathanson PO Box 2339

Shareholder.com Finance Department Email: <u>finance@shareholder.com</u> Toll-Free: 800-990-6397 Lockbox Number 30200 Fax: 978-897-3739 Shareholder.com Please remit to: P.O. Box 8500

Philadelphia, PA 19178-0200

Addr. 12 East 49th New York, NY USA Swifts PNBPUS3NNYC (Ind Wires) Bank: Wachovia Bank, N.A. Fed Wire ABA# 031201467, Account: 2000031405177 ACH ABA# 026012891;

Electronic Payment:

Beneficlary: Shareholder.com

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### NewsCenter Broadcast Detail Report Lehman Brothers Holdings Inc. June, 2008

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WebCenter Detail Report Lehman Brothers Holdings Inc. June, 2008

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#### WebCast Detail Report Lebman Brothers Holdings Inc. June, 2008

Event Title	Lehman Brothers Announces Expected 2Q Results & Stock Offering - CFO Conference Call
Date	09 Jun 08
Туре	Audio Plus Webcast
Event Fee	\$795,00
Addl Participants	\$4,725.00
Expedite	\$750.00
Subscription Credit	(\$795.00)
SubTotal	\$\$,475.00

Event Title	Lehman Brothers Holdings Second Quarter 2008 Earnings Conference Call
Date	16 Jun 08
Type	Audio Plus Webcast
Event Fee	\$795.00
Addl Participants	\$4,185.00
SubTotal	\$4,980.00

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